

Form **PTO-1595** (Rev. 03/05)
OMB No. 0651-0027 (exp. 6/30/2005)

U.S. DEPARTMENT OF COMMERCE
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RECORDATION FORM COVER SHEET PATENTS ONLY

APO-013

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Christopher J. Gostout

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):Execution Date(s) July 31, 2007

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)Name: Mayo Foundation for Medical Education and Research

Internal Address: _____

Street Address: 200 First Street SWCity: RochesterState: MNCountry: _____ Zip: 55905

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

11/775,996

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:Name: Gordon & Jacobson, P.C.

Internal Address: _____

Street Address: 60 Long Ridge RoadSuite 407City: StamfordState: CT Zip: 06902Phone Number: 203 323-1800Fax Number: 203 323-1803

Email Address: _____

6. Total number of applications and patents involved: one**7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00**

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
 Expiration Date _____

b. Deposit Account Number 07-1732Authorized User Name David P. Gordon**9. Signature:**

David P. Gordon
 Signature

10-3-07
 Date

David P. Gordon
 Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

3

Documents to be recorded (including cover sheet) should be faxed to (703) 306-6995, or mailed to:
 Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

CH \$40.00 071732 11775996

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ASSIGNMENT

WHEREAS, I, **Christopher J. Gostout**, hereinafter referred to as the "Inventor", a citizen of the United States, whose post office address is 182 Evergreen Drive NE, Rochester, MN 55906, have invented certain new and useful improvements in

METHODS AND SYSTEMS FOR PERFORMING SUBMUCOSAL MEDICAL PROCEDURES

as described and set forth in an application for Letters Patent of the United States of America, executed by me on the 31 day of JULY, 2007.
(Attorney Docket No. APO-013).

AND WHEREAS, **Mayo Foundation for Medical Education and Research**, hereinafter referred to as the "said FOUNDATION", and having a place of business at 200 First Street SW, Rochester, MN 55905, is desirous of acquiring or confirming its acquisition of the right, title and interest in my contribution in said invention, inventions or improvements, and in and to said application, and in and to any and all patents, both of the United States and of all foreign countries, that may be obtained therefor;

NOW, THEREFORE TO ALL WHOM IT MAY CONCERN, BE IT KNOWN, that I, the Inventor, for good and valuable considerations, the receipt and sufficiency of which I hereby acknowledge, have sold, assigned, transferred and conveyed, and by this assignment do sell, assign, transfer and convey, unto said FOUNDATION, its successors and assigns, the entire right, title and interest throughout the world, in and to my invention, inventions or improvements described or set forth in said application, in any form or embodiment thereof, and in and to the portions of said application, and in and to any portion of applications filed in any foreign country based thereon, including the right to file foreign applications under the provisions of any convention or treaty; and in and to any confirmation, divisional, continuation, continuation-in-part, or substitute application which may be filed on said invention, inventions or improvements in the United States or in any foreign country; and in and to any and all patents, certificates, utility models, reissues, extensions, additions or confirmations thereof which may be granted in the United States or in any foreign country upon said invention, inventions or improvements to the extent that I am an inventor with respect thereto, to have and to hold the same to the full end of the term or terms for which any and all such United States and foreign patents and grants may be issued on said invention, inventions or improvements.

AND I do hereby authorize and request the issuing authority to issue any and all of said United States and foreign patents on said application or applications to said FOUNDATION, its successors and assigns, as the assignee of the entirety of the identified right, title and interest in and to the same, for the sole use and benefit of said FOUNDATION, its successors and assigns.

AND I do hereby covenant and warrant that I have full right to convey the entire right, title and interest to my invention herein assigned free and clear of all licenses, encumbrances and liens whatsoever, and that I have not executed and will not execute any instruments in conflict herewith.

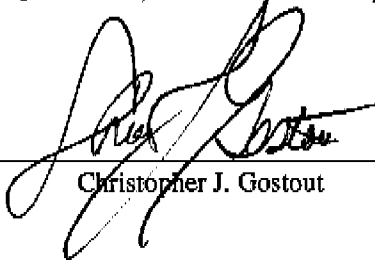
AND I, for the conditions aforesaid, do hereby covenant and agree to and with the said FOUNDATION, its successors and assigns or designees, that I, my executors, administrators, or other personal representatives, shall and will do all lawful acts and things, make all rightful oaths, and make, execute, and deliver any and all other instruments in writing, and any and all further applications, papers, powers, affidavits, assignments, disclaimers and other documents, which in the opinion of counsel for said FOUNDATION, its successors and assigns, may be required or necessary in this or in any foreign country more effectually to secure to and vest in said FOUNDATION, its successors and assigns or its designees, the entirety of the identified right, title and interest in and to said invention, inventions, or

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improvements, application or applications, patents, rights, titles, benefits, privileges and advantages hereby sold, assigned, confirmed, transferred and conveyed.

The undersigned hereby grant(s) David P. Gordon, Esq., David S. Jacobson, Esq., and Jay P. Sbrollini, Esq. the power to insert on this Assignment any further identification which may be necessary in order to comply with the rules of the U.S. Patent and Trademark Office for the recordation of this document and to correct any errors or defects herein.

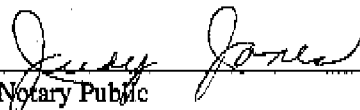
IN WITNESS WHEREOF, I, **Christopher J. Gostout**, have hereunto set my hand and seal this 31 day of July, 2007.



Christopher J. Gostout

County of OLMSTED)
State of MINNESOTA) ss:

BE IT KNOWN, that on this 31 day of July, 2007 personally appeared **Christopher J. Gostout** to me known and known to me to be the individual described in and who executed the foregoing assignment and he acknowledged to me that he executed the same.



Notary Public

SEAL

My commission expires:

