

10-03-2007

RE



Docket No: 07-198 D

To the Director of the U.S. Patent and Trademark Office

103450067

Transmit the following in the form of a(n) \_\_\_\_\_  
or the new address(es) below.

**1. Name of conveying party(ies)**

Craig B. Kelley Kevin F. Stremlau  
Gary C. Bryant Brian R. Janes  
John J. Maas  
Colton T. Anderson

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

**2. Name and address of receiving party(ies)**

Caterpillar Inc.  
100 N.E. Adams Street  
Peoria IL 61629-6490  
USA

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) 03/23/2007 04/09/2007

☒ Assignment 04/03/2007 ☐ Merger 03/22/2007

☐ Security Agreement 03/27/2007 ☐ Change of Name 04/16/2007

☐ Joint Research Agreement

☐ Government Interest Assignment

☐ Executive Order 9424, Confirmatory License

☐ Other \_\_\_\_\_

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**4. Application or patent number(s):**

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

29/285,210

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Michael D. Braunstein

Internal Address: Caterpillar Inc.

Intellectual Property Dept, AB6490

Street Address: 100 N. E. Adams Street

City: Peoria

State: IL Zip: 61629-6490

Phone Number: (309) 494-5979

Fax Number: (309) 675-1236

Email Address: \_\_\_\_\_

**6. Total number of applications and patents involved:** 1

**7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00**

☐ Authorized to be charged by credit card

☒ Authorized to be charged to deposit account

☐ Enclosed

☐ None required (government interest not affecting title)

**8. Payment Information**

a. Credit Card Last 4 Numbers \_\_\_\_\_

Expiration Date \_\_\_\_\_

b. Deposit Account Number 03-1129

Authorized User Name Michael D. Braunstein

**9. Signature:**

*Michael D. Braunstein*  
Signature

Date

Michael D. Braunstein

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 1

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

PATENT

REEL: 019944 FRAME: 0203

# ASSIGNMENT

WHEREAS, CRAIG B. KELLEY, residing at Dunlap, Illinois, hereinafter referred to as ASSIGNOR has invented together with Gary C. Bryant, John J. Maas, Michael J. Vance, Colton T. Anderson, Kevin F. Stremlau, Brian R. Janes, Thomas Fennell and Brian S. Underwood a new and useful

## PORTION OF AN OFF-HIGHWAY TRUCK

for which ASSIGNOR is about to file an international application and/or make application for Design Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNOR on 23 Mar 2007 and

WHEREAS, Caterpillar Inc., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Design Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR;

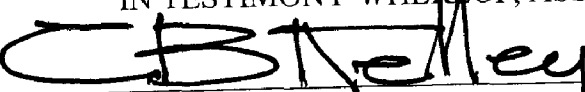
1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Design Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Design Patent on said invention, when granted, to Caterpillar Inc.

4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR'S heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNOR has executed this instrument.

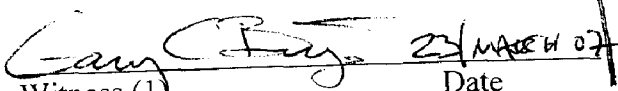


CRAIG B. KELLEY

23 March 2007

Date

IN WITNESS OF the execution hereof by CRAIG B. KELLEY we have hereunto set our hands.

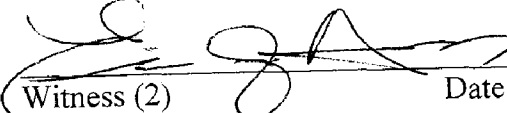


Witness (1)

Date

Address: 3522 EAST CEDAR PT.

CHILLICOTHE, IL 61523



Witness (2)

Date

Address: 751 W. JONAS CT #305

PEORIA IL 61614

PATENT

REEL: 019944 FRAME: 0204

# ASSIGNMENT

WHEREAS, GARY C. BRYANT, residing at Chillicothe, Illinois, hereinafter referred to as ASSIGNOR has invented together with Craig B. Kelley, John J. Maas, Michael J. Vance, Colton T. Anderson, Kevin F. Stremlau, Brian R. Janes, Thomas Fennell and Brian S. Underwood a new and useful

## PORTION OF AN OFF-HIGHWAY TRUCK

for which ASSIGNOR is about to file an international application and/or make application for Design Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNOR on 04/03/07; and

WHEREAS, Caterpillar Inc., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Design Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR;

1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Design Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Design Patent on said invention, when granted, to Caterpillar Inc.

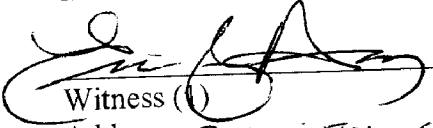
4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR'S heirs and legal representatives.

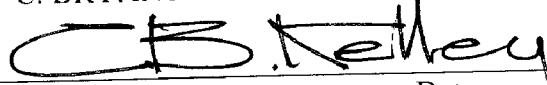
IN TESTIMONY WHEREOF, ASSIGNOR has executed this instrument.

  
GARY C. BRYANT

04/03/07  
Date

IN WITNESS OF the execution hereof by GARY C. BRYANT we have hereunto set our hands.

  
Witness (1) 4/3/2007  
Date  
Address: 751 W JOAN CT #305  
PEORIA, IL 61614

  
Witness (2) 4/3/2007  
Date  
Address: 11417 rt 9  
Dunlap, Ill 61525

# ASSIGNMENT

WHEREAS, JOHN J. MAAS, residing at Mount Zion, Illinois, hereinafter referred to as ASSIGNOR has invented together with Craig B. Kelley, Gary C. Bryant, Colton T. Anderson, Kevin F. Stremlau and Brian R. Janes a new and useful

## PORTION OF AN OFF-HIGHWAY TRUCK

for which ASSIGNOR is about to file an international application and/or make application for Design Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNOR on 3-27-2007; and

WHEREAS, Caterpillar Inc., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Design Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR;

1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Design Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Design Patent on said invention, when granted, to Caterpillar Inc.

4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR'S heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNOR has executed this instrument.

John J. Maas  
JOHN J. MAAS

3/27/07  
Date

IN WITNESS OF the execution hereof by JOHN J. MAAS we have hereunto set our hands.

Pawan Arora 03/27/07  
Witness (1) Date  
Address: 3750 N WOODFORD ST  
# 1304  
DECATUR, IL 62526

Andrew Rummel 03/27/07  
Witness (2) Date  
Address: 345 Loma Drive  
Forest, IL 62535

# ASSIGNMENT

WHEREAS, COLTON T. ANDERSON, residing at Chillicothe, Illinois, hereinafter referred to as ASSIGNOR has invented together with Craig B. Kelley, Gary C. Bryant, John J. Maas, Kevin F. Stremlau and Brian R. Janes a new and useful

## PORTION OF AN OFF-HIGHWAY TRUCK

for which ASSIGNOR is about to file an international application and/or make application for Design Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNOR on 16 APR 07; and

WHEREAS, Caterpillar Inc., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Design Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR;

1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Design Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Design Patent on said invention, when granted, to Caterpillar Inc.

4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR'S heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNOR has executed this instrument.

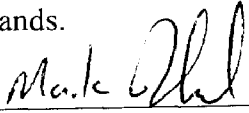


COLTON T. ANDERSON

16 APR 07

Date

IN WITNESS OF the execution hereof by COLTON T. ANDERSON we have hereunto set our hands.



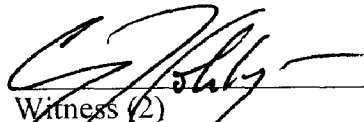
Witness (1)

4/16/07

Date

Address:

307 Phil Gould Dr.  
Hanna City, IL 61536



Witness (2)

4/16/07

Date

Address: 1506 W. BLUE SAGE DR.

APT. 1304  
PEORIA, IL 61615

PATENT

REEL: 019944 FRAME: 0207

# ASSIGNMENT

WHEREAS, KEVIN F. STREMLAU, residing at Peoria, Illinois, hereinafter referred to as ASSIGNOR has invented together with Craig B. Kelley, Gary C. Bryant, John J. Maas, Colton T. Anderson and Brian R. Janes a new and useful

## PORTION OF AN OFF-HIGHWAY TRUCK

for which ASSIGNOR is about to file an international application and/or make application for Design Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNOR on 4-9-2007; and

WHEREAS, Caterpillar Inc., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Design Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR;

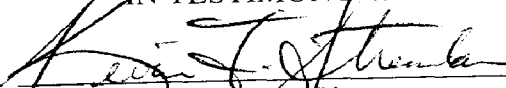
1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Design Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Design Patent on said invention, when granted, to Caterpillar Inc.


4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR'S heirs and legal representatives.


IN TESTIMONY WHEREOF, ASSIGNOR has executed this instrument.

  
\_\_\_\_\_  
KEVIN F. STREMLAU

4/09/2007  
\_\_\_\_\_  
Date

IN WITNESS OF the execution hereof by KEVIN F. STREMLAU we have hereunto set our hands.

  
\_\_\_\_\_  
Witness (1)      9-Apr-2007  
Date  
Address: 904 W. Northwest Ave.  
Peoria, IL 61614  
\_\_\_\_\_  
CLIFTON R. GERKE

  
\_\_\_\_\_  
Witness (2)      09-APR-07  
Date  
Address: 806 W. Deerbrook Dr.  
Peoria, IL 61615  
\_\_\_\_\_  
DAVID A. WIESE

# ASSIGNMENT

WHEREAS, BRIAN R. JANES, residing at Chillicothe, Illinois, hereinafter referred to as ASSIGNOR has invented together with Craig B. Kelley, Gary C. Bryant, John J. Maas, Michael J. Vance, Colton T. Anderson, Kevin F. Stremlau, Thomas Fennell and Brian S. Underwood a new and useful

## PORTION OF AN OFF-HIGHWAY TRUCK

for which ASSIGNOR is about to file an international application and/or make application for Design Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNOR on 3-22-2007; and

WHEREAS, Caterpillar Inc., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Design Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR;

1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Design Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Design Patent on said invention, when granted, to Caterpillar Inc.

4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR'S heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNOR has executed this instrument.

Brian R. Janes  
BRIAN R. JANES

3-22-07  
Date

IN WITNESS OF the execution hereof by BRIAN R. JANES we have hereunto set our hands.

[Signature] 3-22-07  
Witness (1) Date  
Address: 6501 N. Spauld Ter  
Peoria, IL 61615

[Signature] 6-1  
Witness (2) Date  
Address: 904 W. Northwest Ave.  
Peoria, IL 61614