

Form PTO-1595 (Rev. 08/05)  
OMB No. 0351-0027 (exp. 6/30/2008)

U.S. DEPARTMENT OF COMMERCE  
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## RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

### 1. Name of conveying party(ies)

Kurt Haggstrom  
Christopher Rainieri  
Richard Braga

Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

### 3. Nature of conveyance/Execution Date(s):

Execution Date(s) 1/8/07; 1/9/07; 1/12/07

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

### 2. Name and address of receiving party(ies)

Name: Sherwood Services AG

Internal Address: \_\_\_\_\_

Street Address: Bahnhofstrasse 29

City: CH-8201 Schaffhausen

State: \_\_\_\_\_

Country: Switzerland Zip: \_\_\_\_\_

Additional name(s) & address(es) attached? ☐ Yes ☐ No

### 4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

10/873,094

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

### 5. Name and address to whom correspondence concerning document should be mailed:

Name: Carter, DeLuca, Farrell & Schmidt

Internal Address: \_\_\_\_\_

Street Address: 445 Broad Hollow Road

City: Melville

State: NY Zip: 11747

Phone Number: 631-501-5700

Fax Number: 631-501-3526

Email Address: \_\_\_\_\_

### 6. Total number of applications and patents involved: 1

### 7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00

- ☐ Authorized to be charged by credit card  
☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

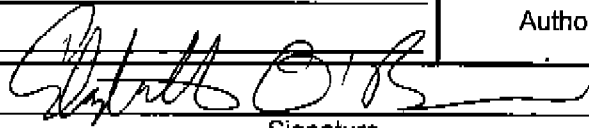
### 8. Payment Information

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number 190254

Authorized User Name Elizabeth A. O'Brien

### 9. Signature:



Signature

November 7, 2007  
Date

Elizabeth A. O'Brien

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 6

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

CH \$40.00 190254 10873094

700349340

PATENT  
REEL: 020084 FRAME: 0813

Attorney Docket No. M-1111-2 (1502-96 PCTCIP)

PATENT

For: ☒ U.S. and/or ☒ Foreign Rights  
 For: ☒ U.S. Application or ☐ U.S. Patent  
 By: ☒ Inventor(s) or ☐ Present Owner

**ASSIGNMENT OF INVENTION**

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR(S),

\_\_\_\_\_ residing at \_\_\_\_\_ hereby sells, assigns and transfers to

ASSIGNEE:

Sherwood Services AG  
 (Type or print name of ASSIGNEE)

Postfach 1571, Bahnhofstrasse 29  
 Address

CH-8201 Schaffhausen

Switzerland  
 Nationality

and the successors, assigns and legal representatives of the ASSIGNEE

☒ the entire right, title and interest

☐ an undivided \_\_\_\_\_ percent (\_\_\_\_\_% ) interest for the United States and its territorial possessions

☒ and in all foreign countries, including all rights to claim priority,  
 in and to any and all improvements which are disclosed in the invention entitled:

**TRIPLE LUMEN CATHETER WITH OCCLUSION RESISTANT TIP**  
 (title of invention)

and which is found in

- (a) ☐ U.S. patent application executed on even date herewith.
- (b) ☐ U.S. patent application executed on \_\_\_\_\_.
- (c) ☒ U.S. application Serial No. 10/873,094 filed on June 21, 2004
- (d) ☐ U.S. provisional application No. 60/ \_\_\_\_\_  
 filed on \_\_\_\_\_.
- (e) ☐ U.S. Patent No. \_\_\_\_\_ issued \_\_\_\_\_.
- (f) ☐ PCT application No. PCT/US \_\_\_\_\_  
 filed on \_\_\_\_\_.
- ☐ A change of address to which correspondence is to be sent regarding  
 patent maintenance fees is being sent separately.
- (g) ☒ and any legal equivalent thereof in a foreign country, including the right to claim priority and, in  
 and to, all Letters Patent to be obtained for said invention by the above application or any  
 continuation, continuation-in-part, divisional, renewal, or substitute thereof, and as to letters patent  
 any reissue or re-examination thereof

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or  
 entered into which would conflict with this assignment;

**PATENT**  
**REEL: 020084 FRAME: 0814**


Jan 12 07 10:02a

p.2

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this \_\_\_\_\_ (Date of signing).

WARNING: Date of signing must be the same as the date of execution of the application if item (a) was checked above.

  
Kurt Haggstrom

1/8/07  
Dated

\_\_\_\_\_  
Christopher Rainieri

\_\_\_\_\_  
Dated

\_\_\_\_\_  
Richard Braga

\_\_\_\_\_  
Dated

\_\_\_\_\_  
Dated

If ASSIGNOR is a legal entity complete the following information

Type or print the name of the above person  
authorized to sign on behalf of ASSIGNOR

Title

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

[X] Notarization or Legalization Page Added.

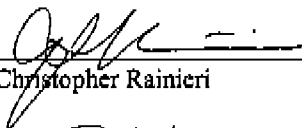
ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this \_\_\_\_\_ (Date of signing).

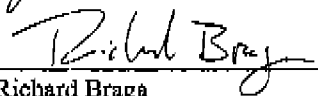
**WARNING:** Date of signing must be the same as the date of execution of the application if item (u) was checked above.

\_\_\_\_\_  
Kurt Haggstrom

\_\_\_\_\_  
Dated

  
\_\_\_\_\_  
Christopher Rainieri

  
\_\_\_\_\_  
Dated

  
\_\_\_\_\_  
Richard Braga

  
\_\_\_\_\_  
Dated

\_\_\_\_\_  
Dated

If ASSIGNOR is a legal entity complete the following information

Type or print the name of the above person  
authorized to sign on behalf of ASSIGNOR

Title

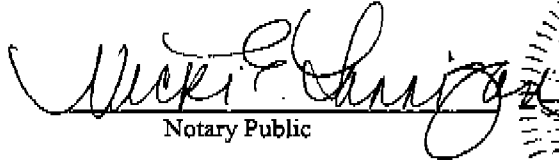
**NOTE:** No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

[X] Notarization or Legalization Page Added.

Commonwealth of Massachusetts )  
 ) ss  
County of Bristol )

Before me this 12<sup>th</sup> day of January, 2007,

personally appeared Richard Braga to me personally known to be the person described in and who executed the above instrument, and acknowledged to me that he/she executed the same of his own free will for the purposes therein set forth.

  
Notary Public



AFFIX SEAL

Commonwealth of Massachusetts )  
 ) ss  
County of Bristol )

Before me this 9th day of January 2007,

personally appeared Christopher Rainieri to me personally known to be the person described in and who executed the above instrument, and acknowledged to me that he/she executed the same of his own free will for the purposes therein set forth.

AFFIX SEAL



Julie D. Parker  
Notary Public



JULIE D. PARKER  
Notary Public  
Commonwealth of Massachusetts  
My Commission Expires  
April 28, 2011