

10-23-2007

U.S. DEPARTMENT OF COMMERCE  
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103455478

To the Director of the U.S. Patent and Trademark Office

and documents or the new address(es) below.

**1. Name of conveying party(ies)**

Toby Frelich

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) 10-8-07

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other

**2. Name and address of receiving party(ies)**

Name: Caterpillar Paving Products

Internal Address:

Street Address: 9401 85th Avenue North

City: Minneapolis

State: Minnesota

Country: U.S. Zip: 55445

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**4. Application or patent number(s):**

☒ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

BYRNE 00000011 11973742

40.00 DP

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Michael B. McNeil

Internal Address:

Street Address: 511 S. Madison Street

P.O. Box 2417

City: Bloomington

State: IN Zip: 47403

Phone Number: (812) 333-5355

Fax Number: (812) 333-3173

Email Address:

**6. Total number of applications and patents involved: 1**

**7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00**

- ☐ Authorized to be charged by credit card  
☒ Authorized to be charged to deposit account  
☒ Enclosed  
☐ None required (government interest not affecting title)

**8. Payment Information**

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number 500226

Authorized User Name Jonathan F. Yates

**9. Signature:**

*Jonathan F. Yates*  
Signature

10-10-07  
Date

Jonathan F. Yates

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 2

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

PATENT  
REEL: 020014 FRAME: 0456

## ASSIGNMENT

WHEREAS, Toby Frelich residing at Saint Michael, Minnesota, hereinafter referred to as ASSIGNOR has invented a new and useful

### PAVING MACHINE HAVING ADJUSTABLE BALLAST SYSTEM AND METHOD

for which ASSIGNOR is about to file an international application and/or make application for Letters Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNOR on October 8, 2007; and

WHEREAS, Caterpillar Paving Products Inc., a Oklahoma corporation having a place of business at Minneapolis, State of Minnesota, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR;

1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to Caterpillar Inc.

4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR'S heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNOR has executed this instrument.

Toby Frelich  
TOBY FRELICH

10/8/2007  
Date

IN WITNESS OF the execution hereof by TOBY FRELICH we have hereunto set our hands.

[Signature] 10/8/07  
Witness (1) Date  
Address: 8825 N Maplebrook Ct  
Brooklyn Park, MN 55445

[Signature] 10/8/07  
Witness (2) Date  
Address: 209 Cottonwood Ave NE  
St Michael, MN 55376