

PATENT ASSIGNMENT

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
William Michael John Green	09/14/2007
Yurii A. Vlasov	09/14/2007
RECEIVING PARTY DATA	
Name:	International Business Machines Corporation
Street Address:	One New Orchard Road
City:	Armonk
State/Country:	NEW YORK
Postal Code:	10504
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	11859665
CORRESPONDENCE DATA	
Fax Number:	(305)595-9579
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
Phone:	305 273-8007
Email:	ana@buchenhorner.com
Correspondent Name:	Michael J. Buchenhorner
Address Line 1:	8540 SW 83 STREET
Address Line 4:	Miami, FLORIDA 33143
ATTORNEY DOCKET NUMBER:	YOR920070402US1
NAME OF SUBMITTER:	Michael J. Buchenhorner
Total Attachments: 2 source=YOR920070402US1_RecordationCoverSheet#page1.tif source=YOR920070402US1_Assignment#page1.tif	

CH \$40.00 11859665

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PATENT
REEL: 019863 FRAME: 0700

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

William Michael John Green - 09/14/2007

Yurii A. Vlasov - 09/14/2007

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 09/14/2007

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: International Business Machines Corporation

Internal Address: _____

Street Address: One New Orchard Road

City: Armonk

State: New York

Country: USA Zip: 10504

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

11/859,665

Attorney Docket Number YOR920070402US1

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Michael J. Buchenhorner

Internal Address: _____

Street Address: 8540 SW 83 STREET

City: Miami

State: Florida Zip: 33143

Phone Number: 305 273-8007

Fax Number: 305 595-9579

Email Address: michael@buchenhorner.com

6. Total number of applications and patents involved: One

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 500510

Authorized User Name Michael J. Buchenhorner

9. Signature:

/Michael J. Buchenhorner/ Reg. No. 33,162

Signature

September 23, 2007

Date

Michael J. Buchenhorner

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

2

ASSIGNMENT

Docket No. YOR920070402US1

INVENTOR
AND CITY

Whereas, we

(1) **William Michael John Green**
County of **Queens**
and (2) **Yurii A. Vlasov**
County of **Westchester**

of **Astoria**,
and State of **New York**,
of **Katonah**,
and State of **New York**

have invented certain improvements in

TITLE

JUNCTION FIELD EFFECT TRANSISTOR GEOMETRY FOR OPTICAL MODULATORS

DATES THAT
INVENTORS
SIGNED THE
DECLARATION

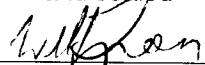
and executed, respectively, a United States patent application therefor on

(1) 09/14, 2007, (2) 09/14, 2007,

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and in all foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed



William M. Green

on 09/14, 2007



Yurii A. Vlasov

on 09/14, 2007

PATENT

RECORDED: 09/23/2007

REEL: 019863 FRAME: 0702