

Docket No. 4000220-0001-355

Form PTO-1595 (Rev. 07/05)  
OMB No. 0651-0027 (exp. 6/30/2008)

**RECORDATION FORM COVER SHEET  
PATENTS ONLY**

U.S. DEPARTMENT OF COMMERCE  
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To the Director of the U.S. Patents and Trademarks: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies):  
(1) **Thomas M. Kundig**; (2) **John J.L. Simard**

Additional name(s) of conveying party(ies) attached?  Yes  No

2. Name and address of receiving party(ies):

**CTL IMMUNOTHERAPIES, CORP.**  
**9330 De Soto Avenue**  
**Chatsworth, CA 91311**

Additional name(s) & address(es) attached?  Yes  No

3. Nature of conveyance/Execution Date(s):  
Execution Date(s) (1) June 6, 2001; (2) June 16,

2201

- Assignment  Merger
- Security Agreement  Change of Name
- Joint Research Agreement
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other: \_\_\_\_\_

4. Application patent number(s):  This document is being filed together with a new application.

A. Patent Application No.(s)

**11/313,152**

B. Patent No.(s)

Additional numbers attached  Yes  No

5. Name and address to whom correspondence concerning document should be mailed:

**Customer No.: 26,263**  
**Sonnenschein Nath & Rosenthal LLP**  
**Post Office Box 061080**  
**Wacker Drive Station**  
**Sears Tower**  
**Chicago, Illinois 60606-1080**  
**(312) 876-8000 main**  
**(312) 876-7934 facsimile**  
**patents@sonnenschein.com**

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 1.21(h) & 3.41) **\$40**

- Authorized to be charged to credit card
- Authorized to be charged to deposit account
- Enclosed
- None required (government interest not affecting title)

8. Payment Information

Deposit Account Number 19-3140

9. Signature:

Sheila R. Gibson

Name of Person Signing

Registration No.: 54,120

  
Signature

October 12, 2007

Date

Total number of pages including cover sheet, attachments, and documents: 3

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

CH \$40.00 193140 11313152

Application No.: 09/776,232  
Filing Date: February 2, 2001

PATENT  
Client Code: CTLIMM.001CP2  
Page 1

### ASSIGNMENT

WHEREAS, We, Thomas M. Kündig, a citizen of Switzerland and the U.S.A., residing at Schwamendinger Strasse #79, CH-8050 Zurich, Switzerland; and John J. L. Simard, a Canadian citizen, residing at 11918 Laughton Way, Northridge, California 91326, have invented certain new and useful improvements in a A METHOD OF INDUCING A CTL RESPONSE for which we have filed an application for Letters Patent in the United States, 09/776,232, filed February 2, 2001;

AND WHEREAS, CTL ImmunoTherapies Corp. (hereinafter "ASSIGNEE"), a Delaware Corporation, with its principal place of business at 9330 De Soto Avenue, Chatsworth, California 91311, desires to acquire the entire right, title, and interest in and to the said improvements and the said Application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to me in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said inventors, do hereby acknowledge that we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title, and interest throughout the world in, to and under the said improvements, and the said application and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

Signed this 6 day of June, 2001.



Thomas M. Kündig

Attested by:

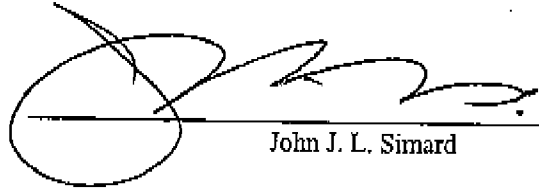
Name: David Diamond

Signature: 

Application No.: 09/776,232  
Filing Date: February 2, 2001

PATENT  
Client Code: CTLIMM.001CP2  
Page 2

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 18 day of June, 2001.

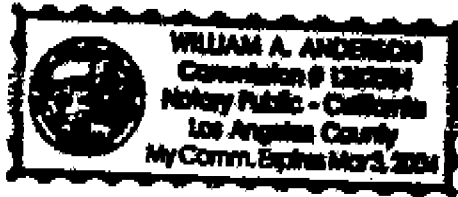
  
\_\_\_\_\_  
John J. L. Simard

STATE OF California }  
COUNTY OF Los Angeles } ss.

On June 18, 2001, before me, William A. Anderson, Notary Public, personally appeared John J. L. Simard ~~personally known to me~~ (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

[SEAL]



  
\_\_\_\_\_  
Notary Signature

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