

U.S. PTO
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To the Director of the U.S. Patent and T

ments or the new address(es) below.

1. Name of conveying party(ies)

Tomoaki SHIBATA

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) AUGUST 30, 2007

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other

2. Name and address of receiving party(ies)

Name: Pentel Kabushiki Kaisha

Internal Address:

Street Address: 7-2, Nihonbashi Koami-cho

Chuo-ku

City: Tokyo

State:

Country: Japan

Zip:

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☒ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

29/292122

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Bruce L. Adams

Internal Address:

Street Address: Adams & Wilks

17 Battery Place - Suite 1231

City: New York

State: NY

Zip: 1004

Phone Number: 212-809-3700

Fax Number: 212-809-3704

Email Address:

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☒ Enclosed any deficiency in enclosed fee
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers
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Authorized User Name Adams & Wilks

9. Signature:

Signature

SEPTEMBER 28, 2007

Date

Bruce L. Adams
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

3

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

ASSIGNMENT

WHEREAS, I, Tomoaki SHIBATA,

have invented new and useful improvements in

A BALLPOINT PEN,

for which I have prepared an application for Letters Patent of
the United States of America;

AND WHEREAS, PENTEL KABUSHIKI KAISHA,

of 7-2, Nihonbashi Koami-cho, Chuo-ku, Tokyo, Japan,

is desirous of acquiring an interest therein and in the Letters
Patent to be obtained therefor;

NOW, THEREFORE, be it known by all whom it may
concern, that for and in consideration of Ten Dollars (\$10.00)
and other good and valuable consideration to me in hand paid, the
receipt of which is hereby acknowledged, I have assigned, sold
and transferred and by these presents do hereby assign, sell and
transfer unto the said PENTEL KABUSHIKI KAISHA

for the territory of the United
States of America, my entire right, title and interest in and to
the said invention, and in and to the said application, prepared
and executed by me on August 30, 2007, filed
19, Serial No. , preparatory to obtaining Letters
Patent thereon and in and to any and all divisions, reissues and

continuations thereof, and in and to any Letters Patent that may be granted thereon; said invention, application and Letters Patent to be held and enjoyed by the said _____

PENTEL KABUSHIKI KAISHA,

its legal representatives and assigns, as fully and entirely as the same would have been held by me had this assignment, sale and transfer not been made.

AND, I hereby covenant and agree that I will at any time upon the request and at the expense of said assignee execute and deliver any and all papers and do all lawful acts that may be necessary or desirable to perfect the title to said invention and to obtain Letters Patent therefor, and I hereby authorize and request the Honorable Commissioner of Patents and Trademarks to issue the said Letters Patent to the said _____

PENTEL KABUSHIKI KAISHA

in accordance with this assignment.

Executed this 30th day of August, 2007 at

Tokyo, Japan

Witness:



Tomoaki Shibata (L.S.)

Tomoaki Shibata

____ (L.S.)

____ (L.S.)

____ (L.S.)

____ (L.S.)