

10-25-2007

ET



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Tab settings

To the Director of the United States Pa...

...e attached original documents or copy thereof.

1. Name of conveying party(ies):  
**Apollo Optical Systems, LLC**

2. Name and address of receiving party(ies):

Name: **APOLLO OPTICAL SYSTEMS, INC.**

Internal Address:

10-24-07

Additional names(s) of conveying party(ies)  Yes  No

3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Other

Street Address: **330 CLAY ROAD**

City: **ROCHESTER** State: **NY** ZIP: **14623**

Execution Date: **October 12, 2007**

Additional name(s) & address(es) attached?  Yes  No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) Filing Date

B. Patent No.(s)

7,025,456  
7,156,516

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Kenneth J. LuKacher**

Internal Address: **South Winton Court**

Street Address: **3136 Winton Road South, Suite 301**

City: **Rochester** State: **NY** ZIP: **14623**

6. Total number of applications and patents involved: **2**

7. Total fee (37 CFR 3.41):.....\$ **80.00**

- Enclosed - Any excess or insufficiency should be credited or debited to deposit account
- Authorized to be charged to deposit account

8. Deposit account number:

**50-1101**

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

**Kenneth J. LuKacher, Reg. No. 38,539**

**October 22, 2007**

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: **5**

Mail documents to be recorded with required cover sheet information to:  
Mail Stop Assignment Recordation Services

Director of the United States Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450

PATENT

REEL: 020018 FRAME: 0516

FINANCIAL SECTION  
OCT 24 10 12 AM '07  
RECORDED

80.00 DP  
500000084 7025456  
10/24/2006 NJRH1  
01 FC:802

Practitioner's Docket No. AOS-002

For:  U.S. and/or  Foreign Rights  
For:  U.S. Application or  
 U.S. Provisional Application  
For:  U.S. Patent(s)  
For:  PCT Application  
By:  Inventor(s) or  Present Owner

**ASSIGNMENT OF INVENTION**

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

**ASSIGNOR:**

Inventor(s) or person(s) or entity(ies) who own the invention

APOLLO OPTICAL SYSTEMS, LLC  
(type or print name(s) of ASSIGNOR(S))

330 CLAY ROAD  
ROCHESTER, NEW YORK 14623  
Address

UNITED STATES OF AMERICA  
Nationality

(if assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO, add the following)

**Recorded on** 08/20/2004

**Reel** 015710  
**Frame** 0771

**Recorded on** 07/13/2005

**Reel** 016788  
**Frame** 0440

**Recorded on** \_\_\_\_\_

**Reel** \_\_\_\_\_  
**Frame** \_\_\_\_\_

hereby sells, assigns and transfers to

**ASSIGNEE:**

APOLLO OPTICAL SYSTEMS, INC.  
(type or print name(s) of ASSIGNEE(S))

330 CLAY ROAD  
ROCHESTER, NEW YORK 14623  
Address

UNITED STATES OF AMERICA  
Nationality

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

- the entire right, title and interest  
 an undivided \_\_\_\_\_ percent ( \_\_\_\_\_ %) interest  
for the United States and its territorial possessions

(check the following box, if foreign rights are also to be assigned)

and in all foreign countries, including all rights to claim priority,  
in and to any and all improvements which are disclosed in the invention entitled:  
DIFFRACTIVE LENSES FOR VISION CORRECTION

Name of inventor(s) G. Michael Morris, Dale A. Buralli, and Richard J. Federico

(check and complete (a) - (r))

and which is found in (37 C.F.R. § 3.21)

- (a)  U.S. patent application executed on even date herewith  
(b)  U.S. patent application executed on \_\_\_\_\_  
(c)  U.S. provisional application no. \_\_\_\_\_, filed \_\_\_\_\_, naming  
the above inventor(s) for the above-entitled invention.  
(d)  U.S. application no. \_\_\_\_\_, filed on \_\_\_\_\_  
(e)  International application no. PCT/US2005/28848  
(f)  Australian Patent Application No. 2005277642  
(g)  Brazilian Patent Application No. PI 0514523-6  
(h)  Canadian Patent Application No. 2,577,492  
(i)  Chinese Patent Application No. 20058005312.5  
(j)  European Patent Application No. 05786795.4  
(k)  Indian Patent Application No. 137/MUMNP/2007  
(l)  Japanese Patent Application No. 2007-527898  
(m)  Korean Patent Application No. 10-2007-7003956  
(n)  Singapore Patent Application No. 200701426-9  
(o)  U.S. patent no. 7,025,456 issued 04/11/2006  
(p)  U.S. patent no. 7,156,516 issued 01/02/07  
 A change of address to which correspondence is to be sent  
regarding patent maintenance fees is being sent separately.  
(also check (g), if foreign application(s) is also being assigned)  
(q)  and any legal equivalent thereof in a foreign country, including the  
right to claim priority

and, IN AND TO, ALL Letters Patent to be obtained for said invention by the above  
application or any continuation, division, renewal, or substitute thereof, and as to letters  
patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this 12<sup>th</sup> day of October 2007  
Date of signing

WARNING: The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

Date: G. Michael Morris  
Signature of ASSIGNOR(S)  
Date: \_\_\_\_\_  
Date: \_\_\_\_\_  
Date: \_\_\_\_\_

(if ASSIGNOR is a legal entity, complete the following information)

G. Michael Morris  
(type or print the name of the above person authorized to sign on behalf of ASSIGNOR)  
Chief Executive Officer  
Title

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized, then it will only be prima facie evidence of execution. 35 U.S.C. § 261. Use next page if notarization is desired.

Notarization or Legalization Page Added.