

BBM-142US2

Form PTO-1595

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET

PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

AESFULAP II, INC.Additional name(s) of conveying party(ies) attached? ☐ YES ☒ NO

2. Name and address of receiving party(ies):

Name: AESFULAP IMPLANT SYSTEMS, INC.Internal Address: 3773 CORPORATE PARKWAY

Street Address: _____

City: CENTER VALLEYState: PENNSYLVANIAZip: 18034Country: U.S.A.Additional name(s) & address(es) attached? ☐ YES ☒ NO

3. Nature of Conveyance:

Execution Date(s) March 16, 2006

- ☐ Assignment ☐ Merger
☐ Security Agreement ☒ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Correction of Assignment Recordation
 (previously recorded at Reel _____, Frame _____).
☐ Other

4. Application or patent number(s): ☐ This document is being filed together with a new application.A. Patent Application Number(s) 11/780,892

B. Patent Number(s) _____

Additional number(s) attached? ☐ YES ☒ NO

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Christopher A. RotheInternal Address: RatnerPrestia

- ☒ Street Address: Suite 301
 One Westlakes
 Berwyn, P.O. Box 980
 City: Valley Forge State: PA ZIP: 19482-0980
 Phone Number: (610) 407-0700
 Fax Number: (610) 407-0701

OR

- ☐ Street Address: Nemours Building
 1007 Orange Street
 Suite 1100, P.O. Box 1596
 City: Wilmington State: DE ZIP: 19899
 Phone Number: (302) 778-2500
 Fax Number: (302) 778-2600

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41): \$ 40.00

- ☒ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☒ Enclosed (PTO-2038)
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card - Last 4 Numbers 1007
Expiration Date 03/10b. Deposit Account Number 18-0350Authorized User Name RatnerPrestia

The Director is authorized to charge additional fees or underpayments or credit overpayments to above deposit account number

9. Signature: _____

Signature

August 30, 2007

Date

Christopher A. Rothe
Name of Person Signing54,650
Registration NumberTotal number of pages including
cover sheet, attachments and
documents: 10Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

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PATENT
REEL: 019774 FRAME: 0864

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Delaware

PAGE 1

The First State

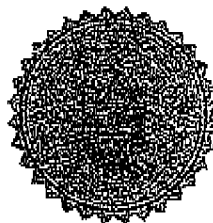
I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "AESCULAP II, INC.", CHANGING ITS NAME FROM "AESCULAP II, INC." TO "AESCULAP IMPLANT SYSTEMS, INC.", FILED IN THIS OFFICE ON THE TWENTY-FIRST DAY OF MARCH, A.D. 2006, AT 1:18 O'CLOCK P.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF AMENDMENT IS THE THIRD DAY OF APRIL, A.D. 2006.

3917902 8100

060268989



Harriet Smith Windsor

Harriet Smith Windsor, Secretary of State

AUTHENTICATION: 4607023

DATE: 03-21-06

Secretary of State
Division of Corporations
Delivered 01:23 PM 03/21/2006
FILED 01:18 PM 03/21/2006
SRV 060268989 - 3917902 FILE

CERTIFICATE OF AMENDMENT OF CERTIFICATE OF INCORPORATION

OF

AESCULAP II, INC.

It is hereby certified that:

1. The name of the corporation (hereinafter called the "corporation") is Aesculap II, Inc.


2. The certificate of incorporation of the corporation is hereby amended by striking out Article 1 thereof and by substituting in lieu of said Article the following new Article:

"1. The name of the corporation is Aesculap Implant Systems, Inc."

3. The amendment of the certificate of incorporation herein certified has been duly adopted and written consent has been given in accordance with the provisions of Sections 228 and 242 of the General Corporation Law of the State of Delaware.

4. The effective time of the amendment herein certified shall be April 3 . . .

Signed on *March 16, 2006*



Dirk M. Kuyper, President

COPY

ASSIGNMENT OF UNITED STATES LETTERS OF PATENT

WHEREAS, SPINAL INNOVATIONS, INC., a Tennessee corporation, SPINAL INNOVATIONS, L.L.C., a Nevada Limited liability company, and SPINAL INNOVATIONS HOLDINGS, L.L.C., a Nevada limited liability company (hereinafter collectively "ASSIGNOR"), all having an office and place of business at 7850 Stage Hill Blvd., Suite 105, Bartlett, Tennessee 28133, U.S.A., are the owners, collectively or individually, of certain right, title and interest in and to certain inventions as described in the patent properties listed in Attachment A hereto.

WHEREAS, AESCULAP II, INC. (hereafter "ASSIGNEE"), a corporation organized and existing under the laws of the State of Delaware, U.S.A. and having an office and place of business at 3773 Corporate Parkway, Center Valley, Pennsylvania 18034, U.S.A., is desirous of acquiring the entire right, title and interest in said patents and patent properties and in any Letters Patent which may issue thereon;

NOW, THEREFORE, THIS IS TO WITNESS that for and in consideration of the sum of One Dollar (\$1.00) paid to ASSIGNOR by ASSIGNEE, receipt of which is hereby acknowledged, and for other good and valuable considerations, ASSIGNOR hereby does sell, assign, transfer and confirm, unto ASSIGNEE, its successors, assigns and legal representatives, all right, title and interest in and to said inventions and any improvements thereon for all countries of the world, and in and to said patent application, including the right to claim priority under the International Convention based on said patent application, and any Letters Patent which may issue thereon, and all right, title and interest in and to every patent application filed or to be filed on said invention in any other country, including renewals, revivals, continuations, continuations-in-part, and divisions thereof, and any substitute applications therefor, and any and all Letters Patents which may issue thereon, and any reissues and extensions of the same; and ASSIGNOR hereby authorizes and requests competent authorities to grant and issue any and all Letters Patents on said invention to ASSIGNEE as the assignee of the entire interest therein; and ASSIGNOR further agrees to execute upon request of ASSIGNEE such additional documents, if any, as are necessary and proper to secure patent protection on said invention throughout all countries of the world, and to otherwise give full effect to, and perfect the rights of ASSIGNEE under this Assignment.

AND, ASSIGNOR and ASSIGNEE agree that this Assignment of United States Letters Patent may be executed in two or more counterparts, each of which shall be deemed to be an original of this Assignment of United States Letters Patent and all of which, when taken together, will be deemed to constitute one and the same agreement. ASSIGNOR and ASSIGNEE may deliver an executed copy hereof by facsimile transmission to the other party hereto and any such delivery shall have the same force and effect as any other delivery of a manually signed copy of this Assignment of United States Letters Patent

[Signature pages follow]

COW

[Assignors signature page - Assignment of US Letters Patent]

IN WITNESS WHEREOF, this Assignment of United States Letters Patent has been
executed this ~~25th~~ day of ~~February~~, 2005.

1st March

ASSIGNORS

SPINAL INNOVATIONS, INC.

By

Name: Robin M. Sandlin

Title: Authorized Officer

SPINAL INNOVATIONS, L.L.C.

By

Name: Robin M. Sandlin

Title: Authorized Officer

SPINAL INNOVATIONS HOLDINGS, L.L.C.

By

Name: Robin M. Sandlin

Title: Authorized Officer

State, Tennessee

County of Shelby

On this 1st day of March, 2005 appeared before me in person the above-named Robin M. Sandlin, and acknowledged the above to be his signature and that he signed, sealed and delivered the above instrument as his voluntary act and deed and for the uses and purposes therein set forth.

(SEAL)

Notary Public

My Commission Expires:

7-12-07

[Assigned signature page - Assignment of US Letters Patent]

ASSIGNEE

AESCU LAP II, INC.

By 

Name: Dirk M. Kuyper

Title: President

Commonwealth of Pennsylvania : ss
County of Lehigh :

On this 3rd day of March, 2005 appeared before me in person the above-named _____, and acknowledged the above to be his signature and that he signed, sealed and delivered the above instrument as his voluntary act and deed and for the uses and purposes therein set forth.

(SEAL)

Notary Public



My Commission Expires:

March 4, 2006

