

11-08-2007



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To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Donald Barry Huehn  
Joseph Roark  
Jeffrey Warden

11-7-07

2. Name and address of receiving party(ies):

Tetra Holding (US), Inc.  
3001 Commerce Street  
Blacksburg, Virginia 24060

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other:

Execution Date: September 25, 2007 & September 27, 2007

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

11/893,383

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Karen A. Fitzsimmons  
Address: Merchant & Gould P.C.  
P.O. Box 2903  
Minneapolis, MN 55402-0903

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40

- ☒ Enclosed  
☐ Authorized to be charged to deposit account

8. Please charge any additional fees or credit any overpayments to our Deposit account number: 13-2725

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9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Karen A. Fitzsimmons  
Name of Person Signing

Signature

November 5, 2007  
Date

Total number of pages including cover sheet, attachments, and document: 4

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PATENT TRADEMARK OFFICE

## ASSIGNMENT

WHEREAS, we, Donald Barry Huehn, residing at 3006 Stradford Lane, Blacksburg, Virginia 24060, Joseph Roark, residing at 505 Sky View Drive, Blacksburg, Virginia 24060 and Jeffrey Warden, residing at 12213 Dunard Street, Raleigh, North Carolina 27614, made certain new and useful inventions and improvements for which we filed an application for Letters Patent of the United States on August 15, 2007, application Serial No. 11/893,383 which is entitled FILTER CARTRIDGE ASSEMBLY WITH WATER COLLECTION.

AND WHEREAS, Tetra Holding (US), Inc., a corporation organized and existing under and by virtue of the laws of the State of Delaware, and having an office and place of business at 3001 Commerce Street, Blacksburg, Virginia 24060/USA (hereinafter "Assignee") is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefor;

NOW THEREFORE, to all whom it may concern, be it known that for and in consideration of the sum of One Dollar and other good and valuable considerations, the receipt and sufficiency whereof is hereby acknowledged, we have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the Assignee, its successors and assigns.

AND, for the consideration aforesaid, we do hereby agree that we and our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to us relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be

necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore we covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us and that full right to convey the same as herein expressed is possessed by us.

IN TESTIMONY WHEREOF, I have hereunto set my hand this 25<sup>th</sup> day of September 2007.

D. B. Huehn  
Donald Barry Huehn

STATE OF Virginia )  
 ) ss.  
COUNTY OF Montgomery )

On this 25<sup>th</sup> day of September, 2007, before me personally appeared Donald Barry Huehn to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth.

[SEAL]

Grace K. Speier My Commission Expires  
Notary Public July 31, 2008



IN TESTIMONY WHEREOF, I have hereunto set my hand this 25 day of

September, 2007.

Joseph Roark  
Joseph Roark

STATE OF Virginia )  
 ) ss.  
COUNTY OF Montgomery )

On this 25 day of September, 2007, before me personally appeared Joseph Roark to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth.

Grace K. Spier Commission Expires  
Notary Public July 31, 2008

IN TESTIMONY WHEREOF, I have hereunto set my hand this 27 day of

SEPTEMBER, 2007.

Jeffrey Warden  
Jeffrey Warden

STATE OF NORTH CAROLINA )  
 ) ss.  
COUNTY OF Wake )

On this 27 day of September, 2007, before me personally appeared Jeffrey Warden to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth.

M. Boedeker  
Notary Public  
My Commission Expires 6/22/08

[SEAL]

