

Form PTO-1595 (Rev. 07/05)
OMB No. 0651-0027 (exp. 6/30/2008)U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office**RECORDATION FORM COVER SHEET
PATENTS ONLY**

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)Michael L. PERRY
Jeremy A. SCHROOTENAdditional name(s) of conveying party(ies) attached? Yes No**2. Name and address of receiving party(ies)**Name: UTC FUEL CELLS, LLC

Internal Address: _____

Street Address: 195 Governor's HighwayCity: South WindsorState: ConnecticutCountry: U.S.A. Zip: 06074Additional name(s) & address(es) attached? Yes No**3. Nature of conveyance/Execution Date(s):**Execution Date(s) December 18, 2003

- Assignment Merger
 Security Agreement Change of Name
 Joint Research Agreement
 Government Interest Assignment
 Executive Order 9424, Confirmatory License
 Other _____

4. Application or patent number(s): This document is being filed together with a new application.

A. Patent Application No.(s)

10/750,347

B. Patent No.(s)

Additional numbers attached? Yes No**5. Name and address to whom correspondence concerning document should be mailed:**Name: Attorney Malcolm J. Chisholm, Jr.

Internal Address: _____

Street Address: P.O. Box 278220 Main StreetCity: LeeState: MAZip: 01238Phone Number: 413-243-0551Fax Number: 413-243-0552Email Address: mjc@micpatents.com**6. Total number of applications and patents involved:** _____**7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00**

- Authorized to be charged by credit card
 Authorized to be charged to deposit account
 Enclosed
 None required (government interest not affecting title)

8. Payment Informationa. Credit Card Last 4 Numbers _____
Expiration Date _____b. Deposit Account Number 50-1307Authorized User Name Malcolm J. Chisholm, Jr.**9. Signature:**
Signature

September 5, 2007

Date

Malcolm J. Chisholm, Jr.
Name of Person SigningTotal number of pages including cover sheet, attachments, and documents: 5Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

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PATENT
REEL: 019784 FRAME: 0522

CHI \$40.00 501307 10750347

ASSIGNMENT

Serial No.

10/750,347

Filing Date

12/31/2003

WHEREAS, we, MICHAEL L. PERRY, residing at 131 Bluff Point Road, South Glastonbury, CT 06073, and JEREMY A. SCHROOTEN, residing at 18 Carolyn Drive, Hebron, CT 06248, all being citizens of the United States of America, have invented certain new and useful improvements in a "FREEZE TOLERANT FUEL CELL POWER PLANT WITH A DIRECT CONTACT HEAT EXCHANGER" invention identified as Attorney Docket No. C-3346, and described in a patent application executed by the undersigned, being owner of all right, title, and interest in and to said application and in and to any invention described therein, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned; and

WHEREAS, UTC FUEL CELLS, LLC, of South Windsor, Connecticut, a limited liability company registered with the State of Delaware (assignee) desires to obtain the entire right, title and interest in, to and under said invention or inventions and any and all patents to be obtained therefor;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged by the undersigned, the undersigned do hereby sell, assign, transfer and set over unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid application; and in any applications filed in any foreign country based thereon, including the right to file said foreign applications and to claim priority under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the undersigned hereby authorize and request the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

The undersigned further agree, without any further payment or compensation by said assignee or its successors and assigns, to communicate to said assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings whenever requested;

testify in any interference or other legal proceedings, whenever requested; execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and generally do everything possible to aid said assignee, its successors or assigns and nominees to obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

IN TESTIMONY WHEREOF, the undersigned have hereunto set their hands and seals on the date after their signatures.

- 1. (L.S.) [Signature] 12/18, 2003
- 2. (L.S.) [Signature] 12/18, 2003

State of Connecticut
County of Hartford ss: South Windsor

On this 18th day of December, 2003, before me personally appeared MICHAEL L. PERRY, to me personally known, and to me known to be the person who signed the foregoing assignment, and acknowledged the signing of same as his free act and deed.

[Signature]
(
Notary Public, State of **DARLENE S. SUTTON**
MY COMMISSION EXPIRES: **NOTARY PUBLIC**
My Commission Expires May 31, 2007

(Seal)

State of Connecticut
County of Hartford ss: South Windsor

On this 18th day of December, 2003, before me personally appeared JEREMY R. SCHROOTEN, to me personally known, and to me known to be the person who signed the foregoing assignment, and acknowledged the signing of same as his free act and deed.

[Signature]
(
Notary Public, State of **DARLENE S. SUTTON**
MY COMMISSION EXPIRES: **NOTARY PUBLIC**
My Commission Expires May 31, 2007

(Seal)