

09-17-2007

Attorney Docket U 012346-8

REC

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9-13-07



To the Director

103445476

attached document copy

(with signed English translation if needed).

1. Name of conveying party(ies):

1. LUCENT TECHNOLOGIES DENMARK A/S
- 2.
- 3.

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party (ies):

Name: OFS FITEL DENMARK I/S

Address: PRIORPARKEN 680
DK-2605, BRODBY, DENMARK

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Joint Research Agreement ☐ Change of Address *
- ☐ Government Interest Assignment (old address above)
- ☐ Executive Order 9424, Confirmatory License * may be by attorney
- ☐ Other _____

Execution Date(s): 1. 31 August 2007
2.
3.

Additional name(s) & addresses(es) attached?

☐ Yes ☒ No

4. Application number(s) or patent number(s)

☐ Being filed together with a new application.☐ Patent Application No.(s):☒ Patent No.(s): 6,151,429Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: William R. Evans

Address: Ladas & Parry LLP
26 West 61st Street
New York, N.Y. 10023
(fax) (212) 246-8959

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41) 1 @ \$40.00 each☒ Check Enclosed☒ Authorized to be charged in whole or in part to:

8. Deposit account number: 12-0425

9. Signature:

William R. Evans
Name of Person Signing

Signature

September 11, 2007
Date

Total number of pages including coversheet, attachments, and documents:

5

For: ☐ U.S. and/or ☐ Foreign Rights
For: ☐ U.S. Application or
☐ U.S. Provisional Application
For: ☒ U.S. Patent
For: ☐ PCT Application
By: ☐ Inventor(s) or ☐ Present Owner

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNOR:

Inventor(s) or person(s) or entity(ies)
who own the invention

LUCENT TECHNOLOGIES DENMARK A/S
(type or print name(s) of ASSIGNOR(S))
PRIORPARKEN 680
Address
DK-2605 BRODBY, DENMARK

DANISH
Nationality

*(if assignment is by person or entity to whom invention was previously assigned
and this was recorded in PTO, add the following)*

Recorded on _____ **Reel** _____
Frame _____

hereby sells, assigns and transfers to

ASSIGNEE:

OFS FITEL DENMARK I/S
(type or print name(s) of ASSIGNEE(S))
PRIORPARKEN 680
Address
DK-2605, BRODBY, DENMARK

DANISH
Nationality

and the successors, assigns and legal representatives of the ASSIGNEE

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☒ the entire right, title and interest

☐ an undivided _____ percent (_____ %) right, title and interest

for the United States and its territorial possessions

(check the following box, if foreign rights are also to be assigned)

☐ and in all foreign countries, including all rights to claim priority,

in and to any and all improvements which are disclosed in the invention entitled:

POLARISATION ASYMMETRIC ACTIVE OPTICAL WAVEGUIDE, METHOD OF ITS PRODUCTION, AND ITS USES

Name of inventor(s) MARTIN KRISTENSEN, JORG HUBNER, POUL VARMING, MILAN SEJKA, BERA PALSDOTTIR

(check and complete (a), (b), (c), (d), (e), (f) or (g))

and which is found in (37 C.F.R. 3.21)

- (a) ☐ Not yet filed U.S. patent application executed on even date herewith
- (b) ☐ Not yet filed U.S. patent application executed on _____
- (c) ☐ Not yet filed U.S. provisional application naming the above inventor(s) for the above-entitled invention
- ☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known.
- (d) ☐ U.S. patent or provisional patent application no. _____ filed on _____
- (e) ☐ International application no. PCT / _____ / _____ filed on _____
- (f) ☒ U.S. patent no. 6,151,429 issued November 21, 2000
- ☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.

(also check (g), if foreign application(s) is also being assigned)

(g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

WARNING: *The date of signing must be the same as the date of execution of the application, if item (a) was checked above.*

Date: ☒ 5/8/07

☒ [Signature]
Signature of ASSIGNOR(S)

Date: _____

Date: _____

Date: _____

(if ASSIGNOR is a legal entity, complete the following information)

☒ HANS DAMSGAARD
*(type or print the name of the above person
authorized to sign on behalf of ASSIGNOR)*
☒ MANAGING DIRECTOR
Title

NOTE: *No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized, then it will only be prima facie evidence of execution. 35 USC 261. Use next page if notarization is desired.*

[] Notarization or Legalization Page Added.

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