

09-18-2007



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U.S. Department of Commerce

NITT.0400

B/O FORM PTO 1595 (1/31)
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To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of Conveying Party(ies):

(1) KATSUMI KISHINO, (2) ICHIRO
NOMURA, (3) TSUNENORI ASATSUMA,
(4) HITOSHI NAKAMURA, (5) TSUKURU
OHTOSHI, (6) TAKESHI KIKAWA,
(7) SUMIKO FUJISAKI, AND (8) SHIGEHISA
TANAKAAdditional names of conveying parties attached: ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Dates: (1)(2) June 8, 2007,
(3) June 28, 2007,
(4)(5)(6)(7) July 13, 2007,
(8) July 17, 2007

2. Name and Address of Receiving Party(ies):

(1) Name: Hitachi, Ltd.

Address: 6-6, Marunouchi 1-chome
City: Chiyoda-ku, Tokyo, Japan

(2) Name: Sophia School Corporation

Address: 7-1, Kioityo,
City: Chiyoda-ku, Tokyo, Japan

(3) Name: Sony Corporation

Address: 1-7-1 Konan,
City: Minato-ku, Tokyo, Japan

4. (a) Patent Application Number(s):

11/882,055

If this document is being filed together with a new application,
the execution date of the application is:☐ Additional Numbers Attached.

4. (b) Patent Numbers:

5. Name and Address of Party to whom Correspondence
Concerning this Document Should be Mailed:

Name: Stanley P. Fisher

Address: Reed Smith LLP
3110 Fairview Park Dr.
Suite 1400
Falls Church, VA 22042

6. Total Number of Applications and Patents Involved: 1

7. Total Fee: \$40.00
(37 C.F.R. § 3.41)

- ☒ Enclosed
☒ Authorized to be charged to deposit account.

8. Deposit Account Number: 08-1480

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9. Statement and Signature:

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the
original document.

September 13, 2007

Stanley P. Fisher, Registration No. 24, 344

Juan Carlos A. Marquez, Registration No. 34,072

Total number of pages comprising cover sheet: 1

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PATENT
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09-05-2007



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U.S. Department of Commerce

Patent Form PTO 1595 (1/31/92)
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RECORDATION FORM COVER SHEET
PATENTS ONLY

NITT.0400

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(1) KATSUMI KISHINO, (2) ICHIRO NOMURA, (3) TSUNENORI ASATSUMA, (4) HITOSHI NAKAMURA, (5) TSUKURU OHTOSHI, (6) TAKESHI KIKAWA, (7) SUMIKO FUJISAKI, AND (8) SHIGEHISA TANAKA

Additional names of conveying parties attached: ☐ Yes ☒ No

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- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

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(3) Name: Sony Corporation
Address: 1-7-1 Konan,
City: Minato-ku, Tokyo, Japan

4. (a) Patent Application Number(s):

11/822,055

11/882,055

If this document is being filed together with a new application, the execution date of the application is:

☐ Additional Numbers Attached.

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9. Statement and Signature:

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August 30, 2007

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Stanley P. Fisher, Registration No. 24, 344

John Carlos A. Marquez, Registration No. 34,072

Total number of pages comprising cover sheet: 1

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ASSIGNMENT
(譲 渡 証)

As a below named inventor, I hereby declare that:

IN CONSIDERATION of the sum of One Dollar (\$1.00) or the equivalent thereof, and other good and valuable consideration paid to the below named inventor(s), citizens of Japan by Hitachi, Ltd., and Sophia School Corporation and Sony Corporation, corporations organized under the laws of Japan, located at 6-6, Marunouchi 1-chome, Chiyoda-ku, Tokyo, Japan, and 7-1, Kioitoyo, Chiyoda-ku, Tokyo, Japan, and 1-7-1 Konan, Minato-ku, Tokyo, Japan respectively, receipt of which is hereby acknowledged, we, the below named inventor(s), do hereby sell and assign to said Hitachi, Ltd., and Sophia School Corporation and Sony Corporation, their successors and assigns, all our right, title and interest, in and for the United States of America, in and to


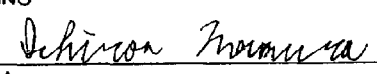
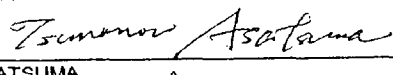
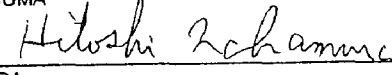
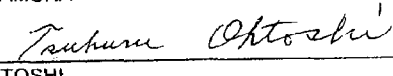
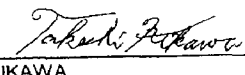
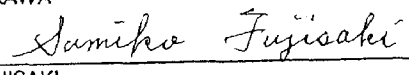
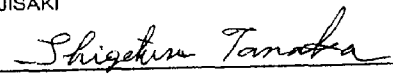
Semiconductor Laser Diode Device

invented by us and described in the application for United States Letters Patent therefore, executed on even date herewith, and all United States Letters Patent which may be granted therefore, and all divisions, continuations and extensions thereof, the said interest being the entire ownership of the said Letters Patent when granted, to be held and enjoyed by said Hitachi, Ltd., and Sophia School Corporation and Sony Corporation, their successors, assigns or other legal representatives, to the full end of term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made;

And we hereby agree to sign and execute any further documents or instruments which may be necessary, lawful, and proper in the prosecution of said above-named application or in the preparation and prosecution of any continuing, continuation-in-part, substitute, divisional, renewal, reviewed or reissue applications or in any amendment, extension, or interference proceedings, or otherwise to secure the title thereto in said assignee;

And we do hereby authorize and request the Commissioner of Patents to issue said Letters Patent to said Hitachi, Ltd., and Sophia School Corporation and Sony Corporation.

Signed on the date(s) indicated aside signatures:

INVENTOR(S) (発明者フルネームサイン)	Date Signed (署名日)
1) <u></u> Katsumi KISHINO	<u>6/8/2007</u>
2) <u></u> Ichiro NOMURA	<u>6/8/2007</u>
3) <u></u> Tsunenori ASATSUMA	<u>6/28/2007</u>
4) <u></u> Hitoshi NAKAMURA	<u>7/13/2007</u>
5) <u></u> Tsukuru OHTOSHI	<u>7/13/2007</u>
6) <u></u> Takeshi KIKAWA	<u>7/13/2007</u>
7) <u></u> Sumiko FUJISAKI	<u>7/13/2007</u>
8) <u></u> Shigehisa TANAKA	<u>7/17/2007</u>
9) _____	_____
10) _____	_____