

*Handwritten initials and numbers: A11, 58140*

**11/886776**

09-28-2007

**P05Rec'd PCT 20 SEP 2007**  
SHEET

Our Ref.: 4494-25



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To the Director of the U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof.

<p>1. Name of conveying party(ies):</p> <p>1 Akihito SAWA 2 Takeshi FUJII 3 Masashi KAWASAKI 4 Yoshinori TOKURA</p> <p>Additional name/s of conveying party/ies attached? <input type="checkbox"/></p> <p>3. Nature of conveyance:</p> <p><input checked="" type="checkbox"/> Assignment      <input type="checkbox"/> Merger <input type="checkbox"/> Security Assignment      <input type="checkbox"/> Change of Name <input type="checkbox"/> Other</p> <p>Execution Date: 1) 30 July 2007; 2) 3 August 2007; 3) 21 August 2007; 4) 14 August 2007</p>	<p>2. Name and address of receiving party(ies):</p> <p>1) Name: NATIONAL INSTITUTE OF ADVANCED INDUSTRIAL SCIENCE AND TECHNOLOGY Street Address: 3-1, Kasumigaseki 1-chome, Chiyoda-ku City: Tokyo State/Country: Japan      Zip: 1008921</p> <p>Additional name/s &amp; address/es attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
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<p>4. Application number(s) or patent number(s): <input checked="" type="checkbox"/> This assignment is being filed together with a new application.</p> <p>A. Patent Application No(s). (1) (2) (3)</p>	<p>B. Patent No(s). (1) (2) (3)</p> <p>Additional numbers attached <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
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<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p>Name: <u>H. Warren Burnam, Jr.</u></p> <p>Internal Address: _____</p> <p>Street Address: <u>Nixon &amp; Vanderhye P.C.</u> <u>901 North Glebe Road</u> <u>11th Floor</u></p> <p>City: <u>Arlington</u> State: <u>VA</u> Zip: <u>22203</u></p>	<p>6. Total number of applications &amp; patents involved: <u>1</u></p> <p>7. Total fee (37 CFR 3.41) \$ <u>40.00</u></p> <p><input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to be charged to deposit account #14-1140</p> <p>8. The Commissioner is hereby authorized to charge any <u>deficiency</u> in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper thereafter filed in this application by this firm) to our <b>Account No. 14-1140.</b></p>
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9. Statements and signature.  
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

<p><u>H. Warren Burnam, Jr.</u> Name of Person Signing Reg. No. 29,366</p>	<p><i>Handwritten Signature</i> Signature</p>	<p><u>September 20, 2007</u> Date</p>
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Total number of pages including original cover sheet, attachments, and document: [3]

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# ASSIGNMENT OF PATENT APPLICATION

**(Inventors)** Akihito SAWA  
 Takeshi FUJII  
 Masashi KAWASAKI  
 Yoshinori TOKURA

**(Assignee)** In consideration of the sum of one dollar (\$1.00) and other good and valuable considerations paid to each of the undersigned, the undersigned agree(s) to assign, and hereby does assign, transfer and set over to NATIONAL INSTITUTE OF ADVANCED INDUSTRIAL SCIENCE AND TECHNOLOGY

**(Address)** (of 3-1, Kasumigaseki 1-chome, Chiyoda-ku Tokyo 1008921 Japan) (hereinafter designated as the Assignee) the undersigned's entire right, title and interest for the United States, its territories, dependencies and possessions, and for the country of

**(Other Countries)** ( in the invention, and all application for patent and any Letters Patent which may be granted therefore, known as **NONVOLATILE MEMORY ELEMENT** (Case No. 4494-25) for which the undersigned has (have) executed on even date herewith an application for patent in the United States of America or, if not on even date, then has executed (on or has already filed in (U.S. appln. Serial No. , filed on ). The undersigned acknowledges an obligation of assignment of this invention to said assignee at the time the invention was made.

The undersigned agree(s) to execute all papers and documents necessary in connection with the application or any interference which may be declared and any continuing or divisional applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient and further to perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.

The undersigned hereby authorize(s) and request(s) the Commissioner of Patents to issue any and all Letters Patent of the United States resulting from said application or any division or divisions or continuing applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed and will not execute, any agreement in conflict herewith.

The undersigned hereby grant(s) the firm of NIXON & VANDERHUYE P.C. the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent Office for recordation of this document. It is understood and agreed that ASSIGNEE'S attorneys Nixon & Vanderhuy P.C. have represented only ASSIGNEE and will continue to represent only ASSIGNEE with respect to this invention.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned signature(s).

Date	<u>July 30, 2007</u>	Signature of inventor	( <u>Akihito Sawa</u> Akihito SAWA
Date	<u>August 3, 2007</u>	Signature of inventor	( <u>Takeshi Fujii</u> Takeshi FUJII
Date	<u>August 21, 2007</u>	Signature of inventor	( <u>Masashi Kawasaki</u> Masashi KAWASAKI
Date	<u>August 14, 2007</u>	Signature of inventor	( <u>Yoshinori Tokura</u> Yoshinori TOKURA
Date	_____	Signature of inventor	( _____
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Witnessed by:	_____	Date	_____
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