

Docket No. JCLA25352-DS

Form 1595  
1-31-92

**RECORDATION FORM COVER SHEET**

U. S. Department of Commerce  
Patent and Trademark Office

**PATENTS ONLY**

To the Honorable Assistant Commissioner for Patents: Please record the attached  
Original documents or copy thereof.

<p>1. Name of conveying party(ies):</p> <p><b>TADASHI TAKADA</b>, August 08, 2007</p> <p><b>HAJIME OHNO</b>, August 08, 2007</p> <p><b>KAZUO EGAWA</b>, August 08, 2007</p>	<p>2. Name/address of receiving Party(ies)</p> <p><b>TOSHIBA LIGHTING &amp; TECHNOLOGY CORPORATION</b></p> <p>3-1, HIGASHISHINAGAWA 4-CHOME, SHINAGAWA-KU, TOKYO, JAPAN</p>
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<p>3. Nature of conveyance: <input checked="" type="checkbox"/> Other</p> <p>Record to correct the third inventor's execution date on an Assignment previously recorded on real <b>019813</b> and frame <b>0587</b>.</p>	<p>Add'l names of receiving parties Attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
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4. Application number(s) or patent number(s):

<p>A. Patent Application No. (s)</p> <p style="text-align: center;"><b>29/284,565</b></p>	<p>B. Patent No.(s)</p> <p style="text-align: center;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
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<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p style="text-align: center;"><b>J. C. Patents</b> <b>4 Venture, Suite 250</b> <b>Irvine, CA 92618</b> <b>(949) 660-0761</b></p>	<p>6. Total No. of applications and patents involved:</p> <p style="text-align: center;">ONE(1)</p> <p>7. Total fee(37CFR§3.41): \$40.00</p> <p><input type="checkbox"/> Enclosed</p> <p><input checked="" type="checkbox"/> Charge to Acct. No. 50-0710 (Order No. JCLA25352-DS)</p> <p>8. Total number of pages, including Cover sheet and attachments in 2 pages.</p>
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Atty Docket No.: JCLA25352-DS

DO NOT USE THIS SPACE

9. Statement and Signature:

To the best of my knowledge and belief, the forging information is true and Correct and any attached copy is a true copy of the original document.

<p>_____ Name of person Signing Registration No. 43,330</p>	<p> Signature</p>	<p><u>9-20-2007</u> Date</p>
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O:J.C. PATENTS COMPANY:4 VENTURE SUITE 250

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002/004

09/11/2007  
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Docket No.: JCLA25352-DS

Form 1595 (Rev. 09/04)		RECORDATION FORM COVER SHEET		U. S. Department of Commerce Patent and Trademark Office	
<b>PATENTS ONLY</b>					
To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below					
1. Name of conveying party(ies) with the execution date:  <b>TADASHI TAKADA, August 08, 2007</b> <b>HAJIME OHNO, August 08, 2007</b> <b>KAZUO EGAWA, August 02, 2007</b>			2. Name/address of receiving Party(ies)  <b>TOSHIBA LIGHTING &amp; TECHNOLOGY CORPORATION</b>  3-1, HIGASHISHINAGAWA 4-CHOME, SHINAGAWA-KU, TOKYO, JAPAN		
3. Nature of conveyance:  <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Security Agreement <input type="checkbox"/> Merger <input type="checkbox"/> Change of Name <input type="checkbox"/> Reassignment <input type="checkbox"/> Other			Add'l names of receiving parties Attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
4. Application number(s) or patent number(s):					
A. Patent Application No. (s)  <b>29/284,565</b>  Additional number attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			B. Patent No.(s)		
5. Name and address of party to whom correspondence concerning document should be mailed:  <b>J. C. Patents</b> <b>4 Venture, Suite 250</b> <b>Irvine, CA 92618</b> <b>(949) 660-0761</b>			6. Total No. of applications and patents involved:  <b>ONE (1)</b>		
			7. Total fee(37CFR\$3.41): \$ 40.00 <input type="checkbox"/> Authorized to be charged by credit card <input checked="" type="checkbox"/> Authorized to be charge to deposit account <input type="checkbox"/> Enclosed <input type="checkbox"/> None required (government interest not affecting title)		
			8. Payment Information a. Credit Card Last 4 Numbers _____ Expiration Date _____ b. Deposit Account Number <b>50-0710</b> Authorized User Name <b>Jiawei Huang</b>		
Atty Docket No.: JCLA25352-DS					
9. Statement and Signature:  To the best of my knowledge and belief, the forging information is true and Correct and any attached copy is a true copy of the original document.					
Name of person Signing <b>Jiawei Huang</b> Registration No. 43,330		Signature 		Date <b>9-11-2007</b>	
			Total number of pages including cover sheet, attachments, and documents: 3		

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## ASSIGNMENT

WHEREAS,

- |                          |                       |
|--------------------------|-----------------------|
| 1. <b>Tadashi Takada</b> | 2. <b>Hajime Ohno</b> |
| 3. <b>Kazuo Egawa</b>    | 4.                    |
| 5.                       |                       |

hereinafter referred to as ASSIGNORS, have invented certain new and useful improvements as described and set forth in the below identified application for United States Letters Patent:

Title: **Light emitting diode lamp**

Filed on: Serial No.

Executed concurrently with the execution of this instrument

WHEREAS, **TOSHIBA LIGHTING & TECHNOLOGY CORPORATION**  
of **3-1, Higashishinagawa 4-Chome, Shinagawa-Ku, Tokyo, Japan**

hereinafter referred to as ASSIGNEES, is desirous of acquiring ASSIGNORS' interest in the said invention and application and in any U.S. Letters Patent which may be granted on the same:

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for good and valuable consideration, receipt of which is hereby acknowledged by Assignor, Assignor has/have sold, assigned and transferred, and by these presents does/do sell, assign and transfer unto the said Assignee, and Assignee's successors and assigns, all his/her/their rights, title and interest in and to the said invention and application and all future improvements thereon, and in and to any Letters Patent which may hereafter be granted on the same in the United States, the said interest to be held and enjoyed by said Assignee as fully and exclusively as it would have been held and enjoyed by said Assignor had this Assignment and transfer not been made, to the full end and term of any Letters Patent which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignor further agrees/agree that he/she/they will, without charge to said Assignee, but at Assignee's expense, cooperate with Assignee in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as Assignee Lawfully may request, to obtain or maintain Letters Patent for said invention and improvement, and to vest title thereto in said Assignee, or Assignee's successors and assigns.

# ASSIGNMENT CONTINUED

IN TESTIMONY WHEREOF, Assignors have signed their name(s) on the date(s) indicated.

Signature: *Tadashi Takada* Date *Aug. 8, 2007*  
First Joint Inventor: **Tadashi Takada**

Signature: *Hajime Ohno* Date *Aug. 8, 2007*  
Second Joint Inventor: **Hajime Ohno**

Signature: *Kazuo Egawa* Date *Aug. 8, 2007*  
Third Joint Inventor: **Kazuo Egawa**

Signature: \_\_\_\_\_ Date \_\_\_\_\_  
Fourth Joint Inventor:

Signature: \_\_\_\_\_ Date \_\_\_\_\_  
Fifth Joint Inventor:

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O:J.C. PATENTS COMPANY:4 VENTURE SUITE 250




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**UNITED STATES PATENT AND TRADEMARK OFFICE**


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 UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
 DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

SEPTEMBER 12, 2007

PTAS



\*700341663A\*

 J.C. PATENTS  
 4 VENTURE SUITE 250  
 IRVINE, CA 92618

 UNITED STATES PATENT AND TRADEMARK OFFICE  
 NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 09/11/2007

 REEL/FRAME: 019813/0587  
 NUMBER OF PAGES: 3

 BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).  
 DOCKET NUMBER: JCLA25352-DS

## ASSIGNOR:

TAKADA, TADASHI

DOC DATE: 08/08/2007

## ASSIGNOR:

OHNO, HAJIME

DOC DATE: 08/08/2007

## ASSIGNOR:

EGAWA, KAZUO

DOC DATE: 08/02/2007

## ASSIGNEE:

 TOSHIBA LIGHTING & TECHNOLOGY  
 CORPORATION  
 3-1, HIGASHISHINAGAWA 4-CHOME  
 SHINAGAWA-KE  
 TOKYO, JAPAN

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O:J.C. PATENTS COMPANY:4 VENTURE SUITE 250

019813/0587 PAGE 2

SERIAL NUMBER: 29284565

FILING DATE:

PATENT NUMBER:

ISSUE DATE:

TITLE: LIGHT EMITTING DIODE LAMP

SHARON BROOKS, EXAMINER  
ASSIGNMENT SERVICES BRANCH  
PUBLIC RECORDS DIVISION

PATENT

Docket No.: JCLA25352-DS

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : TADASHI TAKADA et al.  
Application No. : 29/284,565  
Filed : September 11, 2007  
For : LIGHT EMITTING DIODE LAMP  
Examiner :

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**REQUEST FOR CORRECTED NOTICE OF RECORDATION**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicant respectfully requests that the Notice of Recordation of Assignment Document related to the above-identified application be corrected to reflect the true third inventor's execution date of **August 08, 2007** as shown on the Assignment document. Presently, the Notice of Recordation incorrectly shows the third inventor's execution date as August 02, 2007. This mistake was made due to the clerical typo in the Recordation Cover sheet. A new Recordation Cover Sheet is enclosed.

Also enclosed are:

(X) Copy of the Notice of Recordation with the Assignment.

Respectfully submitted,  
J.C. PATENTS, INC.

Dated: 9-20-2007By: 

Jiawei Huang  
Registration No. 43,330

Correspondence Address:  
4 Venture, Suite 250  
Irvine, Ca 92618  
Tel: (949) 660-0761

**J.C. PATENTS**  
**4 VENTURE, SUITE 250**  
**IRVINE, CALIFORNIA 92618**  
**TEL.: (949) 660-0761**  
**FAX: (949) 660-0809**  
**E-MAIL: jcpi@email.msn.com**

## CERTIFICATE OF TRANSMISSION

September 20, 2007

<b>Atty Docket No.</b>	:	JCLA25352-DS
<b>Appl. No.</b>	:	29/284,565
<b>Filing Date</b>	:	September, 11, 2007
<b>Pages</b>	:	Cover + 7

### BY FACSIMILE ONLY

<b>Fax No.</b>	:	571-273-0140
<b>Attention</b>	:	<b>MAIL STOP Assignment</b> Commissioner for Patents
<b>From</b>	:	Jiawei Huang, Reg. No. 43,330
<b>MESSAGE</b>	:	Enclosed herewith is a Request for Corrected Notice of Recordation with copy of the Notice of the Recordation together with Assignment in 7 pages.

Sir:

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office on September 20, 2007 at the above indicated fax number.

Sign by: \_\_\_\_\_

Michelle Chang

**Note:** This facsimile transmission is intended only for the use of the individual or entity to which it is addressed, and may contain information that is privileged, confidential and exempt from disclosure under applicable law. If the reader is not the intended recipient, or the employee or agent responsible for delivering the message to the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this transmission in error, please kindly notify us immediately, and return the original message to us at the above address. We greatly appreciate your cooperation.