

Form PTO-1595 (Rev. 07/05)  
OMB No. 0651-0027 (exp. 8/30/2008)

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

## RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

### 1. Name of conveying party(ies)

MICHEL MAKKEE  
KRISHNA KAMASMUDRAM  
WALTER G. COPAN

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

### 3. Nature of conveyance/Execution Date(s):

Execution Date(s) 7/19/07, 7/20/07, 7/27/07

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

### 2. Name and address of receiving party(ies)

Name: CLEAN DIESEL TECHNOLOGIES, INC.

Internal Address: SUITE 702

Street Address: 300 ATLANTIC STREET

City: STAMFORD

State: CT

Country: US Zip: 06901

Additional name(s) & address(es) attached? ☐ Yes ☒ No

### 4. Application or patent number(s):

A. Patent Application No.(s)

11/750,601

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

### 5. Name and address to whom correspondence concerning document should be mailed:

Name: THADDIUS J. CARVIS

Internal Address: LAW OFFICES OF THADDIUS J. CARVIS

Street Address: 102 NORTH KING STREET

City: LEESBURG

State: VA Zip: 20176

Phone Number: (703) 737-7817

Fax Number: (703) 737-7813

Email Address: admin@tjcarvis.com

### 6. Total number of applications and patents involved: ONE

### 7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card  
☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

### 8. Payment Information

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number 502735

Authorized User Name THADDIUS J. CARVIS

### 9. Signature:

Nancy S. Guth  
Signature

August 28, 2007  
Date

NANCY S. GUTH  
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 9

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

CH \$40.00 502735 11750601

(CDT PA124A US)

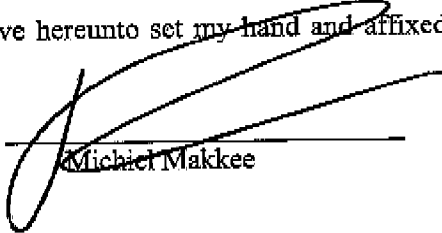
ASSIGNMENT

IN CONSIDERATION of One Dollar (\$1.00) to us in hand paid, receipt of which is hereby acknowledged, and for other good and valuable consideration, We, Michiel Makkee, residing at Dwarsweg 15, NL 3235 CE Rockanje, The Netherlands; Krishna Kamasamudram, residing at 4609 Westwood Pines Apartments, Pine Ridge Drive, Columbus, IN 47201, and Walter G. Copan, residing at 820 Panorama Drive, Golden, CO 80401, being joint inventors of a certain Invention for **IMPROVEMENTS IN DIESEL PARTICULATE CONTROL** (CDT PA124A US), as fully set forth and described in U.S. Patent Application Serial Number 11/750,601, filed May 18, 2007, preparatory to obtaining Letters Patent of the United States therefor, being free from all prior assignments and having the right to convey the entire interest, both legal and equitable herein assigned, do hereby sell, assign, transfer and set over unto CLEAN DIESEL TECHNOLOGIES, INC., a corporation organized and existing under and by virtue of the laws of the State of Delaware, and having an office and place of business at 300 Atlantic Street, Suite 702, Stamford, Connecticut, 06901, (The Corporation) its successors and assigns, the entire right, title and interest in and to said Application and Invention together with all patents, either United States or foreign, which may be granted therefor, or on any other application claiming common priority thereto and all divisions, reissues, renewals, continuations, continuations-in-part, substitutes and extensions thereof.

AND, WE hereby covenant and agree that we will communicate to said Corporation, its successors and assigns, all facts known to us respecting said Invention, and will, upon its request at any time, testify in any legal proceedings, sign all lawful papers, execute all original, divisional, continuation, continuation-in-part, substitute and reissue applications and any other U.S. or international application claiming common priority to said Application, execute all assignments, make all rightful oaths and generally do everything reasonable and proper to aid said Corporation, its successors, assigns and nominees, to obtain and enforce proper protection for said Invention in all countries.

Assignment  
Serial No. 11/750,601

IN WITNESS WHEREOF, I, Michiel Makkee, have hereunto set my hand and affixed  
my seal this 20 day of July, 2007.

  
Michiel Makkee

Declaration of Witnesses

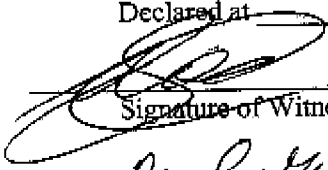
We declare that we were personally present and did see Michiel Makkee who is personally  
known to us to be the person named in this assignment, duly sign and execute the same.

Declared at


Delft

on

20 July 2007

  
Signature of Witness

Dr. G. Muel.  
Name of Witness

  
Signature of Witness

Ing. H. Jansma  
Name of Witness

Assignment  
Serial No. 11/750,601

IN WITNESS WHEREOF, I, Krishna Kamasamudram, have hereunto set my hand and  
affixed my seal this 27 day of July, 2007.

Krishna Kamasamudram  
Krishna Kamasamudram

Declaration of Witnesses

We declare that we were personally present and did see Krishna Kamasamudram who is  
personally known to us to be the person named in this assignment, duly sign and execute the same.

Declared at Columbus, IN on 07/27/2007.

P. Pradeep  
Signature of Witness


PRADEEP PRASAD  
Name of Witness

H. Arvind Vyas  
Signature of Witness

07/27/2007 (ARVIND VYAS)  
Name of Witness HARINATH

Assignment  
Serial No. 11/750,601

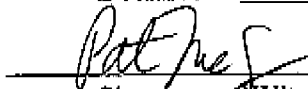
IN WITNESS WHEREOF, I, Walter G. Copan, have hereunto set my hand and affixed  
my seal this 19 day of July, 2007.

  
\_\_\_\_\_  
Walter G. Copan

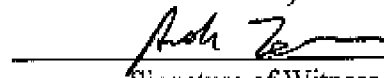
Declaration of Witnesses

We declare that we were personally present and did see Walter G. Copan who is personally  
known to us to be the person named in this assignment, duly sign and execute the same.

Declared at Stamford, Connecticut on July 19, 2007.

  
\_\_\_\_\_  
Signature of Witness

Pat McLoy  
\_\_\_\_\_  
Name of Witness

  
\_\_\_\_\_  
Signature of Witness

Andi Zaimi  
\_\_\_\_\_  
Name of Witness

PTO/SB/96 (04-07)

Approved for use through 09/30/2007. OMB 0651-0031

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: MICHEL MAKKEE, KRISHNA KAMASMIJDRAM, WALTER G. QOPANApplication No./Patent No.: 11/750,601 Filed/Issue Date: 05/18/2007Entitled: IMPROVEMENTS IN DIESEL PARTICULATE CONTROLCLEAN DIESEL TECHNOLOGIES, INC., a CORPORATION

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %)

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Thaddius J. Carvis  
Signature

August 20, 2007  
Date

THADDIUS J. CARVIS  
Printed or Typed Name

(703) 737-7817  
Telephone Number

ATTORNEY FOR ASSIGNEE  
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2

**PATENT****RECORDED: 08/28/2007****REEL: 019761 FRAME: 0065**