

U.S. PTO

11/905311

09/28/2007

10-11-2007



ET

U.S. Department of Commerce
Patent and Trademark Office
Attorney Docket No. 08350.7031

Mail Stop Assignment Recordation Service

To the Director of the U.S. Patent
Please record the attached original.

103452039

1. Name of conveying party(ies):
Louis Foster Vachon
Chandrasen Rameshlal Rathod
John Timothy Feeney
Michael Brian Bour
Chad Wayne Burman
Douglas Edgar Wilson

2. Name and address of receiving party(ies):

Name: Caterpillar Inc.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

Internal Address: Intellectual Property Department

3. Nature of conveyance:

Street Address: 100 N.E. Adams Street

☒ Assignment☐ Merger

City: Peoria

☐ Security Agreement☐ Change of Name

State: IL

Zip Code: 61629-6490

☐ Joint Research
Agreement☐ Government Interest
Assignment☐ Executive Order 9494,
Confirmatory License☐ Other:

Additional name(s) & Address(es) attached?

☐ Yes☒ NoExecution Date: September 20, 2007 (Vachon)
September 20, 2007 (Rathod)
September 21, 2007 (Feeney)
September 20, 2007 (Bour)
September 21, 2007 (Burman)
September 24, 2007 (Wilson)

4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application: September 20, 2007, September 21, 2007, and September 24, 2007

A. Patent Application Number(s):

B. Patent Number(s):

10/01/2007 CNGUYEN2 00000049 11905311

05 FC:8021

40.00 OP

Additional numbers attached?

☐ Yes☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

6. Total number of applications and patents involved:
One (1)

Name: Christopher P. Isaac (202) 408-4000

7. Total fee (37 CFR 1.21(h) and 3.41): \$40

Internal Address: FINNEGAN, HENDERSON, FARABOW, GARRETT
& DUNNER, L.L.P.☒ Enclosed (Please charge deficiency or credit overpayment to deposit account 06-0916)

Street Address: 901 New York Avenue, N.W.

☐ Authorized to be charged to deposit account

City: Washington, D.C.

State: Zip: 20001-4413

8. Deposit Account No.: 06-0916

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Signed: 

Daniel R. Lupo - Reg. No. 52,269

September 28, 2007

Date

Total number of pages including cover sheet, attachments and documents: 8

ASSIGNMENT

WHEREAS I/We, the below named inventor(s), [hereinafter referred to as Assignor(s)], have made an invention entitled:

EXHAUST SYSTEM HAVING OUTLET-LOCATED MOISTURE ENTRAINMENT DEVICE

for which I/We executed an application for United States Letters Patent concurrently herewith or filed an application for United States Letters Patent on July __, 2007 (Serial No.); and


WHEREAS, Caterpillar Inc., a corporation of Delaware whose post office address is Intellectual Property Department, 100 N.E. Adams Street, Peoria, IL 61629-6490 (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention in all countries throughout the world, and in and to the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

NOW THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) in hand paid and other good and valuable consideration the receipt of which from Assignee is hereby acknowledged, I/We, as Assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, my/our entire right, title, and interest in and to this invention, provisional application Serial No. _____, filed _____, (if any), and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, and all rights to claim priority on the basis of the above provisional application (if any), as well as all rights to claim priority on the basis of this application, and all applications for Letters Patent which may hereafter be filed for this invention in any foreign country and all Letters Patent which may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof; and I/We hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent for this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, I/WE HEREBY covenant that I/We have the full right to convey the interest assigned by this Assignment, and I/We have not executed and will not execute any agreement in conflict with this Assignment;

AND, I/WE HEREBY further covenant and agree that I/We will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to me/us respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns.

IN TESTIMONY WHEREOF, I/We have hereunto set our hands.

1. FULL NAME OF SOLE OR FIRST ASSIGNOR Louis Foster Vachon	ASSIGNOR'S SIGNATURE 	DATE 20 Sept 2007
ADDRESS 1306 Shellbark Court, Washington, Illinois 61571		CITIZENSHIP U.S.

Listing of Inventors Continued on Page 2 hereof ☒ Yes ☐ No

1. WITNESS NAME <i>Jeremy Binder</i>	WITNESS SIGNATURE <i>Jeremy Binder</i>	DATE <i>9-20-07</i>
ADDRESS <i>203 Bem St.</i>		CITIZENSHIP <i>US</i>
<i>East Peoria, IL 61611</i>		

2. WITNESS NAME <i>Charity Enabnit</i>	WITNESS SIGNATURE <i>Charity Enabnit</i>	DATE <i>9-20-07</i>
ADDRESS <i>108 W. Wynewood Dr.</i>		CITIZENSHIP <i>US</i>
<i>Peoria, IL 61614</i>		

2. FULL NAME OF SECOND ASSIGNOR, IF ANY <i>Chandrasen Rameshlal Rathod</i>	ASSIGNOR'S SIGNATURE	DATE
ADDRESS <i>5811 N. Cypress Drive, Apt. #3405, Peoria, IL 61615</i>		CITIZENSHIP <i>India</i>

1. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP

2. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP

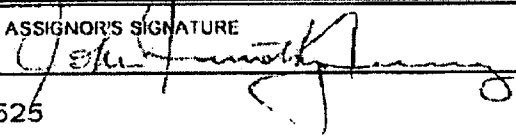
1. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP

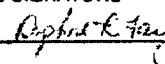
2. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP

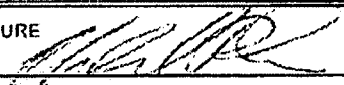
2. FULL NAME OF SECOND ASSIGNOR, IF ANY Chandrasen Rameshlal Rathod	ASSIGNOR'S SIGNATURE <i>(Signature)</i>	DATE 09/20/07
ADDRESS 5811 N. Cypress Drive, Apt. #3405, Peoria, IL 61615		CITIZENSHIP India

1. WITNESS NAME Gary P. Shandley	WITNESS SIGNATURE <i>(Signature)</i>	DATE 9/20/07
ADDRESS 113 Garden Rd, Normal, IL 61761		CITIZENSHIP USA

2. WITNESS NAME ZAYNA M CONNOR	WITNESS SIGNATURE <i>(Signature)</i>	DATE 9/20/07
ADDRESS 414 S. TEXAS RD. TRIVOLI, IL 61569		CITIZENSHIP USA

3. FULL NAME OF THIRD ASSIGNOR, IF ANY John Timothy Feeney	ASSIGNOR'S SIGNATURE 	DATE 9/21/07
ADDRESS 1535 W. Meadowview Drive, Dunlap, IL 61525		CITIZENSHIP U.S.

1. WITNESS NAME DAPHNE FAY	WITNESS SIGNATURE 	DATE 09/21/07
ADDRESS 1000 W. MULLER RD. EAST PEORIA, IL 61611		CITIZENSHIP US

2. WITNESS NAME Michael Beahere	WITNESS SIGNATURE 	DATE 9/21/07
ADDRESS 11527 N. Belknap Ave Dunlap, IL 61525		CITIZENSHIP USA

4. FULL NAME OF FOURTH ASSIGNOR, IF ANY Michael Brian Bour	ASSIGNOR'S SIGNATURE	DATE
ADDRESS 1300 Coventry Drive, Washington, IL 61571		CITIZENSHIP U.S.

1. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP

2. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP

SOLE/JOINT INVENTION
(Worldwide Rights)
Attorney Docket No. 08350.7031
Client Ref. No. 07-031

3. FULL NAME OF THIRD ASSIGNOR, IF ANY John Timothy Feeney	ASSIGNOR'S SIGNATURE	DATE
ADDRESS 1635 W. Meadowview Drive, Dunlap, IL 61525		CITIZENSHIP U.S.

1. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP

2. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP

4. FULL NAME OF FOURTH ASSIGNOR, IF ANY Michael Brian Bour	ASSIGNOR'S SIGNATURE	DATE 9/20/07
ADDRESS 1300 Coventry Drive, Washington, IL 61571		CITIZENSHIP U.S.

1. WITNESS NAME Cindy Gain	WITNESS SIGNATURE Cindy R. Gain	DATE 9/20/07
ADDRESS P.O. Box 446 Tremont, IL 61568		CITIZENSHIP U.S.

2. WITNESS NAME BRIAN LUEBBERG	WITNESS SIGNATURE Brian Luebbert	DATE 9/20/07
ADDRESS 6 PICTURE VIEW CT METAMORA, IL 61548		CITIZENSHIP U.S.

5. FULL NAME OF FIFTH ASSIGNOR, IF ANY Chad Wayne Burman	ASSIGNOR'S SIGNATURE <i>Chad W. Burman</i>	DATE 9/21/07
ADDRESS 1119 Cooper Lane, Indian Trail, NC 28079		CITIZENSHIP U.S.

1. WITNESS NAME <i>Claudia L. Heldreth</i>	WITNESS SIGNATURE <i>Claudia L. Heldreth</i>	DATE 9-21-07
ADDRESS <i>CATERPILLAR INC</i> <i>Suite 425 14120 Ballantyne Corporate Place</i>		CITIZENSHIP U.S.
<i>Charlotte NC 28277</i>		

2. WITNESS NAME <i>R.W. Stow</i>	WITNESS SIGNATURE <i>R.W. Stow</i>	DATE 21 Sep 2007
ADDRESS <i>17925 MEADOW BOTTOM RD</i>		CITIZENSHIP USA
<i>CHARLOTTE, NC 28277</i>		

6. FULL NAME OF SIXTH ASSIGNOR, IF ANY Douglas Edgar Wilson	ASSIGNOR'S SIGNATURE	DATE
ADDRESS 709 Richard Street, Henry, IL 61537		CITIZENSHIP U.S.

1. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP

2. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP

SOLE/JOINT INVENTION
(Worldwide Rights)
Attorney Docket No. 08350.7031
Client Ref. No. 07-031

5. FULL NAME OF FIFTH ASSIGNOR, IF ANY Chad Wayne Burnen	ASSIGNOR'S SIGNATURE	DATE
ADDRESS 1119 Cooper Lane, Indian Trail, NC 28079		CITIZENSHIP U.S.

1. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP

2. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP

6. FULL NAME OF SIXTH ASSIGNOR, IF ANY Douglas Edgar Wilson	ASSIGNOR'S SIGNATURE <i>Douglas E. Wilson</i>	DATE 9/24/07
ADDRESS 709 Richard Street, Henry, IL 61537		CITIZENSHIP U.S.

1. WITNESS NAME <i>Michael H. Powers</i>	WITNESS SIGNATURE <i>Michael H. Powers</i>	DATE 9-24-2007
ADDRESS 308 N. Westfield Ct, Danlap, IL 61525		CITIZENSHIP USA

2. WITNESS NAME <i>ARIC A. VORSTER</i>	WITNESS SIGNATURE <i>Arac A. Vorster</i>	DATE 9/24/07
ADDRESS 6700 N. BUCKEYE DR., EDWARDS, IL 61528		CITIZENSHIP U.S.