

09-05-2007



IT

103441778

To the Director of the U.S. Patent

and documents or the new address(es) below.

1. Name of conveying party(ies)

Chris MATHES
Bryan HALL
Michael TOTH

2. Name and address of receiving party(ies)

Name: Wachovia Corporation

Internal Address: One Wachovia Center, 30th Floor

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

Street Address: 301 South College Street, NC0630

City: Charlotte

State: NC

Country: US Zip: 28288-0630

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) August 28, 2007

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other

4. Application or patent number(s):

☒ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Susan S. Jackson

Internal Address: Kennedy Covington Lobdell &
Hickman, LLP

Street Address: 214 North Tryon Street
Hearst Tower, 47th Floor

City: Charlotte

State: NC Zip: 28202

Phone Number: 704.331.7400

Fax Number: 704.353.3110

Email Address: docket@kennedycovington.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers

Expiration Date

b. Deposit Account Number 18-1215

Authorized User Name Susan S. Jackson

9. Signature:

Susan S. Jackson
Signature

August 28, 2007

Date

Susan S. Jackson

Name of Person Signing

Total number of pages including cover

6

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

09/04/2007 NJAMA1 00000009 11895888

01 FC:8021

40.00 DP

PATENT
REEL: 019793 FRAME: 0955

ASSIGNMENT

WHEREAS,

**CHRIS MATHES
5841 Heartwood Ct.
Harrisburg, NC 28075**

**BRYAN HALL
9819 Hobbitshire Lane
Charlotte, NC 28269**

and

**MICHAEL TOTH
2401 Laburnum Ave.
Charlotte, NC 28205**

(hereinafter "Assignors") have invented certain new and useful improvements and/or designs (hereinafter "Invention"), for a full description of which reference is here made to a patent application entitled:

SYSTEM AND METHOD FOR DETECTION AND PREVENTION OF COMPUTER FRAUD

(hereinafter "Application") filed or to be filed with the U.S. Patent & Trademark Office, and for which Assignors hereby authorize and request Assignee to fill in the following blanks specifying the serial number and filing date for said application identified above (but Assignee's failure to do so shall have no bearing whatsoever upon the validity or legal effect of this Assignment):

Serial Number: _____

Filed on: _____; and

WHEREAS,

**Wachovia Corporation
One Wachovia Center, 30th Floor
301 South College Street, NC0630
Charlotte, North Carolina 28288-0630**

(hereinafter "Assignee") is desirous of acquiring the entire right, title and interest in, to, and under the Invention and the Application, and in, to, and under any and all patents that may be obtained for the Invention, together with all foreign rights corresponding thereto;

NOW, THEREFORE, to all whom it may concern, be it known that, for and in consideration of the sum of One Dollar (\$1.00), and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Assignors have agreed to sell, assign, transfer and convey, and by these presents do hereby sell, assign, transfer and convey, unto Assignee the entire right, title, interest, and obligations in, to and under:

- The Invention and the Application;
- Any patent of the United States of America that may be obtained for the Invention;
- Any corresponding applications for patent of the Invention in any and all foreign countries; and
- Any reissue, extension, renewal, substitution, confirmation, division, continuation and the like of any of the foregoing (hereinafter collectively "Invention Rights").

FURTHERMORE,

Assignors hereby covenant and agree, and bind any and all heirs, administrators, legal representatives, and assigns, to assist and cooperate with Assignee in the preparation and prosecution of any application included within the Invention Rights and in the prosecution or defense of any interference, opposition, or other proceeding that may arise in connection with any application or patent included within the Invention Rights and, further, to execute and deliver to Assignee any and all additional petitions, oaths, assignments, or other papers or instruments that may be requested by Assignee for the purpose of implementing the terms of this Agreement. Assignee shall reimburse Assignors for their reasonable out-of-pocket expenses incurred in providing such assistance and cooperation.

Assignors hereby authorize and empower Assignee to invoke and claim for any application or patent included within the Invention Rights the benefit of any rights to which Assignors might be entitled under international law or under the laws of any particular foreign country, and to invoke and claim such rights without further written or oral authorization from Assignors.

Assignors hereby consent and agree that a copy of this Assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file, or like document that may be required in any particular foreign country for any


purpose and, more particularly, in proof of the right of Assignee to claim the aforesaid benefit of the right of priority.

Assignors covenant and agree that this Assignment and all the terms thereof shall inure to the benefit of the successors, assigns, legal representatives, or nominees of Assignee, without further written or oral authorization from Assignors.

Finally, Assignors hereby authorize and request the Commissioner of Patents and Trademarks of the United States Patent and Trademark Office to issue any patent included within the Inventions Rights to Assignee.

This the 28th day of August, 2007.

Chris Mathes
CHRIS MATHES (Assignor)

| NOTARIZATION | |
|--|----------------------|
| State of | <u>NC</u> |
| County of | <u>Stanly</u> |
| United States of America | |
| On this <u>28</u> day of <u>August</u> , 2007, appeared before me the above-identified person who executed the foregoing document, and who acknowledged that he/she signed it as a free act on his/her own behalf or on behalf of the identified corporation or other juristic entity, and with authority to do so). | |
| Notary Public | <u>Jo Anna Grigg</u> |
| My Commission Expires: | <u>7-5-2008</u> |
| <div style="text-align: right;"> OFFICIAL SEAL Notary Public, North Carolina County of Stanly JO ANNA GRIGG My Commission Expires July 5, 2008</div> | |

This the 28th day of August, 2007.


BRYAN HALL (Assignor)

NOTARIZATION

State of NC)
County of Stanly)
United States of America

On this 28 day of August, 2007, appeared before me the above-identified person who executed the foregoing document, and who acknowledged that he/she signed it as a free act on his/her own behalf (or on behalf of the identified corporation or other juristic entity, and with authority to do so).


Notary Public



OFFICIAL SEAL
Notary Public, North Carolina
County of Stanly

JO ANNA GRIGG

My Commission Expires July 5, 2008

My Commission Expires: 7-5-2008

This the 28 day of August, 2007.

Michael T.

MICHAEL TOTH (Assignor)

NOTARIZATION

State of North Carolina)

County of Mecklenburg)
United States of America

On this 28th day of August, 2007, appeared before me the above-identified person who executed the foregoing document, and who acknowledged that he/she signed it as a free act on his/her own behalf (or on behalf of the identified corporation or other juristic entity, and with authority to do so).

Kathie D. McGee

Notary Public

My Commission Expires: 5/12/2010