

PATENT ASSIGNMENT

Electronic Version v1.1
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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	Assignment/Confirmatory Assignment
CONVEYING PARTY DATA	
Name	Execution Date
Kevin Francis Harrington	09/21/2007
James F. Wheeler	09/21/2007
RECEIVING PARTY DATA	
Name:	Chapdelaine & Co.
Street Address:	One Seaport Plaza, 17th Floor
City:	New York
State/Country:	NEW YORK
Postal Code:	10038
PROPERTY NUMBERS Total: 3	
Property Type	Number
Application Number:	60349738
Application Number:	10346022
Application Number:	11412785
CORRESPONDENCE DATA	
Fax Number:	(202)942-5999
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
Phone:	202-942-5000
Email:	ip_docketing@aporter.com
Correspondent Name:	Danielle Edwards
Address Line 1:	555 Twelfth Street, N.W.
Address Line 2:	Arnold & Porter LLP, Attn: IP Docketing
Address Line 4:	Washington, DISTRICT OF COLUMBIA 20004-1206
ATTORNEY DOCKET NUMBER:	21294.006
NAME OF SUBMITTER:	Danielle M. Edwards

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Total Attachments: 5

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U.S. Appln. No. 60/349,738

U.S. Appln. No. 10/346,022

U.S. Appln. No. 11/412,785

ASSIGNMENT/CONFIRMATORY ASSIGNMENT

WHEREAS, I/we, Kevin F. Harrington, James F. Wheeler, and Kenneth Torres (hereinafter "the ASSIGNORS"), have made certain invention or inventions which are disclosed in the following patent application(s) and/or provisional patent application(s):

Title	Application No.	Filing Date
Interactive Municipal Bond Brokerage	60/349,738	January 16, 2002
Interactive Security Brokerage System	10/346,022	January 15, 2003
Computer Interface for Trading Bonds	11/412,785	April 28, 2006

WHEREAS, prior assignments may have been executed by the ASSIGNORS assigning rights in any or all of the aforementioned applications to Chapdelaine & Co., of New York, New York (hereinafter "the ASSIGNEE"); and

WHEREAS, it is the intent of the ASSIGNORS, as well as the ASSIGNEE, through this Assignment/Confirmatory Assignment document, to assign or confirm the assignment of said entire right, title and interest in and to said invention or inventions and any and all patents to be obtained therefor to the ASSIGNEE;

NOW, THEREFORE, FOR GOOD AND VALUABLE CONSIDERATION, the receipt of which is hereby acknowledged, I/we do hereby sell, assign and transfer, or confirm the sale, assignment and transfer, to said ASSIGNEE, its successors and assigns, the entire right, title and interest in and to said invention or inventions, in any form or embodiment thereof, and in and to said application(s); and in and to any and all applications filed in any country based thereon, including the right to file applications in countries other than the country of priority filing under the provisions of any international convention; also in and to any and all improvements on said invention or inventions now or hereafter made by me/us as employee(s), agent(s) or contractor(s) of said ASSIGNEE; also the entire right, title and interest in and to any and all patents, including reissues and extensions

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thereof, to be obtained in any country upon said invention; inventions or improvements, and any and all continuing applications, including divisional, continuation and continuation-in-part applications, substitute applications, and applications claiming benefit of an earlier filed provisional application, which may be filed upon said invention, inventions or improvements in any country; and

I/We hereby authorize and request the issuing authority to issue any and all patents on said application or applications to said ASSIGNEE, as assignee of the entire interest.

I/We further agree, without any payment by ASSIGNEE other than in reimbursement of reasonable expenses I/we may incur, to communicate to said ASSIGNEE, its representatives or agents, any facts relating to said invention, inventions or improvements, including evidence for purposes of interference, opposition or other legal proceedings, whenever requested; testify in any interference, opposition or other legal proceedings, whenever requested; and execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective.

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IN TESTIMONY WHEREOF, I/We have executed this Confirmatory Assignment on the date set after my/our signature.

Signature: Kevin Francis Harrington Date: 9/21/2007

Name: Kevin Francis Harrington

City and state or country of residence: Huntington, New York

State of _____)
County of _____) ss.

On this 21st day of September, 2007, before me personally appeared Kevin Francis Harrington, known to me to be the person(s) who executed the foregoing instrument and acknowledged that he executed the same as his free act and deed; in testimony whereof I have hereto set my hand and official seal on the day last above written.

(seal)

Teresa O'Leary
Notary Public or Consular Officer

TERESA O'LEARY
Notary Public, State of New York
No. 02-OL6013969
Qualified in Nassau County
Term Expires February 14, 2011

My Commission expires _____

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IN TESTIMONY WHEREOF, I/We have executed this Confirmatory Assignment on the date set after my/our signature.

Signature: James F. Wheeler

Date: 9/21/07

Name: James F. Wheeler

City and state or country of residence: Yardley, Pennsylvania

State of _____)
County of _____)

ss.

On this 21st day of September, 2007, before me personally appeared James F. Wheeler, known to me to be the person(s) who executed the foregoing instrument and acknowledged that he executed the same as his free act and deed; in testimony whereof I have hereto set my hand and official seal on the day last above written.

(seal)

Teresa O'Leary
Notary Public or Consular Officer

TERESA O'LEARY
Notary Public, State of New York
No. 02-OL6013969
Qualified in Nassau County
Term Expires February 14, 2011

My Commission expires _____

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The undersigned (whose title is supplied below) is empowered to act on behalf of Chapdelaine & Co.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: 9/21/07

Name: Teresa O'Leary

Title: SVP - Secretary

Signature: Teresa O'Leary