Form PTO-1595 RECORDATION FORM (Rev. 07/05)			U.S. DEPARTMENT OF COMMERC
(Rev. 07/05) OMB No. 0651-0027 (exp. 6/30/2008) PATENTS		S ONLY	U.S. Patent and Trademark Offic
(0.5. 0.00.200)			Atty Docket No.: PP01612.0002
To the Director of Pate	nts and Trademarks; Please	record the attached origina	I documents or copy thereof
<ol> <li>Name of conveying party(ies):</li> </ol>		}	•
		Name and address     Name:	of receiving party(ies)
Michael Houghton		1/01/1 PMIA 1	
Mark Selby			CINES AND DIAGNOSTICS, INC.
Xavier Paliard		4560 Horton Str Emeryville, CA	
Additional name(s) of conveying party	ies) attached? 🔲 Yes 🛛 No	☐ Other	
Nature of conveyance:			
☑ Assignment	☐ Merger	Additional name(e)	ß address(es) attached? ☐ Yes ☒ No
Security Agreement	☐ Change of Name	Additional flame(s)	a address(es) attached ( □ Tes □ No
☐ Joint Research Agreement	Other		
Government Interest Assignment			
Executive Order 9424, Confirmator	/ License		
Execution Date(s): 10/18/07, 10/16	<u>/07, 10/04/07</u>	<u> </u>	
<ol> <li>Application number(s) or patent nur if this document is being filed toget</li> </ol>	nber(\$); her with a new application, the	evocution data of the east	
A. Patent Application No.(s)	er war a new application, the	execution date of the appr	ication is:
110 4-11-4 11			
U.S. Application No.: 09			
Filing Date: October 27,	2000		
	Additional number(s) a	l attached ☐ Yes ⊠ No	
<ol><li>Name and address of party to whom correspondence</li></ol>			
concerning document should be ma	alled:	o. Total number of appli	ications and patents involved 1
NOVARTIS VACCINES AND	DIAGNOSTICS, INC.		
Corporate Intellectual Prop	erty – R338	7. Total fee (37 CFR 3.4	<sup>41</sup> ) \$40.00
P.O. Box 8097		☐ Enclosed	
Emeryville, CA 94662-8097	•		charged to deposit account
		8. Deposit account num	ber: 03-1664
		(Attach duplicate copy of	f this page if paying by deposit account)
		121	and began belong of goboon group with
	DO NOT USE	THIS SPACE	
. Statement and signature:		100	
To the best of my knowledge and be original document.	elief, the foregoing information	Is true and correct and an	y attached copy is a true copy of the
original accument.	<u> </u>		
Mei Hong (Reg. No. 55,276)	hotal a		October 19_2007
Name of Person Signing	Signati	ırę	Date
Tol	al number of pages including cove	r sheet, attachments, and docu	ment: 7
Documents to be record	ed (including cover sheet) shoul	d be faxed to (571) 273-0140.	or mailed to:
	tion Services, Director of the US		
ereby certify that this paper is being tr ited States Patent and Trademark Offi	ansmitted via facsimile to the se at facsimile number (571)	Assignment Recordation : 273-0140.	Services Office of the
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- Jour M. M.C.	ishell	October 19	2007

Date

**PATENT REEL: 020000 FRAME: 0305** 

Joy M. Marshall

Oct-19-07

Novartis Docket No. PP01612.0002

## **ASSIGNMENT**

510-923-3542

WHEREAS, WE, Michael Houghton, Mark Selby and Xavier Paliard,

hereinafter referred to as ASSIGNORS, have invented certain new and useful improvements as described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: ACTIVATION OF HCV-SPECIFIC T CELLS

 Patent No.:
 6,562,346
 Date of Patent: May 13, 2003

 Application No.:
 09/698,874
 Filing Date: October 27, 2000

WHEREAS, Novartis Vaccines and Diagnostics, Inc., 4560 Horton Street, Emeryville, California 94608-2916, a corporation of the State of Delaware, hereinafter referred to as **ASSIGNEE**, is desirous of acquiring the entire right, title and interest in the above invention and application and in any Letters Patent which may be granted on the same;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for and in consideration of the sum of One Dollar (\$1.00) lawful money paid to ASSIGNORS by ASSIGNEE, receipt of which is hereby acknowledged. ASSIGNORS have sold, assigned and transferred, and by these presents do sell, assign and transfer unto said ASSIGNEE, and ASSIGNEE'S successors and assigns, all right, title and interest in and to the said invention, said application for United States Letters Patent, and any Letters Patent which may hereafter be granted on the same in the United States and all countries throughout the world including any divisions, renewals, continuations in whole or in part, substitutions, conversions (including conversions claiming priority under 35 U.S.C. § 119(e)), reissues, prolongations or extensions thereof, the said interest to be held and enjoyed by said ASSIGNEE as fully and exclusively as it would have been held and enjoyed by said ASSIGNORS had this assignment and transfer not been made, to the full end and term of any Letters Patent.

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PATENT REEL: 020000 FRAME: 0306

Novartis Docket No. PP0..612.0002

ASSIGNORS further agree that they will, without charge to said ASSIGNEE, but at ASSIGNEE'S expense, cooperate with ASSIGNEE in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as ASSIGNEE lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said ASSIGNEE, or ASSIGNEE'S successors and assigns.

IN TESTIMONY WHEREOF, ASSIGNORS have hereunto signed their names to this assignment on the dates indicated below.

DATE 10/18/07	Michael Houghton
Print Witness Name	D.O.C. Ny lur Witness Signature
DATE	Mark Selby
Print Witness Name	Witness Signature
DATE	Xavier Paliard
Print Witness Name	Witness Signature

Novartis Docket No. PP01612.0002

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Novartis Docket No. PP01612.0002

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DATE			
		Michael Houghton	
		. ,	
Print Witnes	s Name	Witness Signature	
DATE 0/16/26		Mark Soly	
1	• '	Mark Selby	
Danie	2 Rower	Dil fee	
Print Witnes	s Name .	Witness Signature	
DATE		Xavier Paliard	
Print Witness	a Noma	Witness Signature	
Fillir withes:	s maine	Witness Signature	

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RECORDED: 10/19/2007

Novartis Docket No. PP01612.0002

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Print Witness Name	Witness Signature
DATE	Mark Selby
Print Witness Name	Witness Signature
DATE 0400 2907	Xavier Paliard
STACY WELCH Print Witness Name	Witness Signature