

PATENT ASSIGNMENT

Electronic Version v1.1

Stylesheet Version v1.1

SUBMISSION TYPE:

CORRECTIVE ASSIGNMENT

NATURE OF CONVEYANCE:

Corrective Assignment to correct the name of assignee to Alantos Pharmaceuticals Holding, Inc. and name of 9th inventor to Frickel, Fritz-Frieder previously recorded on Reel 017929 Frame 0114. Assignor(s) hereby confirms the correct spelling of the assignee is Alantos Pharmaceuticals Holding, Inc. and 9th inventor name is Frickel, Fritz-Frieder.

CONVEYING PARTY DATA

Name	Execution Date
Heiko Kroth	06/14/2006
Tim Feuerstein	06/16/2006
Frank Richter	06/14/2006
Jurgen Boer	06/19/2006
Michael Essers	06/14/2006
Bert Nolte	06/14/2006
Matthias Schneider	06/14/2006
Matthias Hochguertel	06/14/2006
Arthur Taveras	07/07/2006
Fritz-Frieder Frickel	06/29/2006

RECEIVING PARTY DATA

Name:	Alantos Pharmaceuticals Holding, Inc.
Street Address:	840 Memorial Drive
Internal Address:	Riverside Technology Center
City:	Cambridge
State/Country:	MASSACHUSETTS
Postal Code:	02139

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	11409481

CORRESPONDENCE DATA

Fax Number: (973)331-1717

PATENT

500354096

REEL: 019826 FRAME: 0662

CH \$40.00 11409481

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: 973-331-1700
Email: nmartiak@hoffmannbaron.com
Correspondent Name: Daniel A. Scola, Jr.
Address Line 1: 6900 Jericho Turnpike
Address Line 2: HOFFMANN & BARON, LLP
Address Line 4: Syosset, NEW YORK 11791

ATTORNEY DOCKET NUMBER:

1491-3

NAME OF SUBMITTER:

Nichole E. Martiak

Total Attachments: 16

source=1491-3_Assignment_minus_J_Boer#page1.tif
source=1491-3_Assignment_minus_J_Boer#page2.tif
source=1491-3_Assignment_minus_J_Boer#page3.tif
source=1491-3_Assignment_minus_J_Boer#page4.tif
source=1491-3_Assignment_minus_J_Boer#page5.tif
source=1491-3_Assignment_minus_J_Boer#page6.tif
source=1491-3_Assignment_minus_J_Boer#page7.tif
source=1491-3_Assignment_minus_J_Boer#page8.tif
source=1491-3_Assignment_minus_J_Boer#page9.tif
source=1491-3_Assignment_minus_J_Boer#page10.tif
source=1491-3_Assignment_minus_J_Boer#page11.tif
source=1491-3_Assignment_minus_J_Boer#page12.tif
source=1491-3_Assignment_minus_J_Boer#page13.tif
source=1491-3_Assignment_minus_J_Boer#page14.tif
source=1491-3_Assignment_minus_J_Boer#page15.tif
source=1491-3_Assignment_minus_J_Boer#page16.tif

PATENTS ONLY

Packet No.:

Tab settings → → → ▼ ▼ ▼ ▼ ▼ ▼ ▼ ▼

To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

**Heiko Kroth
Tim Feuerstein
Frank Richter
Jurgen Boer**

Additional names(s) of conveying party(ies)

☒ Yes ☐ No

2. Name and address of receiving party(ies):

Name: Alantos Pharmaceuticals Holding, Inc. **MEM**

Internal Address: _____ **7/18/07**

Street Address: Riverside Technology Center

840 Memorial Drive

City: Cambridge State: MA ZIP: 02139

Execution Date: 6/14/06, 6/16/06, 6/14/06, 6/19/06

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

**11/409,481, filed
April 21, 2006**

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Daniel A. Scola, Jr., Hoffmann & Baron

Internal Address: _____

Street Address: 6900 Jericho Turnpike

City: Syosset State: NY ZIP: 11791

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☒ Authorized to be charged to deposit account

8. Deposit account number:

08-2461

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Nichole E. Martiak, Reg. No. 55,832

Name of Person Signing

Signature

July 12, 2006

Date

Total number of pages including cover sheet, attachments, and document:

6

Mail documents to be recorded with required cover sheet information to:
Mail Stop Assignment Recordation Services

Director of the United States Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450

**PATENT
REEL: 019826 FRAME: 0664**

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

Additional names of conveying parties:

Michael Essers

Bert Nolte

Matthias Schneider

Matthias Hochguertel
~~Fritz - Frieder~~  7/10/2007
~~Friz-Frieder~~ Frickel

Arthur Taveras

Execution dates: 6/14/06, 06/14/06, 6/14/06, 6/14/06, 6/29/06, 07/07/06

Attorney's Docket No.: 1491-3

PATENT

For: ☒ U.S. and/or ☒ Foreign Rights
For: ☒ U.S. Application;
☐ U.S. Provisional Application;
☐ U.S. Patent; or
☐ PCT Application
By: ☒ Inventors or ☐ Present Owners

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS: (inventor(s) or person(s) or entity(ies) who own the invention)

<u>Heiko Kroth</u> Name	<u>Tim Feuerstein</u> Name	<u>Frank Richter</u> Name
<u>Marie-Curie-Weg 3</u> Address	<u>Kurt-Lindemann-Strasse 48</u> Address	<u>Muehlingstrasse 15</u> Address
<u>D-69181 Leimen, Germany</u>	<u>D-69151 Neckargemuend,</u> Germany	<u>D-69121 HD-Handschuhsheim,</u> Germany
<u>Germany</u> Nationality	<u>Germany</u> Nationality	<u>Germany</u> Nationality
<u>Jürgen Boer</u> Name	<u>Michael Essers</u> Name	<u>Bert Nolte</u> Name
<u>Albrechtstrasse 34</u> Address	<u>Ziegelhaeuser Strasse 80</u> Address	<u>Hoehenweg 42</u> Address
<u>D-65185 Wiesbaden, Germany</u>	<u>D-69250 Schoenau, Germany</u>	<u>D-69250 Schoenau, Germany</u>
<u>Germany</u> Nationality	<u>Germany</u> Nationality	<u>Germany</u> Nationality

Matthias Schneider
Name

Matthias Hochguertel
Name

Fritz-Frieder Frickel
Name

Adalbert-Stifter-Strasse 8
Address

Dossenheimer Weg 53
Address

Silvanerweg 7
Address

D-69221 Dossenheim, Germany

D-69198 Schriesheim, Germany

D-67146 Deidesheim, Germany

Germany
Nationality

Germany
Nationality

Germany
Nationality

Arthur Taveras
Name

Name _____

Name _____

6 Joslin Lane
Address

Address

Address

Southborough, Massachusetts
01772 US

US

Nationality

Nationality

Nationality

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

Recorded on _____

Reel _____

Frame

hereby sells, assigns and transfers to

ASSIGNEE:

Alantos Pharmaceuticals Holding, Inc.
(Type or print name of ASSIGNEE)

MEMO 7/18/2007
HIC 07/26/2007

Riverside Technology Center, 840 Memorial Drive
Address

Cambridge, MA 02139

US

Nationality

<u>Matthias Schneider</u> Name	<u>Matthias Hochguertel</u> Name	<u>Fritz-Frieder Frickel</u> Name
<u>Adalbert-Stifter-Strasse 8</u> Address	<u>Dossenheimer Weg 53</u> Address	<u>Silvanerweg 7</u> Address
<u>D-69221 Dossenheim, Germany</u>	<u>D-69198 Schriesheim, Germany</u>	<u>D-67146 Deidesheim, Germany</u>
<u>Germany</u> Nationality	<u>Germany</u> Nationality	<u>Germany</u> Nationality
<u>Arthur Taveras</u> Name	 Name	 Name
<u>6 Joslin Lane</u> Address	 Address	 Address
<u>Southborough, Massachusetts</u> <u>01772 US</u>		
<u>US</u> Nationality	 Nationality	 Nationality

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

Recorded on _____ Reel _____
Frame _____

hereby sells, assigns and transfers to

ASSIGNEE:

Alantos Pharmaceuticals Holding, Inc.
(Type or print name of ASSIGNEE)

RL 8/30/2002
(RM) 7/18/2007

Riverside Technology Center, 840 Memorial Drive
Address

Cambridge, MA 02139

US
Nationality

Matthias Schneider
Name

Adalbert-Stifter-Strasse 8
Address

D-69221 Dossenheim, Germany

Germany

Nationality

Matthias Hochguertel
Name

Dossenheimer Weg 53
Address

D-69198 Schriesheim, Germany

Germany
Nationality

Fritz-Frieder Frickel
Name

Silvanerweg 7
Address

D-67146 Deidesheim, Germany

Germany
Nationality

Arthur Taveras
Name

6 Joslin Lane
Address

Southborough, Massachusetts
01772 US

US

Nationality

Name _____

Address

Nationality

Name _____

Address

Nationality

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

Recorded on _____

Reel _____
Frame _____

hereby sells, assigns and transfers to

ASSIGNEE:

Alantos Pharmaceuticals Holding, Inc.
(Type or print name of ASSIGNEE)

Riverside Technology Center, 840 Memorial Drive
Address

Cambridge, MA 02139

US

Nationality

Assignment of Invention – Page 2 of 8

Matthias Schneider
Name

Matthias Hochguertel
Name

Fritz-Frieder Frickel
Name

Adalbert-Stifter-Strasse 8
Address

Dossenheimer Weg 53
Address

Silvanerweg 7
Address

D-69221 Dossenheim, Germany

D-69198 Schriesheim, Germany

D-67146 Deidesheim, Germany

Germany
Nationality

Germany
Nationality

Germany
Nationality

Arthur Taveras
Name

Name

Name _____

6 Joslin Lane
Address

Address

Address

Southborough, Massachusetts
01772 US

US

Nationality

Nationality

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

Recorded on _____

Reel _____
Frame _____

hereby sells, assigns and transfers to

ASSIGNEE:

Alantos Pharmaceuticals Holding, Inc.
(Type or print name of ASSIGNEE)

Mem 7/18/2007
AB 7/25/2007

Riverside Technology Center, 840 Memorial Drive
Address

Cambridge, MA 02139

US

Nationality

Assignment of Invention - Page 2 of 8

S. 002

5. : 8/2

Am:006221739139

Empf.-dat/2e11 26-JUL-2007(D0) 09:04
PATENT 26-JUL-2007 08:19 Von:

PATENT

REEL: 019826 FRAME: 0670

Matthias Schneider
Name

Adalbert-Stifter-Strasse 8
Address

D-69221 Dossenheim, Germany

Germany

Nationality

Matthias Hochguertel
Name

Dossenheimer Weg 53
Address

D-69198 Schriesheim, Germany

Germany
Nationality

Fritz-Frieder Fricke

Silvanerweg 7
Address

D-67146 Deidesheim, Germany

Germany
Nationality

Arthur Taveras
Name

6 Joslin Lane
Address

Southborough, Massachusetts
01772 US

US
Nationality

Name _____

Address

Nationality

Name _____

Address

Nationality

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

Recorded on _____

Reel _____
Frame _____

hereby sells, assigns and transfers to

ASSIGNEE:

Alantos Pharmaceuticals Holding, Inc.
(Type or print name of ASSIGNEE)

Riverside Technology Center, 840 Memorial Drive
Address

Cambridge, MA 02139

US
Nationality

Assignment of Invention – Page 2 of 8

Name _____

Name _____

Name _____

Address

Address

Address

Germany

Nationality

Germany

Nationality

Germany

Nationality

Name _____

Name _____

Name _____

Address

Address

Address

Southborough, Massachusetts
01772 US

US

Nationality

Nationality

Nationality

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

Recorded on _____

Reel _____

Frame

hereby sells, assigns and transfers to

ASSIGNEE:

Alantos Pharmaceuticals Holding, Inc.
(Type or print name of ASSIGNEE)

new

7/18/2007

MS- 7134/07

Riverside Technology Center, 840 Memorial Drive

Address

Cambridge, MA 02139

US

Nationality

Assignment of Invention – Page 2 of 8

PATENT
REEL: 019826 FRAME: 0672

Matthias Schneider
Name

Adalbert-Stifter-Strasse 8
Address

D-69221 Dossenheim, Germany

Germany
Nationality

^h
HOCHGUERTEL
Matthias Hochguertel
Name

Dossenheimer Weg 53
Address

D-69198 Schriesheim, Germany

Germany
Nationality

Fritz-Frieder Fricke
Name

Silvanerweg 7
Address

D-67146 Deidesheim, Germany

Germany
Nationality

Arthur Taveras
Name

6 Joslin Lane
Address

Southborough, Massachusetts
01772 US

US
Nationality

Name

Address

Nationality

Name

Address

Nationality

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

Recorded on _____

Reel _____
Frame _____

hereby sells, assigns and transfers to

ASSIGNEE:

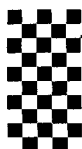
Alantos Pharmaceuticals Holding, Inc.
(Type or print name of ASSIGNEE)

Ren 7/18/2007
Hh 7/25/2007

Riverside Technology Center, 840 Memorial Drive
Address

Cambridge, MA 02139

US
Nationality



7 07/26-07

Matthias Schneider
Name

Adalbert-Stifter-Strasse 3
Address

D-69221 Dossenheim, Germany
Germany
Nationality

Arthur Taveras
Name

6 Joslin Lane
Address

Southborough, Massachusetts
01772 US
US
Nationality

Matthias Hochguertel
Name

Dossenheimer Weg 53
Address

D-69198 Schriesheim, Germany
Germany
Nationality

Name

Address

Nationality

Fritz-Frieder Frickel
Name

Silvanerweg 7
Address

D-67146 Deidesheim, Germany
Germany
Nationality

Name

Address

Nationality

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

Recorded on _____

Reel _____
Frame _____

hereby sells, assigns and transfers to

ASSIGNEE:

Alantos Pharmaceuticals Holding, Inc.
(Type or print name of ASSIGNEE)

(new) 7/10/2007

Riverside Technology Center, 840 Memorial Drive
Address

Cambridge, MA 02139

US
Nationality

Fritz-Frieder Frickel
Name

Silvanerweg 7
Address

D-67146 Deidesheim, Germany

Germany
Nationality

Name _____

Address

Nationality

Reel _____
Frame _____

ASSIGNEE:

(Ment) 7/18/2007
 (Ment) 8/16/2007

US
Nationality

and the successors, assigns and legal representatives of the ASSIGNEE,

(complete one of the following)

- ☒ the entire right, title and interest
☐ an undivided _____ percent (_____%) interest

for the United States and its territorial possessions

- ☒ and in all foreign countries, including all rights to claim priority,

in and to any and all improvements which are disclosed in the invention entitled:

DEPEPTIDYL PEPTIDASE-IV INHIBITORS

and which is found in

(check and complete (a), (b), (c), (d), (e), (f), or (g))

- (a) ☐ U.S. patent application executed on even date herewith
(b) ☐ U.S. patent application executed on _____
(c) ☐ U.S. provisional application naming the above inventor(s) for the above-identified invention.
 ☐ Express Mail label No.: _____, mailed: _____
 ☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known
(d) ☒ U.S. application Serial No. 11/409,481 filed on April 21, 2006.
(e) ☐ International application No. PCT/_____/_____
(f) ☐ U.S. Patent No. _____ issued _____
 ☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.
(g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any re-issue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

WARNING: Date of signing must be the same as the date of execution of the application if item (a) was checked above.

Date: X June 14, 2006
Date of signing

X Heiko Kroth
Heiko Kroth

Date: X June 14, 2006
Date of signing

X Tim Feuerstein
Tim Feuerstein

Date: X June 14, 2006
Date of signing

X Frank Richter
Frank Richter

Date: X June 13, 2006
Date of signing

X Jürgen Boer
Jürgen Boer

Date: X June 14, 2006
Date of signing

X Michael Essers
Michael Essers

Date: X June 14, 2006
Date of signing

X Bert Nolte
Bert Nolte

Date: X June 14, 2006
Date of signing

X Matthias Schneider
Matthias Schneider

Date: X June 14, 2006
Date of signing

X Matthias Hochguertel
Matthias Hochguertel

Date: X June 29, 2006
Date of signing

X Fritz-Frieder Frickel
Fritz-Frieder Frickel

Date: X July 7, 2006
Date of signing

X Arthur Taveras
Arthur Taveras

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

☒ Notarization or Legalization Page Added.