

09-18-2007

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1. Name of conveying party(ies)

Thomas Lake

2. Name and address of receiving party(ies)

Name: ProteoTech, Inc.

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 21 JUNE 2007

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Joint Research Agreement

☐ Government Interest Assignment

☐ Executive Order 9424, Confirmatory License

☐ Other _____

Street Address: 12040 115th AVE NE

City: Kirkland

State: WA

Country: USA

Zip: 98034

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

10/821,250

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Rebecca Eagen

Internal Address: _____

Street Address: 12040 115th AVE NE

City: Kirkland

State: WA

Zip: 98034

Phone Number: 425-823-0400 ext. 39

Fax Number: 425-823-8508

Email Address: eagen@proteotech.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

☐ Authorized to be charged by credit card

☐ Authorized to be charged to deposit account

☒ Enclosed

☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____

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b. Deposit Account Number _____

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9. Signature:

Patrick Dwyer

Signature

Sept 4/07

Date

Patrick Michael Dwyer Reg. No. 32,411

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: _____

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
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RECORDS

ASSIGNMENT OF INVENTION

In consideration of payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), and for other good and valuable consideration, the receipt of which is hereby acknowledged,

ASSIGNOR, Thomas P. Lake,

a US citizen who resides at 7732 Interurban Blvd., Snohomish, WA 98296, does hereby sell, assign and transfer to:

ASSIGNEE, ProteoTech, Inc.,

a corporation under the laws of Washington and doing business at 12040 115th Ave NE, Kirkland, WA 98034, and to the successors, assigns and legal representatives of the ASSIGNEE, ASSIGNOR's entire right, title and interest, whether now held or after acquired, for the United States and its territorial possessions, and in all foreign countries, including all rights to claim priority, in and to any and all improvements which are disclosed in the invention entitled:

Small Peptides for the Treatment of Alzheimer's Disease and other Beta-Amyloid Protein Fibrillogenesis Disorders

("the Invention"), which is found in U.S. patent application serial number 10/821,250, filed on 04/08/2004, by Gerardo M. Castillo, Beth P. Nguyen, Thomas P. Lake, and Alan D. Snow, inventors, and to any and all patents and patent applications as may be related to the Invention and owned by ASSIGNOR, now or hereafter filed, and in any legal equivalent thereof in a foreign country, including the right to claim priority, and the right to sue for past infringement, and in and to all Letters Patent to be obtained for said invention by the above application, and in and to any continuation, division, renewal, or substitute thereof, and, as to letters patent, any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes hereof.

IN WITNESS WHEREOF, I have hereunto set hand and seal this 21st day of June, 2007.

Thomas P. Lake



STATE OF WA)

) ss.

COUNTY OF King)

On this 21st day of June, 2007, Thomas P. Lake, to me known to be the individual described

in, and who executed, the foregoing instrument and acknowledged to me that he/she signed the same as his/her free and voluntary act and deed for the uses and purposes therein mentioned, personally appeared before me, the undersigned Notary Public in and for the State of WA duly commissioned and sworn.

WITNESS my hand and official seal hereto affixed this day and year first above written.



NOTARY PUBLIC in and for

the State of WA

residing at: Kirkland, WA

My Commission expires: November 9, 2010

RECORDED: 09/14/2007

PATENT

REEL: 019848 FRAME: 0707