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## RECORDATION FORM COVER SHEET

## PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

## 1. Name of conveying party(ies)/Execution Date(s):

Yigang Cai (8-27-07)

Alexander Aihao Yin (8-21-07)

Execution Date(s): (next to name)

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

## 2. Name and address of receiving party(ies)

Name: Lucent Technologies Inc.

Internal Address: \_\_\_\_\_

Street Address: 600-700 Mountain Avenue

City: Murray Hill

State: NJ

Country: United States Zip: 07974

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application or patent number(s):

A. Patent Application No.(s): 11/934,771

☐ This document is being filed together with a new application.

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address to whom correspondence concerning document should be mailed:

Name: Brett Bornsen

Duft Bornsen &amp; Fishman, LLP

Internal Address: \_\_\_\_\_

Street Address: 1526 Spruce Street

Suite 302

City: Boulder

State: CO Zip: 80302

Phone Number: 303-786-7687

Fax Number: 303-786-7691

Email Address: \_\_\_\_\_

## 6. Total number of applications and patents involved:

1

## 7. Total fee (37 CFR 1.21(h) &amp; 3.41) \$ 40

- ☒ Authorized to be charged by credit card  
☐ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

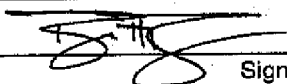
## 8. Payment Information

a. Credit Card Last 4 Numbers: 7300  
Expiration Date: 12/2007

b. Deposit Account Number \_\_\_\_\_

Authorized User Name \_\_\_\_\_

## 9. Signature:



Signature

11-3-07

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Brett Bornsen

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CAI 90-8

For: U.S. Rights and Foreign Rights  
For: U.S. Application  
By: Inventors

### ASSIGNMENT OF INVENTION

For good and valuable consideration, the receipt of which is hereby acknowledged,

**ASSIGNORS:**

Yigang Cai  
23643 Royal Worlington Drive  
Naperville, IL 60564  
Nationality: China

Alexander Aihao Yin  
E1-603, Fuling Village 65005-098  
QingDao, China 266101  
Nationality: China

hereby sell, assign and transfer to

**ASSIGNEE:**

Lucent Technologies Inc.  
600-700 Mountain Avenue  
Murray Hill, New Jersey 07974-0636, USA  
State or Country of Formation: Delaware

and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title and interest for the United States and its territorial possessions and in all foreign countries, including all rights to claim priority, in and to any and all improvements which are disclosed in the invention entitled:

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and which is found in (37 C.F.R. Section 3.21) U.S. patent application executed on the date herewith and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.



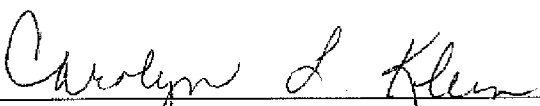
CAI 90-8

Date: 8/27/2007  
\_\_\_\_\_  
Yigang Cai

United States of America )

State of Illinois ) ss.:County of Kane )

On this 27<sup>th</sup> day of August, 2007, before me personally came Yigang Cai, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

  
\_\_\_\_\_  
Notary PublicDate: August 21, 2007  
\_\_\_\_\_  
Alexander Aihao Yin

United States of America )

State of \_\_\_\_\_ ) ss.:

County of \_\_\_\_\_ )

On this 21 day of August, 2007, before me personally came Alexander Aihao Yin, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

\_\_\_\_\_  
Notary Public