

**PATENT ASSIGNMENT**

Electronic Version v1.1  
 Stylesheet Version v1.1

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT										
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT										
<b>CONVEYING PARTY DATA</b>											
<table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="width:70%;">Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>Linda Marie Sobolewski Wahlberg</td> <td>10/29/2007</td> </tr> <tr> <td>Derek Lyle Farmer</td> <td>10/29/2007</td> </tr> <tr> <td>Kristine Marie Hahn Henderson</td> <td>10/29/2007</td> </tr> <tr> <td>Kent Michael Bergene</td> <td>10/29/2007</td> </tr> </tbody> </table>		Name	Execution Date	Linda Marie Sobolewski Wahlberg	10/29/2007	Derek Lyle Farmer	10/29/2007	Kristine Marie Hahn Henderson	10/29/2007	Kent Michael Bergene	10/29/2007
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<b>RECEIVING PARTY DATA</b>											
<b>Name:</b>	Ameriprise Financial, Inc.										
<b>Street Address:</b>	707 2nd Avenue South										
<b>City:</b>	Minneapolis										
<b>State/Country:</b>	MINNESOTA										
<b>Postal Code:</b>	55474										
<b>PROPERTY NUMBERS Total: 1</b>											
<table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="width:30%;">Property Type</th> <th>Number</th> </tr> </thead> <tbody> <tr> <td>Application Number:</td> <td>11847874</td> </tr> </tbody> </table>		Property Type	Number	Application Number:	11847874						
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Application Number:	11847874										
<b>CORRESPONDENCE DATA</b>											
<b>Fax Number:</b>	(602)382-6070										
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<b>ATTORNEY DOCKET NUMBER:</b>	49335.3500										
<b>NAME OF SUBMITTER:</b>	Howard I. Sobelman										
Total Attachments: 2											

**CH \$40.00 11847874**

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## ASSIGNMENT

UTILITY PATENT

**WHEREAS**, the undersigned inventor(s) (hereinafter singly and collectively, "ASSIGNOR") of the addresses indicated below, have invented subject matter disclosed in the patent application entitled SYSTEM AND METHOD FOR ADMINISTERING A FEE STRUCTURE FOR MUTUAL FUND SHARES filed herewith or was filed on August 30, 2007 and assigned U.S. Serial No. 11/847,874.

**AND WHEREAS**, AMERIPRISE FINANCIAL, INC. (hereinafter "ASSIGNEE"), a corporation organized and existing under and by virtue of the laws of the State of Delaware, having its principal place of business and mailing address at 707 2nd Avenue South, Minneapolis, Minnesota 55474, is desirous of memorializing its interest therein;

**NOW, THEREFORE**, the undersigned ASSIGNOR hereby confirms that ASSIGNOR is a full time salaried employee, past employee or a contractor of AMERIPRISE FINANCIAL, INC. or one of it's subsidiary companies (collectively "AMERIPRISE") and that ASSIGNOR developed the subject matter of the invention on AMERIPRISE's time and at the expense of AMERIPRISE and within the scope of ASSIGNOR's duties as an employee of AMERIPRISE and thereby ASSIGNOR recognizes that AMERIPRISE owns the invention as described in the aforesaid application. Nonetheless to comport with Patent Office procedures, ASSIGNOR memorializes that relationship in the form of this assignment and;

**THEREFORE**, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, ASSIGNOR by these presents does sell, assign and transfer unto ASSIGNEE, its successors, assigns and legal representatives, the full and exclusive right to the invention, as described in the aforesaid application, including patent, copyright and any other intellectual property rights, any provisional, non-provisional, continuation, continuation in part, divisional, reissue, reexamination, foreign, PCT or other patent application or like document, or any other application which claims priority to said application, in the United States and all foreign countries, together with the right of priority under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States adheres, and hereby authorizes and requests the Commissioner of Patents to issue said Letters Patent to ASSIGNEE, for the sole use and benefit of ASSIGNEE, its successors, assigns and legal representatives,

**AND HEREBY AGREES** to transfer to ASSIGNEE, its successors, assigns and legal representatives, without further remuneration, a like interest in and to any improvements, derivative works, and applications based thereon, growing out of or relating to the invention; and to provide all reasonable assistance and execute any papers, if accurate, desired by ASSIGNEE, its successors, assigns and legal representatives, to preserve, acquire and/or perfect ASSIGNEE's full protection and title in and to the invention and any improvements and to file any of the aforementioned applications.

In the event that the Application Number and/or filing date is not available when this Assignment is executed, I hereby authorize and request any attorney associated with **Snell & Wilmer L.L.P., Customer No. 20322** to insert in this assignment the filing date and/or the application number of said application and/or any further identification that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

**EXECUTED** as of the date written below by ASSIGNOR:

INVENTOR'S NAME/ADDRESS	SIGNATURE	DATE
<u>Linda Marie Sobolewski Wahlberg</u> <u>495 Glendale Street</u> <u>St. Paul, MN 55104</u>	<u></u>	<u>29-Oct-2007</u>
<u>Derek Lyle Farmer</u> <u>1767 Chatham Avenue</u> <u>Arden Hill, MN 55112</u>	<u></u>	<u>10/29/07</u>
<u>Kristine Marie Hahn Henderson</u> <u>6537 Ridgeview Drive</u> <u>Edina, MN 55439</u>	<u></u>	<u>10-29-07</u>
<u>Kent Michael Bergene</u> <u>1415 North Danube Road</u> <u>Fridley, MN 55432</u>	<u></u>	<u>10/29/07</u>

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