U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

RECORDATION FORM COVER SHEET

PATENTS ONLY

CASE	23390	ŲŞ
------	-------	----

To the Director of the United States Patent attached original documents or copy thereof.	and Trademark Office: Please record the
1. Name of conveying party(ies): 2	. Name and address of receiving party(ies):
 Bernd Buettelmann Bo Han 	Name: F. HOFFMANN-LA ROCHE AG
3) Henner Knust 4) Matthias Nettekoven 5) Andrew Thomas	Internal Address: A SWISS COMPANY
Additional name(s) of conveying party(ies) attached? Yes <u>X</u> No	
3. Nature of conveyance:	
XX Assignment Merger Security Agreement Change of Name	Street Address: 124 GRENZACHERSTRASSE CH-4070
Other	Country: BASEL, SWITZERLAND
Execution Date: 2) October 19, 2006	Additional name(s) & address(es) attached:
1) 3) 4) 5) October 13, 2006	Yes No <u>X</u>
4. Application number(s) or patent number(s): If this document is being filed together with the application is:	a new application, the execution date of
A. Patent Application No.(s) B.	Patent No.(s)
	LED: October 31, 2006
Additional numbers attac	hed? <u> Yes </u>
, <u>, , , , , , , , , , , , , , , , , , </u>	

PATENT REEL: 019870 FRAME: 0422 Page 2 of 2

5.	Name and address of party to whom correspondence concerning document should be mailed:	6.	Total number of applications and patents involved: ONE	
	Name: GEORGE W. JOHNSTON	_		
	Internal Address:	7,	Total fee (37 CFR 3.41)\$_40.00	
	HOFFMANN-LA ROCHE INC. PATENT LAW DEPARTMENT		<pre>X Authorized to be charged to deposit account X Authorized any deficiencies to be</pre>	
	Street address: 340 KINGSLAND STREET		charged to deposit account	
		8.	Deposit account number:	
	, _		08-2525	
	City: NUTLEY State: NJ Zip: 07110			
	DO NOT USE	- 	TO CDACE	
	DO NOI OSE	r TH	110 STACE	

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

September 24, 2007
Signature September 24, 2007

CONTACT: Kathleen Sweeney TELEPHONE: (973) 235-2573 (973) 235-2364 FAX:

Total number of pages including cover sheet, attachments and document: 3

323040

PATENT REEL: 019870 FRAME: 0423 U.S.A.

Case 23390

<u>Assignment</u>

WHEREAS, I/WE

Bernd Buettelmann, Bo Han, Henner Knust, Matthias Nettekoven, Andrew Thomas

the ASSIGNOR(S), have invented certain new and useful improvements in

3-ARYL-ISOXAZOLE-4-CARBONYL-INDOLE DERIVATIVES

which are described and claimed in application for United States Letters Patent in respect of which a Declaration and Power of Attorney was executed on

October 13 and 19, 2006

which application is based upon the following foreign application(s)

in Europe on November 9, 2005 under No. 05110503,9

NOW, THEREFOR, for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable considerations to me (us) in hand paid, the receipt and sufficiency whereof are hereby acknowledged, I/we have sold, assigned and set over, and by these presents do hereby sell, assign and set over unto

F. Hoffmann-La Roche AG, a Swiss Company of 124 Grenzacherstrasse, CH-4070 Basle, (Switzerland) the Assignee

and the said Assignee's legal representatives, successors and assigns, the entire right, title and interest, in and to the said invention within the United States of America and its territories and possessions, and in and to said application and the Letters Patent of the United States of America that may be granted therefor, together with the right to extend the protection thereof to the various territories and possessions now owned or which may be acquired hereafter by the United States of America; and I/we do hereby request and authorize the Commissioner of Patents to issue said Letters Patent to the above-mentioned Assignee agreeably with the terms of this assignment.

UPON SAID CONSIDERATION, I/we do hereby covenant and agree with the said Assignee that I/we will not execute any writing or do any act whatsoever conflicting with these presents, and that I/we will at any time upon request without further or additional consideration, but at the expense of the said Assignee, execute such additional assignments and other writings and do such additional acts as said Assignee may deem necessary or desirable to perfect the Assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, reissued, or extended Letters Patent of the United States of America on said invention, and in enforcing any rights or choses in action accruing as a result of such applications or patents, including but not limited to, giving testimony in any proceedings or transactions involving such applications or patents, and executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind and inure to the benefit of the assigns and legal representatives of all parties hereto.

IN WITNESS WHEREOF, this Assignment has been executed by the Assignor(s) at Basle, Switzerland, on

Bernd Buettelmann

Henner Knust

Henner Knust

Matthias Nettekoven

Andrew Thomas

IN WITNESS WHEREOF, this Assignment has been executed by the Assignor(s) at Pudong, Shanghai, China, on October 19, 2006.

1 19 1 10 11 Bo Han

> PATENT REEL: 019870 FRAME: 0424

Pharmaceuticals

Fax Cover Sheet

Hoffmann-La Roche Inc. LAW DEPARTMENT



Hoffmann-La Roche Inc. 340 Kingsland Street Nutley, NJ 07110-1199	Date: 9/24/07 Transmission No.: 6822
Sent To: USPTO - ASSIGNMENT RECOR	DATION SERVICES
From: Kathleen Sweeney	Tel. 973-235- 2573 Fax 973-235-2363
No. of pages: (incl. cover sheet)	If you do not receive the pages as indicated, please call <u>Kathleen</u> @(973) 235- <u>2573</u>

CONFIDENTIALITY NOTICE: This facsimile (this page and any accompanying page(s)) is intended only for the use of the individual or entity to which it is addressed and may contain information that is privileged, confidential and exempt from disclosure under applicable law. If the reader of this message is not the intended recipient or the employee or agent responsible for delivering the message to the intended recipient, you are hereby notified that any dissemination, distribution, or copying of this communication, or the taking of any action in teliance on the contents of this information, may be strictly prohibited. If you have received this facsimile in error, please notify us immediately by telephone (collect), and return the original message to us at the above address via the U.S. Postal Service. Thank you.

COMMENTS:

RECORDED: 09/24/2007

PATENT

REEL: 019870 FRAME: 0425