Form PTO-1595 (Rev. 08/05) Doc Code: S-101,901 OMB No. 0651-0027 (exp. 6/30/2008)	U.S. DEPARTMENT OF COMMERCE United States Patent and Trademark Office	
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1. Name of conveying party(ies)	2. Name and address of receiving party(les)	
UT-BATTELLE, LLC	Name: U.S. Department of Energy	
	Internal Address: GC-62 6F-067	
Additional name(s) of conveying party(les) attached? 🗌 Yes 🛄 No	Street Address: 1000 Independence Avenue, S.W.	
3. Nature of conveyance/Execution Date(s):		
Execution Date(s) 09/06/2007		
Assignment D Merger	City: Washington	
Security Agreement Change of Name	State: D.C.	
Joint Research Agreement	Country:Zip: <u>20585-0162</u>	
Government Interest Assignment	Country	
Executive Order 9424, Confirmatory License	Additional name(s) & address(es) attached? 🔲 Yes 🛛 No	
Other	document is being filed together with a new application.	
A. Patent Application No.(s)	B. Patent No.(s)	
11/778,162		
Additional numbers attached? Yes X No		
5. Name and address to whom correspondence concerning document should be malled:	6. Total number of applications and patents involved:	
Name: Brenda K. Banks	7. Total fee (37 CFR 1.21(h) & 3.41) \$	
Internal Address: GC-62 6F-067	Authorized to be charged by credit card	
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Street Addiess: 1000 Independence Avenue, 5	None required (government interest not affecting title)	
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City: Washington	a. Credit Card Last 4 Numbers	
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Phone Number: 202-586-2802	b. Deposit Account Number	
Fax Number: 202-586-2805	Authorized User Name	
Email Address: <u>brenda.banks@hq.doc.gov</u>		
9. Signature: RUNAAKBABKS		
Signature		
Brenda K. Banks	Total number of pages including	
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Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450 11/06/2007 08:18 FAX 2025867127

→ PTO RECORDATIONS 🛙 002/002

478,162

DOE Docket 1D1312.0 \$-101,901

CONFIRMATORY LICENSE

Title:	Microscale Fluid Transport using Optically Controlled Marangoni Effect
Inventor(s):	Thomas G. Thundat and Rubye H. Farahi
Serial No.:	11/778,162
Filing Date (U.S.)	July 16, 2007
Contractor:	UT-Battelle, LLC
DOE Contract No.:	DE-AC05-00OR22725

The invention identified above is a "Subject Invention" under the above-numbered contract. A copy of the provision of the above-numbered contract (certified on 04-13-00) governing patent rights in the Subject Invention at the time the invention was made, has been submitted to the U. S. Department of **Energy**.

The Contractor hereby confirms that under the provisions of the above-numbered contract governing patent rights, it has granted to the Government a nonexclusive, nontransferable, irrevocable, paid-up license to practice or have practiced for or on behalf of the United States the subject invention throughout the world. This license applies to the invention in the above-identified patent application and any and all divisions or continuations thereof and any resulting patent or reissue patent which may be granted thereon.

It is understood and agreed that this document does not preclude the Government from asserting rights under the provisions of said contract or any other agent between the Government and the Contractor, or any other rights of the Government with respect to the above-identified invention.

The Contractor hereby grants the Government an irrevocable power to inspect and make copies of the above-identified application.

Signed this 6 day of September, 2007.

UT-Battelle, LLC Bv

Casey Porto Director, Office of Technology Transfer

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