

Form PTO-1595 (Rev. 07/05)
OMB No. 0651-0027 (exp. 6/30/2008)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

BioCardia DeviceCo, Inc.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) August 9, 2002

- ☐ Assignment ☐ Merger
☐ Security Agreement ☒ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: BioCardia, Inc.

Internal Address: _____

Street Address: 384 Oyster Point Blvd. #5

City: South San Francisco

State: California

Country: USA Zip: 94080

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

10/265,429

☐ This document is being filed together with a new application.

B. Patent No.(s)

7,029,466

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: K. David Crockett, Esq.

Internal Address: _____

Street Address: Crockett & Crockett

24012 Calle De La Plata, Suite 400

City: Laguna Hills

State: California Zip: 92653

Phone Number: 949-588-6171

Fax Number: 949-588-6172

Email Address: _____

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 03-3700

Authorized User Name K. David Crockett

9. Signature:



Signature

September 27, 2007

Date

K. David Crockett, Esq.
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 3

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

CH \$40.00 033700 10265429

700344181

PATENT
REEL: 019901 FRAME: 0941

Sent By: Computer Specialists;

6744978;

Aug-12-02 11:39;

Page 2/3



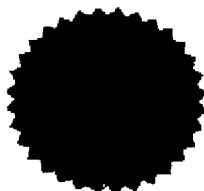
Delaware

PAGE 1

The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "BIOCARDIA DEVICECO, INC.", CHANGING ITS NAME FROM "BIOCARDIA DEVICECO, INC." TO "BIOCARDIA, INC.", FILED IN THIS OFFICE ON THE NINTE DAY OF AUGUST, A.D. 2002, AT 9 O'CLOCK A.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.



Harriet Smith Windsor

Harriet Smith Windsor, Secretary of State

3497557 8100

020508197

AUTHENTICATION: 1930461

DATE: 08-09-02

Sent By Computer Specialists;

6744978;

Aug-12-02 11:39;

Page 3/3



STATE OF DELAWARE
SECRETARY OF STATE
DIVISION OF CORPORATIONS
FILED 09:00 AM 08/09/2002
020508197 - 3427557

**CERTIFICATE OF AMENDMENT
OF THE CERTIFICATE OF INCORPORATION
OF BIOCARDIA DEVICECO, INC.**

BioCardia DeviceCo, Inc., a corporation organized and existing under the laws of the State of Delaware, hereby certifies as follows:

A. The name of the corporation is BioCardia DeviceCo, Inc. The corporation was originally incorporated under the same name and the original Certificate of Incorporation of the corporation was filed with the Secretary of State of the State of Delaware on March 1, 2002.

B. This Certificate of Amendment of the Certificate of Incorporation has been duly adopted in accordance with the provisions of the General Corporation Law of the State of Delaware by the Board of Directors and the stockholders of the corporation.

C. Pursuant to Section 242 of the General Corporation Law of the State of Delaware, this Certificate of Amendment of the Certificate of Incorporation amends the provisions of this corporation's Certificate of Incorporation as set forth herein.

D. Article I of the Certificate of Incorporation is hereby amended to read in its entirety as follows:

The name of the corporation is "BioCardia, Inc."

IN WITNESS WHEREOF, the Company has caused this Certificate of Amendment of the Certificate of Incorporation to be signed by its President, effective as of August 9, 2002.

BIOCARDIA DEVICECO, INC.

By: /s/ Peter Altman
Peter Altman
President