CH \$120.00 500311 6129934

By Facsimile: († 71) 273-0140 Date of Deposit October 11, 2007

Attorney Docket No. 36198-001

RECORDATION FORM COVER SHEET PATENTS ONLY		
FORM PTO-1595 (Rev. 6-93) OMB No. 0651-00	(exp. 4/94)	U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office
Please record the attached original documents or copy thereof.		
1. Name of conv.  ONY, Inc. Executed: Octob  Additional nar:  3. Nature of conv.	er 9, 2007  (s) of conveying party(ies) attached?  Yes No ance:	2. Name and address of receiving party(ies)  Name: Pneuma Partners, Inc.  Internal Address: Pneuma Partners, Inc. 1576 Sweet Home Rd. Ste. 112 Amherst, NY 14228  Street Address: Same as above  Additional name(s) & address(es) attached? [ ]Yes [ X ] No
	e number(s) or patent number(s):If this document is being lication No(s):  Additional numbers att	B. Patent No(s): U.S. Patent No. 6,129,934 U.S. Patent No. 6,020,307 U.S. Patent No. 6,458,759  ached?  Yes  No
	ess of party to whom correspondence ument should be mailed:  Sheridan K. Snedden MINTZ, LEVIN, COHN, FERRIS GLOVSKY and POPEO, P.C. One Financial Center Boston, MA 02111	<ul> <li>6. Total number of applications and patents involved: [1]</li> <li>7. Total fee (37 CFR 3.41) \$40.00</li> <li>☐ Enclosed</li> <li>☑ The Commissioner is authorized to charge Deposit Account No: 50-0311, Reference No. 36198-001.</li> </ul>
DO NOT USE THIS SPACE		
Sheridan K. Sr.:	signature v knowledge and belief, the foregoing information is true and iden, Reg. No. 55,998  Person Signing  Signature Signature Verson Signing	October 11, 2007  Date
	es including cover sheet, attachments, and document: [3]	

Mail documents to be recorded with required cover sheet information to:

MAIL STOP Assignment Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

4163753v.1

PATENT REEL: 019955 FRAME: 0478

## ASSIGNMENT

- ONY Inc., a corporation organized under the laws of the State of New York, having a place of business at 1576 Sweet Home Road, Amherst, NY 14228 ("the ASSIGNOR"), the undersigned, for good and valuable consideration, the receipt of which is hereby acknowledged, hereby assigns, sells and transfers to, Pneuma Partners, LLC, a corporation organized under the laws of the State of New York, having a place of business at 1576 Sweet Home Road, Amherst, NY 1422, and its successors, assigns and legal representatives, all hereinafter referred to as the ASSIGNEE:
- (1) the entire right, title and interest for the United States and in all countries, in and to any and all intentions, discoveries and applications which are disclosed in the United States Letters Paters No. 6,129,934 entitled: MODIFICATION OF ANIMAL LUNG SURFACTANT FOR TREATING RESPIRATORY DISEASE DUE TO LUNG SURFACTANT DEFICIENCY OR EYSFUNCTION, which issued from U.S. Application Serial No. 08/475,539, filed with the U.S. Patent and Trademark Office on June 7, 1995, including any renewals, revivals, reissues, reexplanations, extensions, continuations and divisions thereof and any substitute applications thereofor;
- (2) the entire right, title and interest for the United States and in all countries, in and to any and all intentions, discoveries and applications which are disclosed in the United States Letters Paters No. 6,020,307 entitled: **COMPOSITIONS AND METHODS FOR ISOLATING LUNG SURFACTANT HYDROPHOBIC PROTEINS SP-B AND SP-C**, which issued from U.S. Application Serial No. 08/845,422, filed with the U.S. Patent and Trademark Office on Apr 1 25, 1997, including any renewals, revivals, reissues, reexaminations, extensions, continuations and divisions thereof and any substitute applications therefor;
- (3) the entire right, title and interest for the United States and in all countries, in and to any and all inventions, discoveries and applications which are disclosed in the United States Letters Pate: t No. 6,458,759 entitled: COMPOSITIONS AND METHODS FOR ISOLATING LUNG SURFACTANT HYDROPHOBIC PROTEINS SP-B AND SP-C, which issued from U.S. Application Serial No. 09/435,204, filed with the U.S. Patent and Trademark Office on November 5, 1999, including any renewals, revivals, reissues, reexaminations, extensions, combutations and divisions thereof and any substitute applications therefor;
- designee, or in our names at the ASSIGNEE, or its designee's election, on the aforesaid invertions, discoveries and applications in all countries of the world;

  (5) the entire right, title and interest in and to any Letters Patent which may issue thereon in the

(4) Le full and complete right to file patent applications in the name of the ASSIGNEE, its

- (5) the entire right, title and interest in and to any Letters Patent which may issue thereon in the United States or in any country, and any renewals, revivals, reissues, reexaminations and extensions thereof, and any patents of confirmation, registration and importation of the same; and
- (6) Le entire right, title and interest in all Convention and Treaty Rights of all kinds thereon, including without limitation all rights of priority in any country of the world, in and to the above inventions, discoveries and applications.

The undersigned hereby authorize and request the competent authorities to grant and to issue any and all such Letters Patent in the United States and throughout the world to the ASSIGNEE of the entire right, title and interest therein, as fully and entirely as the same would have been held and or joyed by us had this assignment, sale and transfer not been made.

The madersigned agree, at any time, upon the request of the ASSIGNEE, to execute and to deliver to the ASSIGNEE any additional applications for patents for said inventions and discoveries, or any part or parts thereof, and any applications for patents of confirmation, registration and importation based on any Letters Patent issuing on said inventions, discoveries, or applications and divisions, continuations, renewals, revivals, reissues, reexaminations and extensions thereof.

The indersigned further agree at any time to execute and to deliver upon request of the ASS + INEE such additional documents, if any, as are necessary or desirable to secure patent protection on said inventions, discoveries and applications throughout all countries of the world, and otherwise to do the necessary to give full effect to and to perfect the rights of the ASS + INEE under this Assignment, including the execution, delivery and procurement of any and all further documents evidencing this assignment, transfer and sale as may be necessary or desirable.

The indersigned hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

The indersigned further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to us and will testify as to the same in any interior rence, litigation or proceeding related thereto and will promptly execute and deliver to ASS FINEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

Date.

Signature of: Edmund A. Egan

Managing Partner, ONY, Inc.

State of

Country of

Estilier G. Eagan

RECORDED: 10/11/2007

Nonly Public, State of New York

Originally Qualified in Erie County

My Tommission Expires January 30, 2010

Notary Public

My commission expires

Page 2 of 2

**☑** 003/003