

Form **PTO-1595** (Rev. 09/06)
OMB No. 0651-0027 (exp. 6/30/2005)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached document(s) or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

ANDY WONYONG CHOI (06/29/2007), PHILIP BRYAN (07/12/2007), MICHAEL BAUSCH (07/13/2007), AND SCOTT KENNARD (07/02/2007)

Execution Date(s) in parentheses after inventor name

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: HOWMEDICA OSTEONICS CORP.

Internal Address: _____

Street Address: _____

325 CORPORATE DRIVE

City: MAHWAH

State: NEW JERSEY

Country: United States of America Zip: 07430

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

11/693,855

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Mayankkumar M. Dixit
LERNER, DAVID, LITTENBERG,
KRUMHOLZ & MENTLIK, LLP

Internal Address: Atty. Dkt.:

Street Address: 600 South Avenue West

City: Westfield

State: NJ Zip: 07090

Phone Number: (908) 654-5000

Fax Number: (908) 654-0415

Email Address: _____

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

☐ Authorized to be charged by credit card

☒ Authorized to be charged to deposit account

☐ Enclosed

☐ None required (government interest not affecting title)

8. Payment Information

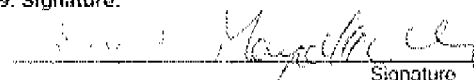
a. Credit Card Last 4 Numbers _____

Expiration Date _____

b. Deposit Account Number 12-1095

Authorized User Name Mayankkumar M. Dixit

9. Signature:


Signature

October 3, 2007

Date

Mayankkumar M. Dixit - 44.064

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

5

806665-1

Docket No.: OSTEONICS 3.0-657

CH \$40.00 121096 11893866

700344856

PATENT
REEL: 019918 FRAME: 0038

former PTO/SPBTS (B.G.) Modified LDLKM

ASSIGNMENT OF UTILITY APPLICATION

Docket Number (Optional)

OSTEONICS 3.0-657

Whereas, I, Andy Wonyong Choi of
8025 Brittany Drive, Wayne, New Jersey 07470

hereafter referred to as assignor, have with others invented certain new and useful improvements in

DISPOSABLE NECK TRIAL ADAPTER

- ☒ for which an application for a United States Letters Patent was filed on 3/17/07
 Application Number 11/893,855
- ☐ for which an application for a United States Letters Patent was executed by me on _____
 and _____

Whereas, Howmedica Osteonics Corp.

a corporation of New Jersey herein referred to as "assignee" whose mailing address is

325 Corporate Drive, Mahwah, New Jersey 07430

is desirous of acquiring the entire right, title and interest in the same;

NOW, THEREFORE, in consideration of the sum of one dollars (\$ 1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said assignee my entire right, title and interest for the United States of America and all other countries in and to said invention and the aforesaid utility patent application and all original, divisional, continuation, continuation-in-part, substitute or reissue applications and patents applied for or granted therefor in the United States of America and all other countries, for said invention, including without limitation all applications and patents for said invention claiming priority or benefit of the aforesaid utility application pursuant to any law or treaty, and including the right to claim such priority or benefit and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all original, divisional, continuation, reissue and other applications for Letters Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said assignee or its representatives all facts known to the undersigned respecting said improvements, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the expenses incident to said applications to be borne and paid by said assignee.

And I do hereby authorize my attorneys to insert on this deed the filing date and application number of said application when known.

6/29/07
 (Date)

Andy Wonyong Choi
 (Signature)

State of NEW JERSEY) SS:
 County of Bergen)

On this 29 day of JUNE 2007 before me personally came the above-named Andy Wonyong Choi, to me personally known as the individual who executed the foregoing instrument, who acknowledged to me that the same was executed by him/her of his/her own free will for the purposes therein set forth.

[Signature]
 (Notary Public)

☒ *Total of 4 forms are submitted

U.D.528:

777646-1

GREGORY E. PLASKON
 NOTARY PUBLIC OF NEW JERSEY
 Commission Expires 2/19/2009

PATENT
 REEL: 019918 FRAME: 0039

Formal PTO/SB/15 (8-00) Modified LDLKM

| | |
|--|--|
| ASSIGNMENT OF UTILITY APPLICATION | Docket Number (Optional) OSTEONICS 3.0-657 |
|--|--|

Whereas, I, Philip Bryan of
3179 Rush-Mendon Rd; Honeoye Falls, New York 14472
 hereafter referred to as assignor, have with others invented certain new and useful improvements in
DISPOSABLE NECK TRIAL ADAPTER

☒ for which an application for a United States Letters Patent was filed on 3/17/07
 Application Number 11/893,855

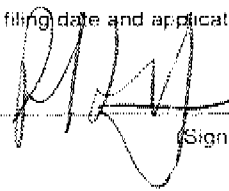
☐ for which an application for a United States Letters Patent was executed by me on _____
 and _____

Whereas, Howmedica Osteonics Corp.
 a corporation of New Jersey herein referred to as "assignee" whose mailing address is
325 Corporate Drive, Mahwah, New Jersey 07430
 is desirous of acquiring the entire right, title and interest in the same:

NOW, THEREFORE, in consideration of the sum of one dollars (\$ 1.00), the receipt whereof
 is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said
 assignee my entire right, title and interest for the United States of America and all other countries in and to said
 invention and the aforesaid utility patent application and all original, divisional, continuation, continuation-in-part,
 substitute or reissue applications and patents applied for or granted therefor in the United States of America and all
 other countries, for said invention, including without limitation all applications and patents for said invention claiming
 priority or benefit of the aforesaid utility application pursuant to any law or treaty, and including the right to claim such
 priority or benefit and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all
 patents on said improvements or resulting therefrom to said assignee herein, as assignee of the entire interest
 therein; and the undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant
 without further remuneration, to execute and deliver all original, divisional, continuation, reissue and other applications
 for Letters Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate
 to said assignee or its representatives all facts known to the undersigned respecting said improvements, whenever
 requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may
 become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid
 assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the
 expenses incident to said applications to be borne and paid by said assignee.

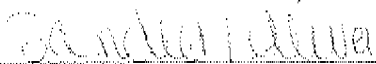
And I do hereby authorize my attorneys to insert on this deed the filing date and application number of said application
 when known.

7/12/07 (Date)


 (Signature)

State of New York) SS.
 County of Albany)

On this 12 day of July, 2007 before me personally came the above named Philip Bryan
Bryan, to me personally known as the individual who executed the foregoing instrument, who acknowledged to me
 that the same was executed by him/her of his/her own free will for the purposes therein set forth.


 (Notary Public)

SANDRA I OLIVER
 Notary Public - State of New York
 NO. 010LS037422
 Qualified in Monroe County
 My Commission Expires 11/27/10

☒ *Total of 4 forms are submitted.

LDLKM

772646-1

former PTO/SB/15 (R 03) Modified LDLKM

| | |
|--|--|
| ASSIGNMENT OF UTILITY APPLICATION | Docket Number (Optional) OSTEONICS 3.0-657 |
|--|--|

Whereas, I, Michael Bausch of
6995 Coy Rd; Livonia, New York 14487
hereafter referred to as assignor, have with others invented certain new and useful improvements in
DISPOSABLE NECK TRIAL ADAPTER
☒ for which an application for a United States Letters Patent was filed on 8/17/07
Application Number 11/893,855
☐ for which an application for a United States Letters Patent was executed by me on _____
and _____

Whereas, Howmedica Osteonics Corp.
a corporation of New Jersey herein referred to as "assignee" whose mailing address is
325 Corporate Drive; Mahwah, New Jersey 07430
is desirous of acquiring the entire right, title and interest in the same,

NOW, THEREFORE, in consideration of the sum of one dollars (\$ 1.00), the receipt whereof
is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said
assignee my entire right, title and interest for the United States of America and all other countries in and to said
invention and the aforesaid utility patent application and all original, divisional, continuation, continuation-in-part,
substitute or reissue applications and patents applied for or granted therefor in the United States of America and all
other countries, for said invention, including without limitation all applications and patents for said invention claiming
priority or benefit of the aforesaid utility application pursuant to any law or treaty, and including the right to claim such
priority or benefit and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all
patents on said improvements or resulting therefrom to said assignee herein, as assignee of the entire interest
therein, and the undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant
without further remuneration, to execute and deliver all original, divisional, continuation, reissue and other applications
for Letters Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate
to said assignee or its representatives all facts known to the undersigned respecting said improvements, whenever
requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may
become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid
assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the
expenses incident to said applications to be borne and paid by said assignee.

And I do hereby authorize my attorneys to insert on this deed the filing date and application number of said application
when known.

17-JULY-07 (Date) Michael Bausch (Signature)

State of New York) SS:
County of Albany)

On this 13 day of July, 2007, before me personally came the above-named Michael Bausch
Bausch, to me personally known as the individual who executed the foregoing instrument, who acknowledged to me
that the same was executed by him/her of his/her own free will for the purposes therein set forth.

Sheela Kulkarni
(Notary Public)

Notary Public, State of New York
No. 015886200
Qualified in Albany County
Commission Expires 8/15/2009

| | | | |
|-------------------------------------|-----------|---|----------------------|
| <input checked="" type="checkbox"/> | *Total of | 4 | forms are submitted. |
|-------------------------------------|-----------|---|----------------------|

10-828

717645-1

former PTO/SB/15 (8-00) Modified LDLKM

| | |
|--|--|
| ASSIGNMENT OF UTILITY APPLICATION | Docket Number (Optional) OSTEONICS 3.0-657 |
|--|--|

Whereas, I, Scott Kennard of
26 Barry St., Brockport, New York 14420
hereafter referred to as assignor, have with others invented certain new and useful improvements in
DISPOSABLE NECK TRIAL ADAPTER
☒ for which an application for a United States Letters Patent was filed on 8/17/07
Application Number 11/893,855
☐ for which an application for a United States Letters Patent was executed by me on _____
and _____

Whereas, Howmedica Osteonics Corp.
a corporation of New Jersey herein referred to as "assignee" whose mailing address is
325 Corporate Drive, Mahwah, New Jersey 07430
is desirous of acquiring the entire right, title and interest in the same;

NOW, THEREFORE, in consideration of the sum of one dollars (\$ 1.00), the receipt whereof
is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said
assignee my entire right, title and interest for the United States of America and all other countries in and to said
invention and the aforesaid utility patent application and all original, divisional, continuation, continuation-in-part,
substitute or reissue applications and patents applied for or granted therefor in the United States of America and all
other countries, for said invention, including without limitation all applications and patents for said invention claiming
priority or benefit of the aforesaid utility application pursuant to any law or treaty, and including the right to claim such
priority or benefit and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all
patents on said improvements or resulting therefrom to said assignee herein, as assignee of the entire interest
therein; and the undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant
without further remuneration, to execute and deliver all original, divisional, continuation, reissue and other applications
for Letters Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate
to said assignee or its representatives all facts known to the undersigned respecting said improvements, whenever
requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may
become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid
assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the
expenses incident to said applications to be borne and paid by said assignee.

And I do hereby authorize my attorneys to insert on this deed the filing date and application number of said application
when known.

07-07-2007 (Date) Scott Kennard (Signature)

State of New York) SS:
County of Schenectady)

On this 2nd day of July 2007 before me personally came the above named Scott Kennard, to me personally known as the individual who executed the foregoing instrument, who acknowledged to me that the same was executed by him/her of his/her own free will for the purposes therein set forth.

Kathleen McNamara
(Notary Public)

☒ *Total of 4 forms are submitted.

LDL-5285

777645-1

