

06-21-2007

Docket No.

76986-A-PCT/JPW/YC

FORM PTO-1595 (Substit



SHEET

U.S. DEPARTMENT OF COMMERCE

(Rev. 8-96)

To the Honorable Commiss

103418501

Patent and Trademark Office

attached original documents or copy thereof.

1. Name of conveying party(ies):

Rajesh Pandey and Charles R. Shambaugh, Jr.

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of Conveyance:



Assignment



Merger



Security Assignment



Change of Name



Other

Execution Date(s): June 1, 2007 and
June 7, 2007

2. Name and address of receiving party(ies):

Name: HeartWare, Inc.

Internal Address:

Street Address: 3351 Executive Way

City/State/Zip: Miramar, Florida 33025
United States of AmericaAdditional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date(s) of the application is (are):

A. Patent Application No.(s) PCT/US2007/000764

Filed: January 12, 2007

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John P. White, Esq.

Internal Address: Cooper & Dunham LLP

Street Address: 1185 Avenue of the Americas

City/State/Zip: New York, New York 10036

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR §3.41): \$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

03-3125

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John P. White

Name of Person Signing
Reg. No. 28, 678

Signature

June 14, 2007

Date

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to:
Mail Stop Assignment Recordation Services,
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P.O. Box 1450
Alexandria, VA 22313-1450John P. White
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June 14, 2007

Date

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PATENT

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ASSIGNMENT OF PCT INTERNATIONAL APPLICATION

In consideration of One Dollar (\$1.00), and other good and valuable considerations, the receipt of which is hereby acknowledged, we, the undersigned,

Rajesh Pandey, residing at 220 NW 75th Way, Plantation, Broward County, Florida 33317, United States of America; and Charles R. Shambaugh, Jr., residing at 707 Zamora Avenue, Coral Gables, Florida 33134, United States of America

Hereby sell, assign and transfer to HeartWare, Inc., a corporation of the State of Florida, having a place of business at 3351 Executive Way, Miramar, in the County of Broward and State of Florida 33025, United States of America, its successors, assigns and legal representatives, the entire right, title and interest for all countries, in and to any and all inventions which are disclosed and claimed, and any and all inventions which are disclosed but not claimed, in the PCT International Application, which has been filed on January 12, 2007 and is entitled

SURGICAL TOOL FOR CORING PRECISE HOLES AND PROVIDING FOR RETRIEVAL OF TISSUE

(PCT International Application No. PCT/US2007/000764, filed January 12, 2007, claiming priority of U.S. Serial No. 11/332,016, filed January 13, 2006)

and in and to said PCT International Application and all divisional, continuing, substitute, renewal, reissue, and all other applications for U.S. Letters Patent or other related property rights in any and all foreign countries which have been or shall be filed on any of said inventions disclosed in said PCT International Application; and in and to all original and reissued patents or related foreign documents which have been or shall be issued on said inventions;

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Agree that said Assignee may apply for and receive U.S. and foreign Letters Patent or rights of any other kind for said inventions, or any of them; and may claim, in applications for said U.S. and foreign Letters Patent or other rights, the priority of the aforesaid PCT International Application under the provisions of the International Convention of 1883 and later modifications thereof, under the European Patent Convention or under any other available international agreement; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned or the undersigned's executors or administrators will, for the United States and all foreign countries, execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications or other documents on any and all said inventions; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns and representatives, all facts known and documents available to the undersigned relating to said inventions and the history thereof; testify in all legal proceedings; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said inventions and for vesting title to said inventions and all applications for patents or related foreign rights and all patents on said inventions, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and

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that full right to convey the same as herein expressed is possessed by the undersigned.

Date: 6/1/07
 Witness: [Signature] (signature)
UDAI SINGH (printed name)
210 WHITEBERRY DR (address)
CARY, NC 27599

[Signature] (LS)
 Rajesh Pandey

Date: June 7, 2007
 Witness: [Signature] (signature)
Michael A Steinberg (printed name)
6301 Collins Ave #2504 (address)
Miami Beach, FL 33141

[Signature] (LS)
 Charles R. Shambaugh, Jr.

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