# PATENT ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1

| SUBMISSION TYPE:      | NEW ASSIGNMENT |
|-----------------------|----------------|
| NATURE OF CONVEYANCE: | ASSIGNMENT     |

## **CONVEYING PARTY DATA**

| Name                 | Execution Date |
|----------------------|----------------|
| Stephen John Gregson | 09/13/2006     |
| Philip Wilson Howard | 09/08/2006     |
| Zhizhi Chen          | 09/08/2006     |

### **RECEIVING PARTY DATA**

| Name:             | Spirogen Limited |
|-------------------|------------------|
| Street Address:   | 79 George Street |
| Internal Address: | Ryde             |
| City:             | Isle of Wight    |
| State/Country:    | UNITED KINGDOM   |
| Postal Code:      | PO33 2JF         |

## PROPERTY NUMBERS Total: 1

| Property Type       | Number   |
|---------------------|----------|
| Application Number: | 11911890 |

## **CORRESPONDENCE DATA**

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|-------------------------|----------------------|
| NAME OF SUBMITTER:      | Charlene L. Yager    |

Total Attachments: 3

**PATENT** 500378892 REEL: 019982 FRAME: 0444

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# ASSIGNMENT OF INVENTION AND RELATED INTELLECTUAL PROPERTY RIGHTS

### Parties:

1 'The Assignors'

STEPHEN JOHN GREGSON

PHILIP WILSON HOWARD

ZHIZHI CHEN

all of School of Pharmacy, University of London, 29/39 Brunswick Square, London, WC1N 1AX, United Kingdom

2 'The Assignee'

SPIROGEN LIMITED

(a body corporate existing under the laws of England & Wales) of 79 George Street, Ryde, Isle of Wight, PO33 2JF, United Kingdom

#### Recitals:

- (A) The Assignors are the joint inventors of the invention or inventions entitled PYRROLOBENZODIAZEPINES ("the Invention") for which International PCT Application No. PCT/GB2006/001456 has been filed ("the PCT Application")
- (B) In pursuance of an agreement made between the parties before the PCT Application was filed the Assignor has agreed to assign to the Assignee for the consideration hereinafter mentioned all his rights title and interest in to and under the Invention and the PCT Application for all countries designated therein, including (without limitation) the United States of America

### Operative provisions:

In consideration for the payment of £1.00 by the Assignee to the Assignors the receipt and sufficiency of which is hereby acknowledged by the Assignors:

- 1 The Assignors hereby sell and assign to the Assignee absolutely and free from incumbrances:
- 1.1 all their rights title and interest in Canada and the United States and all other countries of the world in to and under the Invention and the PCT Application and all national and regional phases thereof and any and all divisionals, continuations and continuations-in-part of any of the aforesaid applications and any and all patents that may be granted pursuant thereto for the full period thereof including any re-issues, re-examinations, renewals, extensions and Supplementary Protection Certificates and including all rights and powers arising or accrued therefrom including the right to sue for damages and other remedies in respect of any infringement of any such rights before on or after the date hereof and to retain any damages obtained as a result of such action;

- 1.2 all such rights as they may have to apply for prosecute and obtain patents and other intellectual property protection anywhere in the world in respect of the Invention to the intent that the grant of any patents or other intellectual property protection for the Invention shall be in the name of and shall vest in the Assignee or its successors or assigns;
- 1.3 all such rights as they may have to claim priority from all patent applications (if any) from which priority is claimed in the PCT Application in any and all applications for patent protection for the Invention, such rights to be enjoyed by the Assignee with effect from the date of filing of the PCT Application;

to the intent that the Assignee shall have and hold the rights title and interest hereby assigned as fully and entirely as the same would have been held and enjoyed by the Assignors had this assignment not been made.

- The Assignors hereby GRANT the firm of Mewburn Ellis LLP the power to insert in the Schedule to this Assignment any further identification of any patent applications in Canada or the United States or any other countries or regions of the world which fall within the scope of clause 1 above, as may be necessary or desirable in order to comply with the rules of the United States Patent Office or the Canadian Patent Office or any other Patent Office for recordation of this document. It is understood and agreed that the Assignee's attorneys Mewburn Ellis LLP have represented only the Assignee and will continue to represent only the Assignee with respect to this invention.
- 3 The Assignors hereby UNDERTAKE that at the request and cost of the Assignee or its successors or assigns they will at all times hereafter promptly do all such acts and execute all such documents (including but not limited to documents required to be signed by or on behalf of inventors in the course of any and all Canadian and United States patent applications which relate to the Invention and any and all divisionals, continuations and continuations-in-part of any such applications) as may be reasonably necessary or desirable to secure the vesting in the Assignee or its successors or assigns of all rights title and interest assigned to the Assignee hereunder and to confirm the title of the Assignee or its successors or assigns to all such rights title and interest and to assist in the resolution of any question concerning the Invention or any application for patent or other intellectual property protection for the Invention or any intellectual property protection granted pursuant to any such application.
- 4 The Assignors hereby request the relevant authorities in all countries of the world to issue any patents granted for the Invention in the name of the Assignee or its successors or assigns in accordance with this assignment.
- This assignment and undertaking shall be binding upon the Assignors' heirs, executors, administrators, successors and/or assigns and shall enure to the benefit of any heirs, executors, administrators, successors and/or assigns of the Assignee.

AS WITNESS the signatures of the Assignors and a duly authorised officer of the Assignee

# SCHEDULE (if any)

| SIGNED by STEPHEN JOHN GREGSON  | )  |
|---|--|
| on:!*3/09/06  | ) Signature:                                     |
| in the presence of:   | <i>/ / / / / / / / / /</i>                       |
| Witness   |  |
| Signature: 13/09/06 (4)   | y Just Day                                       |
| Name: Gyoung Dong KANG  |  |
| Address: 5-9, Bridge wood k   | ld. Worrester Park                               |
| SURREY  | KT4 8XR  |
| SIGNED by PHILIP WILSON HOWARD  | ) 27/  |
| on:   | ) Signature:                                     |
| in the presence of:   | )  |
| Witness   | y  |
| Signature: Transfer   |  |
| Name: TIBERGHIEN A  | ENAUN  |
| Address: 36 Albut watisht   | House  |
| SEAT 3SE LO   | DUDON  |
| OLONED L. THERM THE   | - <del>-                                  </del> |
| SIGNED by <b>ZHIZHI CHEN</b> on: 08/09/2006   | ) Alb.   |
|   | ) Signature:                                     |
| in the presence of:   | )  |
| Witness Signature:  |  |
| Signature: Tare   |  |
| Name: Min Forg  |  |
| Addiess. 43 Sopwith Close   |  |
| kingstm Upon Thames   |  |
| KT2 5RN   |  |
| SIGNED for and on behalf of )   |  |
| on: 12h September 2006) Sic   | · 17/1/1   |
|   | gnature: U                                       |
| ,   | me: F. E. CRAWFOAI                               |
| Signature: | sition: C.O.O.                                   |
| Name: MARINA FOLARIN  |  |
| Address: 21 Cherbury Court  |  |
| 57 John's Estate  |  |
| LONDON NI GTL.  |  |

**RECORDED: 10/18/2007**