

Form PTO-1595 (Rev. 07/05)
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U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

Docket No. P0762US-7

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

ROBERT UNDERWOOD and YIFENG WU

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 07/17/2007

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other

2. Name and address of receiving party(ies)

Name: CREE, INC.

Internal Address:

Street Address: 340 STORKE ROAD

City: GOLETA

State: CA

Country: U.S.A.

Zip: 93117

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)
11/879,665

☐ This document is being filed together with a new application.
B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: JAYE G. HEYBL

Internal Address: KOPPEL, PATRICK, HEYBL & DAWSON

Street Address: 555 ST CHARLES DRIVE, SUITE 107

City: THOUSAND OAKS

State: CA

Zip: 91360

Phone Number: (805) 373-0060

Fax Number: (805) 373-0051

Email Address: generalmail@koppelpatent.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

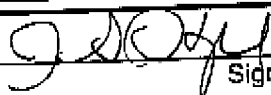
8. Payment Information

a. Credit Card Last 4 Numbers
Expiration Date

b. Deposit Account Number 11-1580

Authorized User Name RICHARD S. KOPPEL

9. Signature:



Signature

JAYE G. HEYBL

Name of Person Signing

9/10/07
Date

Total number of pages including cover sheet, attachments, and documents: 3

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
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CH \$40.00 111580 11879665

Docket No. P0762US-7

A S S I G N M E N T

We, ROBERT UNDERWOOD, residing in Santa Barbara, California and YIFENG WU, residing in Goleta, California, represent that we have invented improvements in a new and useful "LED WITH INTEGRATED CONSTANT CURRENT DRIVER" for which we have executed an application for Letters Patent of the United States of America.

CREE, INC. (CREE) is a corporation organized and existing under the laws of the State of North Carolina, has a place of business at, 340 Storke Road, Goleta, California 93117 and desires to acquire the entire right, title and interest in and to the invention, application and letters patent to be granted and issued thereon.

For valuable consideration, the receipt and legal sufficiency of all of which we acknowledge, we have sold and do hereby sell, assign, transfer and set over unto CREE, its successors and assigns, the entire right, title and interest in and to the invention and all improvements thereon, in and to the application for letters patents thereon, in and to applications pertaining to or based upon the invention and application, including divisional and continuing applications and continuations-in-part, and in and to any and all letters patent which may be granted and issued on the invention and applications, or any of them, in the United States of America, its territories and possessions, and in all countries foreign thereto, together with and including all priority rights based upon any and all applications in the United States of America covered by this Assignment.

We also agree that we will, at the request of CREE, execute any and all applications for letters patent for the invention, execute any and all other papers and documents and do all other and further lawful acts that CREE may deem necessary or desirable to obtain letters patent on the invention, to secure the grant of such letters patent and to protect and vest in CREE, the undivided interests in the right, title and interest in the invention, applications and letters patent.

We also authorize and empower CREE, its successors and assigns to apply for and obtain, in its or their own names, letters patent for the invention before competent International Authorities and in any and all countries foreign to the United States in which applications for letters patent can be so made or letters patent so obtained.

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Page two

Date: 7/17/2007, 2007 Robert Underwood
ROBERT UNDERWOOD

Date: 7/17/2007, 2007 Yifeng Wu
YIFENG WU

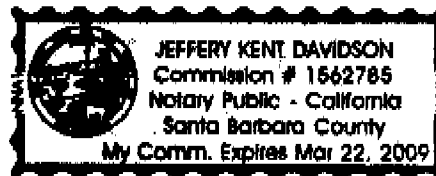
STATE OF CALIFORNIA) ss.
COUNTY OF SANTA BARBARA

On JULY 17, 2007 before me, Jeffery Kent Davidson a
Notary Public in and for said State, personally appeared ROBERT
UNDERWOOD and YIFENG WU, personally known to me (or proved to me on
the basis of satisfactory evidence) to be the person whose name is
subscribed to this instrument, and acknowledged to me that he
executed it.

WITNESS my hand and official seal.

JKD
Notary Public

M/J7-P0762US-7assign



Docket No. P0762US-7

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: ROBERT UNDERWOOD et al. Examiner: unknown

Serial No. 11/879,665

Art Unit: 2811

Filing Date: July 17, 2007

For: LED WITH INTEGRATED CONSTANT CURRENT DRIVER

Mail Stop Assignment Recordation Services
Director of the USPTO
P.O. Box 1450
Alexandria, VA 22313-1450

RECORDATION/ASSIGNMENT TRANSMITTAL


Sir:

Transmitted herewith is the executed Assignment along with the Recordation Cover Sheet for the above-identified application.

If any additional fee is required, charge Account No. 11-1580. A duplicate of this transmittal is attached.

Respectfully submitted,

Date: 9/10/07

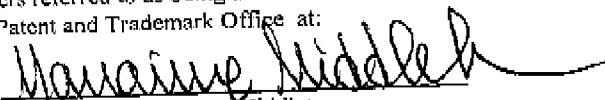

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CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper (along with any papers referred to as being attached or enclosed) is being facsimile transmitted to the United States Patent and Trademark Office at:
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9/10/07
Date


Marianne Middleton

PATENT
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FAX COVER SHEET

DATE: SEPTEMBER 10, 2007

FROM: JAYE G. HEYBL

TO: ASSIGNMENT RECORDATION SERVICES

RE: LED WITH INTEGRATED CONSTANT CURRENT DRIVER

DOCKET: P0762US-7

FAX NO.: (571) 273-0140

MESSAGE: PLEASE CONFIRM RECEIPT.

NUMBER OF PAGES (Including cover sheet) 5

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