


UNUS #04-0234-UNI; J3748(C)

(MT)

RECORDATION FORM COVER SHEET PATENTS ONLY	U.S. DEPARTMENT OF COMMERCE PATENT & TRADEMARK OFFICE
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.	
1. NAME OF CONVEYING PARTY(IES): Rebecca Justine ELLIOTT Anand Ramchandra MAHADESHWAR Brodyck James Lachlan ROYLES Laxmikant TIWARI ADDITIONAL NAME(S) OF CONVEYING PARTY(IES) ATTACHED? YES <input type="checkbox"/> NO <input checked="" type="checkbox"/>	2. NAME AND ADDRESS OF RECEIVING PARTY(IES): NAME: Conopco, Inc., d/b/a UNILEVER STREET ADDRESS: 700 Sylvan Avenue CITY: Englewood Cliffs STATE: NJ ZIP: 07632 ADDITIONAL NAME(S) & ADDRESS(ES) ATTACHED? YES <input type="checkbox"/> NO <input checked="" type="checkbox"/>
3. NATURE OF CONVEYANCE: <input checked="" type="checkbox"/> ASSIGNMENT <input type="checkbox"/> SECURITY AGREEMENT <input type="checkbox"/> MERGER <input type="checkbox"/> CHANGE OF NAME <input type="checkbox"/> OTHER EXECUTION DATE: September 19, 2006; September 19, 2006; September 19, 2006; August 9, 2006	
4. APPLICATION NUMBER(S) OR PATENT NUMBER(S): 10/592,221 FILED: June 18, 2007 IF THIS DOCUMENT IS BEING FILED TOGETHER WITH A NEW APPLICATION, THE EXECUTION DATE OF THE APPLICATION IS:	
A. PATENT APPLICATION NO.(S): 10/592,221	B. PATENT NO.(S)
ADDITIONAL NUMBERS ATTACHED? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	
5. NAME AND ADDRESS OF PARTY TO WHOM CORRESPONDENCE CONCERNING DOCUMENT SHOULD BE MAILED: UNILEVER INTELLECTUAL PROPERTY GROUP, 700 SYLVAN AVENUE, ENGLEWOOD CLIFFS, NEW JERSEY 07632	
6. TOTAL NUMBER OF APPLICATIONS AND PATENTS INVOLVED: 1	
7. TOTAL FEE (37 CFR 3.41): \$40.00 <input type="checkbox"/> ENCLOSED <input checked="" type="checkbox"/> AUTHORIZED TO BE CHARGED TO DEPOSIT ACCOUNT <input checked="" type="checkbox"/> ANY DEFICIENCY OR OVERPAYMENT SHOULD BE CHARGED OR CREDITED TO DEPOSIT ACCOUNT 12-1155.	
8. DEPOSIT ACCOUNT NUMBER: 12-1155 (Duplicate copy of this page is attached for payment by deposit account)	
DO NOT USE THIS SPACE	
9. STATEMENT AND SIGNATURE: TO THE BEST OF MY KNOWLEDGE AND BELIEF, THE FOREGOING INFORMATION IS TRUE AND CORRECT AND ANY ATTACHED COPY IS A TRUE COPY OF THE ORIGINAL DOCUMENT. I FURTHER CERTIFY THAT THIS PAPER IS BEING FACSIMILE TRANSMITTED TO THE PATENT AND TRADEMARK OFFICE ON THE DATE SHOWN BELOW. <div style="display: flex; justify-content: space-between; align-items: flex-end;"> <div style="width: 40%;">  KAREN E. KLUMAS, ATTORNEY FOR APPLICANT(S) REGISTRATION NO. 31.070 </div> <div style="width: 40%; text-align: right;"> <i>September 24, 2006</i> DATE </div> </div>	
TOTAL NUMBER OF PAGES COMPRISING COVER SHEET, ATTACHMENTS, AND DOCUMENT: 7	
OMB NO. 0651-0011 (EXP. 4/94)	
DO NOT DETACH THIS PORTION	
MAIL DOCUMENTS TO BE RECORDED WITH REQUIRED COVER SHEET INFORMATION TO: COMMISSIONER OF PATENTS AND TRADEMARKS, BOX ASSIGNMENTS, WASHINGTON D.C. 20231 PUBLIC BURDEN REPORTING FOR THIS SAMPLE COVER SHEET IS ESTIMATED TO AVERAGE ABOVE 30 MINUTES PER DOCUMENTS TO BE RECORDED, INCLUDING TIME FOR REVIEWING THE DOCUMENT AND GATHERING THE DATA NEEDED, AND COMPLETING AND REVIEWING THE SAMPLE COVER SHEET. SEND COMMENTS REGARDING THIS BURDEN ESTIMATE TO THE U.S. PATENT AND TRADEMARK OFFICE, OFFICE OF INFORMATION SYSTEMS, P2-1000C, WASHINGTON, D.C. 20231 AND TO THE OFFICE OF MANAGEMENT AND BUDGET, PAPERWORK REDUCTION PROJECT (0651-0011), WASHINGTON, D.C. 20231	

CH \$40.00 121155 10592221

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 PATENT
 REEL: 019869 FRAME: 0956

J3748(C)/US

ASSIGNMENT

WHEREAS, I/WE,

Rebecca Justine ELLIOTT
Unilever R&D Port Sunlight, Quarry Road East, Bebington, Merseyside CH63 3JW,
United Kingdom

Anand Ramchandra MAHADESHWAR
Unilever R&D Port Sunlight, Quarry Road East, Bebington, Wirral, Merseyside CH63
3JW, United Kingdom

Brodyck James Lachlan ROYLES
Unilever R&D Port Sunlight, Quarry Road East, Bebington, Wirral, Merseyside CH63
3JW, United Kingdom

Laxmikant TIWARI
56 Salcombe Close, Chandler's Ford, Southampton, SO53 4PJ, United Kingdom

have invented certain improvements in:

HAIR TREATMENT COMPOSITION COMPRISING SUGAR LACTONE

(bearing Attorney's Docket Number: J3748), which is found in

[Check and complete (a), (b) or (c)]

- (a) ☐ U.S. patent application executed on _____
- (b) ☐ To comply with 37 CFR 3.21 for recordal of this assignment, I/WE as ASSIGNOR(S) signing below, hereby authorize and request my/our attorney, as named in the Declaration and Power of Attorney to insert below the filing date and application number when it becomes known.

U.S. application Serial No. 10/592,221 filed on June 18, 2007

- (c) ☒ International Application No PCT/EP2005/001822

AND WHEREAS, Conopco Inc., d/b/a UNILEVER, a corporation of New York, having a place of business at 700 Sylvan Avenue, Englewood Cliffs, New Jersey 07632, United States of America desires to acquire the entire right title and interest in and to the aforesaid invention, and to said United States application or any reissues, divisions, continuation, or continuation-in-part thereof, and in any Letters Patent which may issue therefrom;

NOW, THEREFORE, in consideration of one dollar (\$1.00) and other good and valuable consideration in hand paid, the receipt and sufficiency of which is hereby acknowledged, I/We, the undersigned, hereby sell, assign and transfer to the aforesaid Conopco Inc., d/b/a UNILEVER, its successors and assigns, the entire right, title and interest in and to the said application and invention therein disclosed for the United States, and in and to any and all divisional, continuation, continuation-in-part, reissue or other applications describing said invention or improvements thereon, and in and to any and all Letters Patent of the United States which may issue from said applications or any of them, the same to be held

Notarise/Apostille

by and for the sole and exclusive use and benefit of the said Conopco Inc., d/b/a UNILEVER, its successors and assigns.

AND I/We do hereby authorize and request the Commissioner of Patents to issue the said Letters Patent of the United States to said Conopco Inc., d/b/a UNILEVER, as the ASSIGNEE of the entire right, title and interest in and to the same.

AND I/We do hereby agree to assist said Conopco Inc., d/b/a UNILEVER, in the prosecution of any and all said applications and in the prosecution of any and all interferences or other proceedings which may arise involving said invention, and to execute and deliver any powers of attorney, affidavits, assignments, applications, reissues, documents, or other written instruments which, in the opinion of counsel for said Conopco Inc., d/b/a UNILEVER, its successors and assigns, may be necessary in this country fully to secure to said Conopco Inc., d/b/a UNILEVER, its successors and assigns, any and all said applications, inventions, improvements, Letters Patent, rights, titles, and interests hereby sold, assigned, transferred and conveyed.

In witness whereof, I/we have hereunto set my/our hand

Dated: 19/9/06 (1) Rebecca Justine Elliott
Inventor - Rebecca Justine ELLIOTT
H. G. Waller Notary Public 19/9/06

Dated: 19/9/06 (2) Anand Ramchandra Mahadeshwar
Inventor - Anand Ramchandra MAHADESHWAR
H. G. Waller Notary Public 19/9/06

Dated: 19/9/06 (3) Brodyck James Lachlan Royles
Inventor - Brodyck James Lachlan ROYLES
H. G. Waller Notary Public 19/9/06

Dated: _____ (4) _____
Inventor - Laxmikant TIWARI

Notarise/Apostille

APOSTILLE

(Hague Convention of 5 October 1961 / Convention de La Haye du 5 octobre 1961)

UNITED KINGDOM OF GREAT BRITAIN AND NORTHERN IRELAND

1. Country: United Kingdom of Great Britain and Northern Ireland
Pays: Royaume-Uni de Grande-Bretagne et d'Irlande du Nord

This public document / Le présent acte public

2. Has been signed by **Michael G Walker**
a été signé par
3. Acting in the capacity of **Notary Public**
agissant en qualité de
4. Bears the seal/stamp of **The Said Notary Public**
est revêtu du sceau/timbre de

Certified/Attesté

5. at London/à Londres
6. the/le **11 October 2006**
7. by Her Majesty's Principal Secretary of State for Foreign and Commonwealth Affairs /
par le Secrétaire d'Etat Principal de Sa Majesté aux Affaires Etrangères et du Commonwealth.

8. Number/sous No **H166286**

9. Stamp:
timbre:

10. Signature: **N. Donkor**

*For the Secretary of State / Pour le Secrétaire d'Etat*

If this document is to be used in a country which is not party to the Hague Convention of 5 October 1961, it should be presented to the consular section of the mission representing that country. An apostille or legalisation certificate only confirms that the signature, seal or stamp on the document is genuine. It does not mean that the contents of the document are correct or that the Foreign & Commonwealth Office approves of the contents.

PATENT**REEL: 019869 FRAME: 0959**

J3748(C)/US

ASSIGNMENT

WHEREAS, I/WE,

Rebecca Justine ELLIOTT
Unilever R&D Port Sunlight, Quarry Road East, Bebington, Merseyside CH63 3JW,
United Kingdom

Anand Ramchandra MAHADESHWAR
Unilever R&D Port Sunlight, Quarry Road East, Bebington, Wirral, Merseyside CH63
3JW, United Kingdom

Brodyck James Lachlan ROYLES
Unilever R&D Port Sunlight, Quarry Road East, Bebington, Wirral, Merseyside CH63
3JW, United Kingdom

Laxmikant TIWARI
56 Salcombe Close, Chandler's Ford, Southampton, SO53 4PJ, United Kingdom

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HAIR TREATMENT COMPOSITION COMPRISING SUGAR LACTONE

(bearing Attorney's Docket Number: J3748), which is found in

[Check and complete (a), (b) or (c)]

- (a) ☐ U.S. patent application executed on _____
- (b) ☐ To comply with 37 CFR 3.21 for recordal of this assignment, I/WE as ASSIGNOR(S) signing below, hereby authorise and request my/our attorney, as named in the Declaration and Power of Attorney to insert below the filing date and application number when it becomes known.

U.S. application Serial No. _____ filed on _____

- (c) ☒ International Application No PCT/EP2005/001822

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NOW, THEREFORE, in consideration of one dollar (\$1.00) and other good and valuable consideration in hand paid, the receipt and sufficiency of which is hereby acknowledged, I/We, the undersigned, hereby sell, assign and transfer to the aforesaid Conopco Inc., d/b/a UNILEVER, its successors and assigns, the entire right, title and interest in and to the said application and invention therein disclosed for the United States, and in and to any and all divisional, continuation, continuation-in-part, reissue or other applications describing said invention or improvements thereon, and in and to any and all Letters Patent of the United States which may issue from said applications or any of them, the same to be held

Notarise/Apostille

by and for the sole and exclusive use and benefit of the said Conopco Inc., d/b/a UNILEVER, its successors and assigns.

AND I/We do hereby authorize and request the Commissioner of Patents to issue the said Letters Patent of the United States to said Conopco Inc., d/b/a UNILEVER, as the ASSIGNEE of the entire right, title and interest in and to the same.

AND I/We do hereby agree to assist said Conopco Inc., d/b/a UNILEVER, in the prosecution of any and all said applications and in the prosecution of any and all interferences or other proceedings which may arise involving said invention, and to execute and deliver any powers of attorney, affidavits, assignments, applications, reissues, documents, or other written instruments which, in the opinion of counsel for said Conopco Inc., d/b/a UNILEVER, its successors and assigns, may be necessary in this country fully to secure to said Conopco Inc., d/b/a UNILEVER, its successors and assigns, any and all said applications, inventions, improvements, Letters Patent, rights, titles, and interests hereby sold, assigned, transferred and conveyed.

In witness whereof, I/we have hereunto set my/our hand

Dated: _____ (1) _____
Inventor - Rebecca Justine ELLIOTT

Dated: _____ (2) _____
Inventor - Anand Ramchandra MAHADESHWAR

Dated: _____ (3) _____
Inventor - Brodyck James Lachlan ROYLES

Dated: 09/08/2006 (4) Laxmikant Tiwari
Inventor - Laxmikant TIWARI
U. G. Watson Notary Public 9/8/06

Notarise/Apostille

APOSTILLE

(Hague Convention of 5 October 1961 / Convention de La Haye du 5 octobre 1961)

UNITED KINGDOM OF GREAT BRITAIN AND NORTHERN IRELAND

1. Country: United Kingdom of Great Britain and Northern Ireland
Pays: Royaume-Uni de Grande-Bretagne et d'Irlande du Nord

This public document / Le présent acte public

2. Has been signed by **Michael G Walker**
a été signé par
3. Acting in the capacity of **Notary Public**
agissant en qualité de
4. Bears the seal/stamp of **The Said Notary Public**
est revêtu du sceau/timbre de

Certified/Attesté

5. at London/à Londres

6. the/le **11 October 2006**

7. by Her Majesty's Principal Secretary of State for Foreign and Commonwealth Affairs /
par le Secrétaire d'Etat Principal de Sa Majesté aux Affaires Etrangères et du Commonwealth.

8. Number/sous No

H166285

9. Stamp:
timbre:

10. Signature: **N. Donkor**

*For the Secretary of State / Pour le Secrétaire d'Etat*

If this document is to be used in a country which is not party to the Hague Convention of 5 October 1961, it should be presented to the consular section of the mission representing that country. An apostille or legalisation certificate only confirms that the signature, seal or stamp on the document is genuine. It does not mean that the contents of the document are correct or that the Foreign & Commonwealth Office approves of the contents.

PATENT**RECORDED: 09/24/2007****REEL: 019869 FRAME: 0962**