

10-24-2007



IEET

To the Director of the U.S. Patent and Trademark Office

103455552

Indicate the serial number(s) of the documents or the new address(es) below.

1. Name of conveying party(ies):

Richard J. Linder
Clark C. Davis
Scott D. Miles
DeWayne C. Fox
Daryl R. Edmiston

2. Name and address of receiving party(ies)

Name: Cohere Medical, Inc.

Address: 3598 West 1820 South
Salt Lake City, Utah 84104

Additional name of conveying party(ies) attached? ☐ Yes ☒ No

CERTIFICATE OF MAILING

3. Nature of conveyance:

Execution Date(s) October 12, 2007, October 15, 2007,
October 15, 2007, October 15, 2007. October 15, 2007

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date shown below.

Typed or printed name: Chelcee Wickstrand

Signature: Chelcee Wickstrand

Date: October 18, 2007

Additional Name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

11/836,000

B. Patent No.(s)

10/23/2007 DBYKML 00000038 11836000

01 FC:8021

40.00 DP

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: TraskBritt

Internal Address: _____

Street Address: P.O. Box 2550

City: Salt Lake City

State: UT

Zip: 84110

Phone Number: 801-532-1922

Fax Number: 801-531-9168

Email Address: bbjensen@traskbritt.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 20-1469

Authorized User Name _____

9. Signature:

Bradley B. Jensen
Signature

Bradley B. Jensen 46,801

Name of Person Signing

Attorney Docket No.: 3338-8548.1US
October 18, 2007

Date

Total number of pages including cover sheet, attachments, and documents

6

PATENT

REEL: 020017 FRAME: 0812

ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, each undersigned ASSIGNOR does hereby:

SELL, ASSIGN AND TRANSFER to Coherex Medical, Inc. ("ASSIGNEE"), a corporation of the state of Delaware having a place of business at 3598 West 1820 South, Salt Lake City, Utah 84104, the entire right, title and interest for the United States and all foreign countries in and to any and all improvements which are disclosed in the Application for United States Letters Patent Serial No. 11/836,000 filed on August 08, 2007, and entitled **DEVICES FOR REDUCING THE SIZE OF AN INTERNAL TISSUE OPENING**, such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent or the legal equivalent thereof which have been or may be filed in the United States and all foreign countries relating to any of such improvements; all original, reexamined and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application made in the United States;

AUTHORIZE the ASSIGNEE to apply for and receive any and all United States and foreign patents relating to such improvements in its own name;

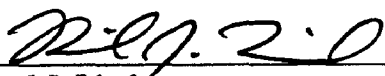
AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to and in the name of the ASSIGNEE;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement or encumbrance affecting the rights and property herein conveyed has been or will be made or entered into by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

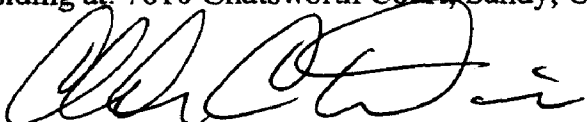
COVENANT, when requested and at the expense of the ASSIGNEE, to carry out in good faith the intent and purpose of this assignment, to execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications relating to any and all such improvements; to execute all rightful oaths, declarations, assignments, powers of attorney and other papers; to communicate to the ASSIGNEE all facts and provide to the ASSIGNEE all documents and things known and accessible to the undersigned relating to such improvements and the history thereof, and testify as to the same in any interference, litigation or other proceeding relating thereto; and generally to do everything possible which the ASSIGNEE shall consider desirable for vesting title to such improvements in the ASSIGNEE, and to secure, maintain, defend and enforce valid and enforceable patent protection for such improvements;

AGREE AND ACKNOWLEDGE that the SALE, ASSIGNMENT AND TRANSFER of rights and property set forth herein is and shall be IRREVOCABLE and BINDING upon the heirs, assigns, representatives and successors of each undersigned ASSIGNOR and EXTEND to the successors, assigns and nominees of the ASSIGNEE.

ASSIGNORS:


Richard J. Linder
Residing at: 7810 Chatsworth Court, Sandy, Utah 84093


Date 10/12/07


Clark C. Davis
Residing at: 6326 Crestmount Drive, Holladay, Utah 84121


Date 10-15-07


Scott D. Miles
Residing at: 11732 S. Brisbane Drive, Sandy, Utah 84094

Date 15 OCT 07


DeWayne C. Fox
Residing at: 9532 South 4030 West, South Jordan, Utah 84095

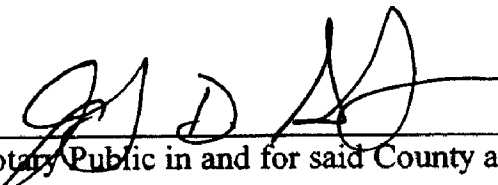
Date 15 OCT 07


Daryl R. Edmiston
Residing at: 754 West Marsh Cove, Draper, Utah 84020

Date 10-15-07

COUNTY OF Salt Lake)
STATE OF Utah) : ss.

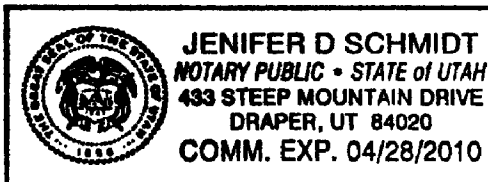
On this 12th day of October, 2007, before me personally appeared Richard J. Linder to me known to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he/she executed the same.



Notary Public in and for said County and State

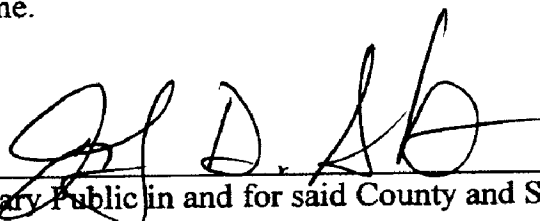
My Commission Expires:

04/28/10



COUNTY OF Salt Lake)
STATE OF Utah) : ss.

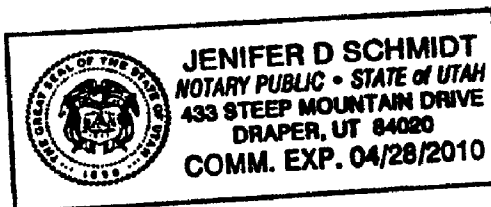
On this 15th day of October, 2007, before me personally appeared Clark C. Davis to me known to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he/she executed the same.



Notary Public in and for said County and State

My Commission Expires:

04/28/2010



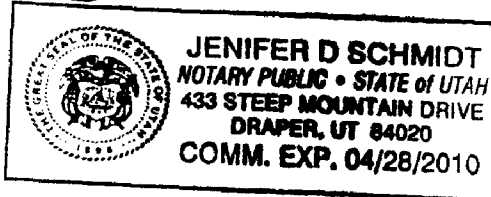
COUNTY OF Salt Lake)
STATE OF Utah : ss.
)

On this 15th day of October, 2007, before me personally appeared Scott D. Miles to me known to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he/she executed the same.

J. D. Schmidt
Notary Public in and for said County and State

My Commission Expires:

04/28/2010



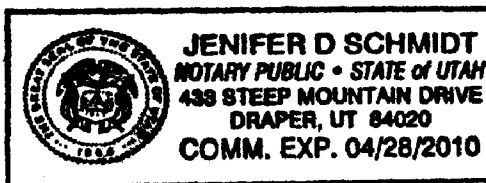
COUNTY OF Salt Lake)
STATE OF Utah : ss.
)

On this 15th day of October, 2007, before me personally appeared DeWayne C. Fox to me known to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he/she executed the same.

J. D. Schmidt
Notary Public in and for said County and State

My Commission Expires:

04/28/2010



COUNTY OF Salt Lake)
STATE OF Utah : ss.
)

On this 15th day of October, 2007, before me personally appeared Daryl R. Edmiston to me known to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he/she executed the same.

J. D. Schmidt
Notary Public in and for said County and State

My Commission Expires:

04/28/2010



Document in ProLaw