


Docket No. JCLA21327

Form 1595 1-31-92		RECORDATION FORM COVER SHEET		U. S. Department of Commerce Patent and Trademark Office	
<b>PATENTS ONLY</b>					
To the Honorable Assistant Commissioner for Patents: Please record the attached Original documents or copy thereof.					
1. Name of conveying party(ies):  <b>HSU-SHENG YU</b> , August 30, 2006 <b>SHING-ANN LO</b> , August 30, 2006 <b>TA-HUNG YANG</b> , August 30, 2006			2. Name/address of receiving Party(ies)  <b>MACRONIX INTERNATIONAL CO., LTD.</b>  NO. 16, LI-HSIN RD., SCIENCE-BASED INDUSTRIAL PARK, HSINCHU, TAIWAN, R.O.C.		
3. Nature of conveyance: <input checked="" type="checkbox"/> Other  Record to correct the Assignee's name on an Assignment previously recorded on real <b>018301</b> and frame <b>0526</b> .			Add'l names of receiving parties Attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
4. Application number(s) or patent number(s):					
A. Patent Application No. (s)  <b>11/534,583</b>			B. Patent No.(s)  <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
5. Name and address of party to whom correspondence concerning document should be mailed:  <b>J. C. Patents 4 Venture, Suite 250 Irvine, CA 92618 (949) 660-0761</b>			6. Total No. of applications and patents involved:  ONE(1)		
			7. Total fee(37CFR§3.41): \$40.00 <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Charge to Acct. No. 50-0710 (Order No. JCLA21327)		
			8. Total number of pages, including Cover sheet and attachments in 2 pages.		
Atty Docket No.: JCLA21327					
<b>DO NOT USE THIS SPACE</b>					
9. Statement and Signature:  To the best of my knowledge and belief, the forging information is true and Correct and any attached copy is a true copy of the original document.					
Jiawei Huang Name of person Signing Registration No. 43,330		 Signature		11-1-2007 Date	

CH \$40.00 500710 11534583

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9/26/06 8:11

PAGE 004/004

Fax Server

09/22/2006  
700288527

Docket No.: JCLA21327

Form 1595 (Rev. 03/04)

## RECORDATION FORM COVER SHEET

U. S. Department of Commerce  
Patent and Trademark Office

## PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below

1. Name of conveying party(ies) with the execution date:

HSU-SHENG YU, August 30, 2006  
SHING-ANN LO, August 30, 2006  
TA-HUNG YANG, August 30, 2006

2. Name/address of receiving Party(ies)

VIA TECHNOLOGIES, INC.

8F, NO. 533, CHUNG-CHENG RD., HSIN-TIEN  
CITY, TAIPEI HSIEN, TAIWAN, R.O.C.

3. Nature of conveyance:

☒ Assignment    ☐ Security Agreement  
☐ Merger    ☐ Change of Name  
☐ Reassignment    ☐ Other
Add'l names of receiving parties  
Attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

A. Patent Application No. (s)

11/534,583

Additional number attached?

B. Patent No.(s)

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

J. C. Patents  
4 Venture, Suite 250  
Irvine, CA 92618  
(949) 660-0761

6. Total No. of applications and patents involved:

ONE (1)

7. Total fee(37CFR§3.41): \$ 40.00

☐ Authorized to be charged by credit card☒ Authorized to be charge to deposit account☐ Enclosed☐ None required (government interest not affecting title)

## 8. Payment Information

a. Credit Card Last 4 Numbers \_\_\_\_\_

Expiration Date \_\_\_\_\_

b. Deposit Account Number 50-0710

Authorized User Name Jiawei Huang

Atty Docket No.: JCLA21327

9. Statement and Signature:

To the best of my knowledge and belief, the forging information is true and  
Correct and any attached copy is a true copy of the original document.

Jiawei Huang

Name of person Signing  
Registration No. 43,330

Signature

Date

9/22/2006

Total number of pages  
including cover sheet,  
attachments, and  
documents: 3

PATENT

REEL: 020059 FRAME: 0210

P950118  
21327-US-PA

## ASSIGNMENT

WHEREAS,

1. Hsu-Sheng Yu
3. Ta-Hung Yang

2. Shing-Ann Lo

hereinafter referred to as ASSIGNOR, has/have invented certain new and useful improvements as described and set forth in the below identified application for United States Letters Patent:

Title: **METHOD OF FORMING DIELECTRIC LAYER**

☒ Filed: Sept. 22, 2006 Serial No. 11/534,583

☐ Executed concurrently with the execution of this instrument

WHEREAS, MACRONIX International Co., Ltd.  
of No. 16, Li-Hsin Rd., Science-Based Industrial Park, Hsinchu, Taiwan, R.O.C.

hereinafter referred to as ASSIGNEE, is desirous of acquiring ASSIGNOR'S interest in the said invention and application and in any U.S. Letters Patent which may be granted on the same:

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for good and valuable consideration, receipt of which is hereby acknowledged by Assignor, Assignor has/have sold, assigned and transferred, and by these presents does/do sell, assign and transfer unto the said Assignee, and Assignee's successors and assigns, all his/her/their rights, title and interest in and to the said invention and application and all future improvements thereon, and in and to any Letters Patent which may hereafter be granted on the same in the United States, the said interest to be held and enjoyed by said Assignee as fully and exclusively as it would have been held and enjoyed by said Assignor had this Assignment and transfer not been made, to the full end and term of any Letters Patent which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignor further agrees/agree that he/she/they will, without charge to said Assignee, but at Assignee's expense, cooperate with Assignee in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as Assignee Lawfully may request, to obtain or maintain Letters Patent for said invention and improvement, and to vest title thereto in said Assignee, or Assignee's successors and assigns.

P950118  
21327-US-PA

## ASSIGNMENT CONTINUED

IN TESTIMONY WHEREOF, Assignor has/have signed his/her/their name(s) on the date(s) indicated.

Signature: Hsu-Sheng Yu  
Sole or First Joint Inventor: Hsu-Sheng Yu

Date: 2006/08/30

Signature: Shing-Ann Lo  
Second Joint Inventor (if any): Shing-Ann Lo

Date: 2006.08.30

Signature: Ta-Hung Yang  
Third Joint Inventor (if any): Ta-Hung Yang

Date: 2006.08.30

PATENT

Docket No.: JCLA21327

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : HSU-SHENG YU et al.  
Application No. : 11/534,583  
Filed : September 22, 2006  
For : METHOD OF FORMING DIELECTRIC LAYER  
Examiner :

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**REQUEST FOR CORRECTED NOTICE OF RECORDATION**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicant respectfully requests that the Notice of Recordation of Assignment Document related to the above-identified application be corrected to reflect the true Assignee's information of **MACRONIX INTERNATIONAL CO., LTD.**, located at **No. 16, Li-Hsin Rd., Science-Based Industrial Park, Hsinchu, Taiwan, R.O.C.** as shown on the Assignment document. Presently, the Notice of Recordation incorrectly shows the Assignee's name as VIA Technologies, Inc. This mistake was made due to the clerical typo in the Recordation Cover sheet. A new Recordation Cover Sheet is enclosed.

Also enclosed are:

(X) Copy of the Notice of Recordation with the Assignment.

Respectfully submitted,  
J.C. PATENTS, INC.

Dated: 11-1-2007

Correspondence Address:  
4 Venture, Suite 250  
Irvine, Ca 92618  
Tel: (949) 660-0761

By 

Jiawei Huang  
Registration No. 43,330

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9/26/06 8:11

PAGE 002/004

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**UNITED STATES PATENT AND TRADEMARK OFFICE**UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

SEPTEMBER 26, 2006

PTAS

**\*700288527A\*****\*700288527A\***J. C. PATENTS  
4 VENTURE, SUITE 250  
IRVINE, CA 92618UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 09/22/2006

REEL/FRAME: 018301/0526  
NUMBER OF PAGES: 3BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).  
DOCKET NUMBER: JCLA21327

## ASSIGNOR:

YU, HSU-SHENG

DOC DATE: 08/30/2006

## ASSIGNOR:

LO, SHING-ANN

DOC DATE: 08/30/2006

## ASSIGNOR:

YANG, TA-HUNG

DOC DATE: 08/30/2006

## ASSIGNEE:

VIA TECHNOLOGIES, INC.  
8F, NO. 533, CHUNG-CHENG RD., HSIN-  
TIEN CITY  
TAIPEI HSIEN, TAIWAN R.O.C.

RightFax

9/26/06 8:11

PAGE 003/004

Fax Server

018301/0526 PAGE 2

SERIAL NUMBER: 11534583

FILING DATE:

PATENT NUMBER:

ISSUE DATE:

TITLE: METHOD OF FORMING DIELECTRIC LAYER

SHARON BROOKS, EXAMINER  
ASSIGNMENT SERVICES BRANCH  
PUBLIC RECORDS DIVISION

PATENT

REEL: 020059 FRAME: 0215

**J.C. PATENTS**  
4 VENTURE, SUITE 250  
IRVINE, CALIFORNIA 92618  
TEL.: (949) 660-0761  
FAX: (949) 660-0809  
E-MAIL: [jcpi@email.msn.com](mailto:jcpi@email.msn.com)

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**CERTIFICATE OF TRANSMISSION**

November 1, 2007

Atty Docket No.	:	JCLA21327
Appl. No.	:	11/534,583
Filing Date	:	September, 22, 2006
Pages	:	Cover + 7


**BY FACSIMILE ONLY**

Fax No.	:	571-273-0140
Attention	:	MAIL STOP Assignment Commissioner for Patents
From	:	Jiawei Huang, Reg. No. 43,330
MESSAGE	:	Enclosed herewith is a Request for Corrected Notice of Recordation with copy of the Notice of the Recordation together with Assignment in 7 pages.

Sir:

I hereby certify that this correspondence is being facsimile transmitted to the Patent and  
Trademark Office on **November 1, 2007** at the above indicated fax number.

Sign by

  
Michelle Chang

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**Note:** This facsimile transmission is intended only for the use of the individual or entity to which it is addressed, and may contain information that is privileged, confidential and exempt from disclosure under applicable law. If the reader is not the intended recipient, or the employee or agent responsible for delivering the message to the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this transmission in error, please kindly notify us immediately, and return the original message to us at the above address. We greatly appreciate your cooperation.

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**PATENT**

**RECORDED: 11/01/2007**

**REEL: 020059 FRAME: 0216**