

9-13-07

RE



SHEET

09/13/2007

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ed documents or the new address(es) below:

To the Director of the U.S. Patent and

1. Name of conveying Party(ies)/Execution Date:

JAMES FARRELL
JAMES KRAUSE

Additional name(s) of conveying party(ies) attached?

Yes No

2. Name and Address of receiving Party(ies):

Name: CHICAGO MERCANTILE
EXCHANGE, INC.

Internal Address:

Street Address: 30 South Wacker Drive

City: Chicago

State: Illinois

Country: USA Zip: 60606

Additional name(s) and addresses attached? Yes No

3. Nature of Conveyance:

Execution Date(s): September 10, 2007

- Assignment
- Change of Name
- Executive Order 9424, Confirmatory License
- Security Agreement
- Merger
- Joint Research Agreement
- Government Interest Assignment
- Other

4. Application or patent number(s).

A. Patent Application No.(s)

This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, IL 60610
(312) 321-4200
(312) 321-4299 Fax

09/20/2007 DBYRNE 00000087 231925 11900756
01 FC:8021 40.00 DA

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- Authorized to be charged by credit card.
- Authorized to be charged to Deposit Account No. 23-1925.
- Enclosed
- None required (government interest not affecting title)

8. Payment Information:

- a. Credit Card: Last 4 Numbers
Expiration Date
- b. Charge fee and/or any Deficiencies to Deposit
Account Number: 23-1925
Authorized User Name: Brinks Hofer Gilson & Lior

9. Signature:

Signature

James A. Collins, Reg. No. 43557

Name of Person Signing

September 13, 2007

Date

Total number of pages including cover sheet, attachments, and documents: 3

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

ASSIGNMENT

WHEREAS, JAMES FARRELL and JAMES KRAUSE, hereinafter called the "Assignors", have made the invention described in the United States patent application entitled CONTROLLING IMPLIED MARKETS DURING A STOP LOSS TRIGGER, executed by Assignors on the same date as, or on a date prior to, this Assignment;

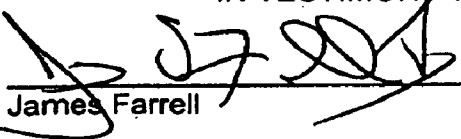
WHEREAS, CHICAGO MERCANTILE EXCHANGE, INC., a corporation organized and existing under the laws of the State of Delaware, having a place of business at 30 South Wacker Drive, Chicago, Illinois 60606, hereinafter called the "Assignee", desires to acquire the entire right, title and interest in and to the invention and the patent application identified above, and all patents which may be obtained for said invention, as set forth below;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other valuable and legally sufficient consideration, the receipt of which by the Assignors from the Assignee is hereby acknowledged, the Assignors have sold, assigned and transferred, and by these presents do sell, assign and transfer to the Assignee, the entire right, title and interest for the United States in and to the invention and the patent application identified above, and any patents that may issue for said invention in the United States; together with the entire right, title and interest in and to said invention and all patent applications and patents therefor in all countries foreign to the United States, including the full right to claim for any such application all benefits and priority rights under any applicable convention; together with the entire right, title and interest in and to all continuations, divisions, renewals and extensions of any of the patent applications and patents defined above; together with the right to recover all damages, including, but not limited to, a reasonable royalty, by reason of past, present, or future infringement or any other violation of patent or patent application rights; to have and to hold for the sole and exclusive use and benefit of the Assignee, its successors and assigns, to the full end of the term or terms for all such patents.

The Assignors hereby covenant and agree, for both the Assignors and the Assignors' legal representatives, that the Assignors will assist the Assignee in the prosecution of the patent application identified above; in the making and prosecution of any other patent applications that the Assignee may elect to make covering the invention identified above; in vesting in the Assignee like exclusive title in and to all such other patent applications and patents; and in the prosecution of any interference which may arise involving said invention, or any such patent application or patent; and that the Assignors will execute and deliver to the Assignee any and all additional papers which may be requested by the Assignee to carry out the terms of this Assignment.

The Commissioner of Patents and Trademarks is hereby authorized and requested to issue patents to the Assignee in accordance with the terms of this Assignment.

IN TESTIMONY WHEREOF, the Assignors have executed this agreement.


James Farrell

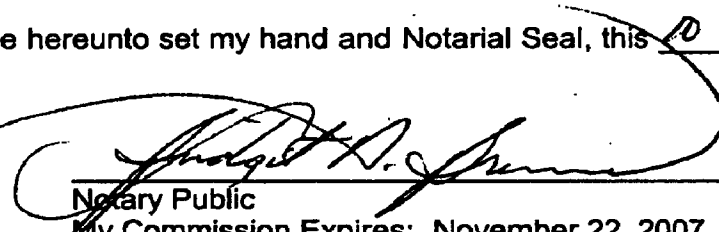
9/10/07
Date

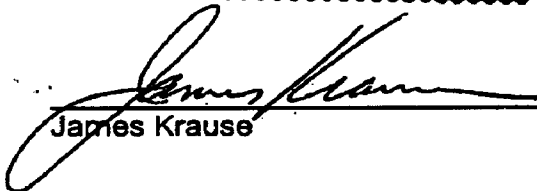
STATE OF ILLINOIS)
) SS.
COUNTY OF COOK)

I, Bridget A. Brennan, a Notary Public in and for the County and State aforesaid, do hereby certify that JAMES FARRELL, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that (s)he signed, sealed and delivered the said instrument as his/her free and voluntary act for the uses and purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal, this 10 day of September, 2007.




Notary Public
My Commission Expires: November 22, 2007


James Krause

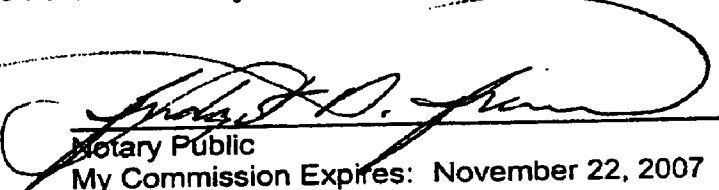
9-10-07
Date

STATE OF ILLINOIS)
) SS.
COUNTY OF COOK)

I, Bridget A. Brennan, a Notary Public in and for the County and State aforesaid, do hereby certify that JAMES KRAUSE, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that (s)he signed, sealed and delivered the said instrument as his/her free and voluntary act for the uses and purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal, this 10 day of September, 2007.




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My Commission Expires: November 22, 2007