

10-25-07

U.S. PTO  
11/977897  
11-06-2007

Form PTO-1595 (Rev. 07/05)  
OMB No. 0651-0027 (exp. 6/30/2008)

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office



103459158

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**  
CHRISTOPHER M. LEWIS  
RICHARD L. ALLEYNE  
Additional name(s) of conveying party(ies) attached?  Yes  No

**2. Name and address of receiving party(ies)**  
Name: HARTFORD FIRE INSURANCE COMPANY  
Internal Address: \_\_\_\_\_

**3. Nature of conveyance/Execution Date(s):**  
Execution Date(s) 10/25/2007  
 Assignment  Merger  
 Security Agreement  Change of Name  
 Joint Research Agreement  
 Government Interest Assignment  
 Executive Order 9424, Confirmatory License  
 Other \_\_\_\_\_

Street Address: HARTFORD PLAZA  
City: HARTFORD  
State: CONNECTICUT  
Country: USA Zip: 06115  
Additional name(s) & address(es) attached?  Yes  No

**4. Application or patent number(s):**  This document is being filed together with a new application.  
A. Patent Application No.(s)  
WASFAW1 00000036 230420 11977897  
40.00 DP

B. Patent No.(s)  
11/977897  
Additional numbers attached?  Yes  No

3/29/2007  
1 FC:8021

**5. Name and address to whom correspondence concerning document should be mailed:**  
Name: WARD & OLIVO  
Internal Address: SUITE 300  
Street Address: 382 SPRINGFIELD AVENUE  
City: SUMMIT  
State: NEW JERSEY Zip: 07901  
Phone Number: 908-277-3333  
Fax Number: 908-277-3963  
Email Address: \_\_\_\_\_

**6. Total number of applications and patents involved:** 1  
**7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00**  
 Authorized to be charged by credit card  
 Authorized to be charged to deposit account  
 Enclosed  
 None required (government interest not affecting title)

**8. Payment Information**  
a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_  
b. Deposit Account Number 23-0420  
Authorized User Name Ward & Olivo

**9. Signature:** Joe H. Shallenburger 10/25/07  
Signature Date

Joe H. Shallenburger  
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 4

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

## ASSIGNMENT

WHEREAS, WE, Christopher M. Lewis and Richard L. Alleyne, ASSIGNORS, citizens of the United States, residing at 30 Cortland Drive, Tolland, Connecticut 06084, and 156 South Main Street, West Hartford, Connecticut 06107, respectively, are the inventors of the invention of INDEX AND RISK-LINKED REINSURANCE PRODUCT for which is identified by Ward & Olivo docket no. 392-023a; and filed herewith;

and WHEREAS, Hartford Fire Insurance Company, ASSIGNEE, a Connecticut Corporation with an address of Hartford Plaza, Hartford, Connecticut 06115, Hartford County, is desirous of obtaining the entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to record ASSIGNEE as the owner of the application including any continuations, divisions, continuations-in-part, reissues, reexaminations or extensions thereof, and to issue the resulting patent to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.



