

Form PTO-1595 (Rev. 07/05)  
OMB No. 0651-0027 (exp. 6/30/2008)

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

## RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

### 1. Name of conveying party(ies)

Ivan Elmer Woltmann  
Sean Stacey Archer  
Frank Gerald Bachman  
Ralph Henry Winslow

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

### 3. Nature of conveyance/Execution Date(s):

Execution Date(s) August 31, 2007

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

### 2. Name and address of receiving party(ies)

Name: General Electric Company

Internal Address: \_\_\_\_\_

Street Address: One River Road

City: Schenectady

State: NY

Country: USA Zip: 12345

Additional name(s) & address(es) attached? ☐ Yes ☒ No

### 4. Application or patent number(s):

A. Patent Application No.(s)

11/848,587

☐ This document is being filed together with a new application.  
B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

### 5. Name and address to whom correspondence concerning document should be mailed:

Name: Steven J. Rosen  
Patent Attorney

Internal Address: \_\_\_\_\_

Street Address: 4729 Cornell Road

City: Cincinnati

State: OH Zip: 45241

Phone Number: 513-489-5383

Fax Number: 513-489-5466

Email Address: \_\_\_\_\_

### 6. Total number of applications and patents involved: 1

### 7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card  
☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

### 8. Payment Information

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number 07-0865

Authorized User Name \_\_\_\_\_

### 9. Signature:

Steven J. Rosen  
Signature

9/5/2007

Date

Steven J. Rosen, Patent Attorney

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 3

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

**PATENT**

**REEL: 019784 FRAME: 0658**

**700340794**

CH \$40.00 070865 11848587

ASSIGNMENTDocket No. 225206

In accordance with obligations entered into pursuant to an Employee Innovation and Proprietary Information Agreement and/or other agreement and/or for other good and valuable consideration, of which I/we acknowledge receipt, I/we:

<u>Ivan Elmer Woltmann</u>	of	<u>West Chester, OH</u>
<u>Sean Stacey Archer</u>	of	<u>Cincinnati, OH</u>
<u>Frank Gerald Bachman</u>	of	<u>Maineville, OH</u>
<u>Ralph Henry Winslow</u>	of	<u>Fairfield, OH</u>

hereby sell and assign to General Electric Company, a New York Corporation, (hereinafter referred to as "Company"), having an address at 1 River Road, Schenectady, New York 12345, USA, its successors and assigns my/our entire respective right(s), title(s), and interest(s) in and to the invention and improvements in:


AUGMENTOR WITH TRAPPED VORTEX CAVITY PILOT

invented and originated by me/us, and described in the application for United States Patent

[ ] executed concurrently herewith, or  
[X] U.S. Serial No.: 11/848,587, filed August 31, 2007

and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, reexaminations, reissues, and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patents on said inventions and improvements resulting therefrom to said Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, I/we will communicate to said Company or its representatives or nominees any facts known to me/us respecting said inventions and improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, reexamination and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said invention and its improvements in all countries.

8/31/07  
Date

  
Ivan Elmer Woltmann

8/31/07  
Date

  
Sean Stacey Archer

**PATENT**  
**REEL: 019784 FRAME: 0659**

## ASSIGNMENT

Docket No. 225206

Page 2

8-31-2007  
Date

Frank Gerald Bachman  
Frank Gerald Bachman

8-31-2007  
Date

Ralph Henry Winslow  
Ralph Henry Winslow

State of Ohio  
County of Hamilton

This 31st day of August, 2007 before me personally came the above-named Ivan Elmer Woltmann to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.



**KIMBERLY PENDLETON**  
Notary Public, State of Ohio  
My Commission Expires April 8, 2012

Kimberly Pendleton  
Notary Public

This 31st day of August, 2007 before me personally came the above-named Sean Stacey Archer to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.



**KIMBERLY PENDLETON**  
Notary Public, State of Ohio  
My Commission Expires April 8, 2012

Kimberly Pendleton  
Notary Public

This 31st day of August, 2007 before me personally came the above-named Frank Gerald Bachman to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.



**KIMBERLY PENDLETON**  
Notary Public, State of Ohio  
My Commission Expires April 8, 2012

Kimberly Pendleton  
Notary Public

This 31st day of August, 2007 before me personally came the above-named Ralph Henry Winslow to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.



**KIMBERLY PENDLETON**  
Notary Public, State of Ohio  
My Commission Expires April 8, 2012

Kimberly Pendleton  
Notary Public

PATENT

RECORDED: 09/05/2007

REEL: 019784 FRAME: 0660