

10-19-2007



U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

Attorney Docket No. 500.33909X00

To the Honorable Commissioner of Pa.

103454488

d original documents or copy thereof.

1. Name of conveying party(ies):

Hitachi Plant Technologies, Ltd.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Hitachi Nico Transmission Co., Ltd.

Street Address: 405-3, Yoshinocho 1-chome, Kita-ku.

City: State: Zip: Saitama-shi, Saitama-ken, Japan

3. Nature of conveyance/ Execution Date: **October 4, 2007.**

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Joint Research Agreement

☐ Government Interest Assignment

☐ Executive Order 9424, Confirmatory License

☐ Other .

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent Registration No.(s)

5,616,098

Additional number(s) attached ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **ANTONELLI, TERRY, STOUT & KRAUS, LLP**

Internal Address:

1300 NORTH 17TH STREET - SUITE 1800

City: **ARLINGTON** State: **VA** Zip **22209**

Phone Number: (703) 312-6600

Facsimile Number: (703) 312-6666

Email Address: email@antonelli.com

6. Total number of applications and patents involved **1**

7. Total fee (37 CFR 3.41)..... **\$40**

☐ Authorized to be charged by credit card

☐ Authorized to be charged to deposit account

☐ Enclosed

☐ None required (government interest not affecting title)

8. Payment Information:

a. Credit Card Last 4 numbers:

Expiration date:

b. Deposit account number: 01-2135

Authorized User Name:

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Alan E. Schiavelli, Reg. #32,087

Name of Person Signing

Signature

10/16/07

Date

Total number of pages including cover sheet, attachments, and document: **3**

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

HT 159400592 USO/
E1990-01ASSIGNMENT

WHEREAS, Hitachi Plant Technologies, Ltd. is the exclusive owner, by assignment, previously recorded, of the following Letters Patent of the United States of America:

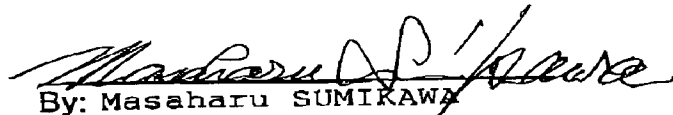
5,616,098

WHEREAS, Hitachi Nico Transmission Co., Ltd., a Japanese Corporation, having a place of business at 405-3, Yoshinocho 1-chome, Kita-ku, Saitama-shi, Saitama-ken, Japan, is desirous of acquiring the sole and exclusive right, title and interest in, to and under the said Letters Patent, and the invention(s) covered thereby;

NOW, THEREFORE, for good and valuable consideration, the sufficiency and receipt of which is hereby acknowledged, the said Hitachi Plant Technologies, Ltd. has sold, assigned, transferred and set over and does hereby sell, assign, transfer and set over to the said Hitachi Nico Transmission Co., Ltd., the entire right, title and interest in the said invention(s) and the Letters Patents aforesaid, and any reissue or reissues of said Letters Patent already granted or which may be granted, the same to be held and enjoyed by said Hitachi Nico Transmission Co., Ltd. for its own use and enjoyment, and for the use and enjoyment of its successors, assigns, or other legal representatives, to the end of the term for which said Letters Patent of the United States is granted or reissued as fully and entirely as the same would have been held and enjoyed by the said Hitachi Plant Technologies, Ltd. if this assignment and sale had not been made.

IN TESTIMONY WHEREOF, Hitachi Plant Technologies, Ltd. has caused these presence to be signed by its officer thereunto duly authorized this 4 day of October, 2007.

Hitachi Plant Technologies, Ltd.



By: Masaharu SUMIKAWA

Title: President and Chief Executive Officer