

U.S. PTO
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OMB No. 0651-0027 (exp. 6/30/2008)

10-15-2007

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office



IEET

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To the Director of the U.S. Patent and Trademark Office

and documents or the new address(es) below.

1. Name of conveying party(ies)

- 1) Jayden David Harman
- 2) Paul Lees
- 3) Onno Koelman

2. Name and address of receiving party(ies)

Name: Pax Scientific, Inc.

Internal Address:

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 1) 9/24/07; 2) 9/24/07; 3) 9/25/07

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Joint Research Agreement
- ☐ Government Interest Assignment
- ☐ Executive Order 9424, Confirmatory License
- ☐ Other

Street Address: 1615 Fifth Avenue

City: San Rafael

State: California

Country: USA Zip: 94901

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☒ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

10/12/2007 BYRNE 00000113 060600 11906060
01 FC:8021 40.00 DA

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Carr & Ferrell LLP

Internal Address: Patent Department

Street Address: 2200 Geng Road

City: Palo Alto

State: CA Zip: 94303

Phone Number: (650) 812-3400

Fax Number: (650) 812-3444

Email Address:

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
- ☒ Authorized to be charged to deposit account
- ☒ Enclosed
- ☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 06-0600

Authorized User Name Kenneth M. Kaslow

9. Signature:

Kenneth M. Kaslow
Signature

September 28, 2007
Date

Kenneth M. Kaslow, Reg. No. 32,246
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 5

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

PATENT
REEL: 019967 FRAME: 0172

ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, we, the undersigned, Jayden David Harman, Kimberly Penney, Paul Lees, and Onno Koelman, do hereby sell, assign, and transfer to Pax Scientific, Inc., a California corporation with offices at 1615 Fifth Avenue, San Rafael, CA 94901 ("Assignee"), and its successors, assigns, and legal representatives, the entire right, title and interest for the United States and all foreign countries, in and to any and all improvements which are disclosed in the United States patent application filed herewith and entitled Axial Flow Fan and in and to said application and all utility, divisional, continuing, substitute, renewal, reissue, and all other patent applications which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said improvements; and in and to all rights of priority resulting from the filing of said United States patent application; and

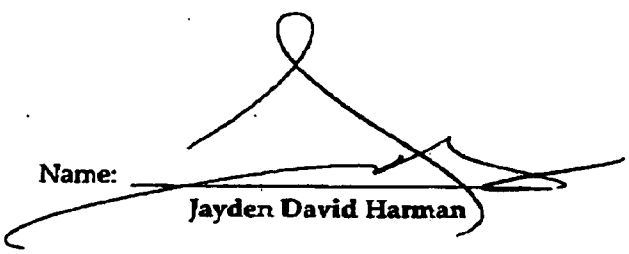
Agree that said Assignee may apply for and receive patents for said improvements in its own name; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this Assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives; and

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PAGE 2 of 2

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

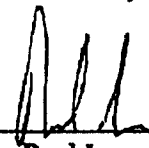
Date: 9/24/07


Name: Jayden David Harman

Date: _____

Name: _____
Kimberly Penney

Date: 9/24/07


Name: Paul Lees

Date: _____

Name: _____
Onno Koelman

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Att: Francesca Bertone

PA3957US
PAGE 1 of 1

ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, we, the undersigned, Jayden David Harman, Kimberly Penney, Paul Lees, and Onno Koelman, do hereby sell, assign, and transfer to Pax Scientific, Inc., a California corporation with offices at 1615 Fifth Avenue, San Rafael, CA 94901 ("Assignee"), and its successors, assigns, and legal representatives, the entire right, title and interest for the United States and all foreign countries, in and to any and all improvements which are disclosed in the United States patent application filed herewith and entitled Axial Flow Fan and in and to said application and all utility, divisional, continuing, substitute, renewal, reissue, and all other patent applications which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said improvements; and in and to all rights of priority resulting from the filing of said United States patent application; and

Agree that said Assignee may apply for and receive patents for said improvements in its own name; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this Assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives; and

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PATENT
REEL: 019967 FRAME: 0175

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PAGE 2 of 2

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date: _____

Name: _____
Jayden David Hama

Date: _____

Name: _____
Kimberly Penney

Date: _____

Name: _____
Paul Lees

Date: 25 Sept 2007

Name: _____

Dana Koelman

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