

11-02-2007



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U.S. Department of Commerce **Patent and Trademark Office** Attorney Docket No. 08350.6577-00000 Client Reference No. 06-577

To the Director of the U.S. Pa	tent and ``		Mail Stop	Assignment F	Recordation Services
Name of conveying pa (1) Kenneth L. Strattor	rty(ies):	2.	Name and addre		g party(ies):
(2) Jamie Shults; (3) Jean-Jacques Clar (4) Derrick Darby;	;	Name:	Caterpillar In	IC.	
(5) Augusto J. Opdenk (6) Juan Carlos Santa					
Additional name(s) of conveying	g party(ies) attached? ☐ Yes ☒ No	Internal	Address:	, , , , , , , , , , , , , , , , , , , ,	
3. Nature of conveyance		Street A	ddress: 100	N.E. Adams S	treet
	☐ Merger	City:	Peoria		
Security Agreement	☐ Change of Name	State:	Illinois	Zip Code:	61629-6490
Joint Research Agreement	Government Interest Assignment	Addition	al name(s) & Ado	dress(es) attacl	ned?
Executive Order 9494, Confirmatory License	Other:		☐ Yes	⊠ No	
(3) July 27,	2007; (2) March 20, 2007; 2007; (4) March 17, 2007; 6, 2007; and (6) March 16, 2007				
Application number(s) or patent application:	number(s): If this document is being filed	together w	ith a new applica	tion, the execu	tion date of the
A. Patent Application Nur	mber: 11/700,015	B.	Patent Number(s):	
	Additional numbers attached?	Yes	⊠ No		
Name and address of concerning document	party to whom correspondence should be mailed:	5.	Total number of	applications ar	nd patents involved: 1
Name: Christopher P. Isaac,		6.	Total fee (37 CF	R 1.21(h) and	3.41): \$40
Internal Address: FINNEGAN & DUNNEF	I, HENDERSON, FARABOW, GARRETT		Enclosed (F overpaymer	Please charge on the deposit ac	deficiency or credit count 06-0916)
	ork Avenue, N.W.		Authorized	to be charged	to deposit account
City: Washington, D	.C.				
State:	Zip: 20001-4413	7.	Deposit Account	No.: <u>06-0916</u>	
8. Statement and signatu					
To the best of my knowledge and document.	nd belief, the foregoing information is true	and correc	t and any attache	ed copy is a true	e copy of the original
	Au mod			October	30, 2007
Signed:	Roland G. McAndrews			D	pate
T.1-	Reg. No. 41,450 I number of pages including cover sheet,	attachment	s and documents	s: 13	
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ASSIGNMENT

We, the below named inventors, [hereinafter referred to as Assignors], have made an invention entitled:

SIMULATION SYSTEM IMPLEMENTING REAL-TIME MACHINE DATA

for which We filed an application for United States Letters Patent on January 31, 2007 (Application No. 11/700,015); and

WHEREAS, Caterpillar Inc., a corporation of Delaware whose post office address is 100 N.E. Adams Street, Peoria, IL 61629-6490 (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention in all countries throughout the world, and in and to the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application.

NOW THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) in hand paid and other good and valuable consideration the receipt of which from Assignee are hereby acknowledged, We, as Assignors, have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, our entire right, title, and interest in and to this invention, and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, and all rights to claim priority on the basis of the above provisional application (if any), as well as all rights to claim priority on the basis of this application, and all applications for Letters Patent which may hereafter be filed for this invention in any foreign country and all Letters Patent which may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof; and We hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent for this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, WE HEREBY covenant that We have the full right to convey the interest assigned by this Assignment, and We have not executed and will not execute any agreement in conflict with this Assignment;

AND, WE HEREBY further covenant and agree that We will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to us respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns.

IN TESTIMONY WHEREOF, We have hereunto set our hands.

Page 1 of 4

1. FULL NAME OF FIRST ASSIGNOR	ASSIGNOR'S SIGNATURE	DATE
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AND, WE HEREBY further covenant and agree that We will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to us respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns.

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Page 1 of 4

REEL: 020065 FRAME: 0813

PATENT

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1. FULL NAME OF FOURTH ASSIGNOR	ASSIGNORS SIGNATURE	DATE
1. FULL NAME OF FOURTH ASSIGNOR Derrick Darby	ASSIGNOR'S SIGNATURE	3-17-2007
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Page 4 of 4

RECORDED: 10/30/2007