

PATENT ASSIGNMENT

Electronic Version v1.1

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SUBMISSION TYPE:	NEW ASSIGNMENT												
NATURE OF CONVEYANCE:	ASSIGNMENT												
CONVEYING PARTY DATA													
<table border="1"><thead><tr><th>Name</th><th>Execution Date</th></tr></thead><tbody><tr><td>Steven D. Goodman</td><td>10/02/2007</td></tr><tr><td>Olga Kay</td><td>10/01/2007</td></tr></tbody></table>		Name	Execution Date	Steven D. Goodman	10/02/2007	Olga Kay	10/01/2007						
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Steven D. Goodman	10/02/2007												
Olga Kay	10/01/2007												
RECEIVING PARTY DATA													
<table border="1"><tr><td>Name:</td><td>University of Southern California</td></tr><tr><td>Street Address:</td><td>3740 McClintock Avenue</td></tr><tr><td>Internal Address:</td><td>Hughes Center, Suite EEB 131</td></tr><tr><td>City:</td><td>LOS ANGELES</td></tr><tr><td>State/Country:</td><td>CALIFORNIA</td></tr><tr><td>Postal Code:</td><td>90089-2561</td></tr></table>		Name:	University of Southern California	Street Address:	3740 McClintock Avenue	Internal Address:	Hughes Center, Suite EEB 131	City:	LOS ANGELES	State/Country:	CALIFORNIA	Postal Code:	90089-2561
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PROPERTY NUMBERS Total: 1													
<table border="1"><thead><tr><th>Property Type</th><th>Number</th></tr></thead><tbody><tr><td>Application Number:</td><td>11561275</td></tr></tbody></table>		Property Type	Number	Application Number:	11561275								
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Application Number:	11561275												
CORRESPONDENCE DATA													
Fax Number: (310)785-4601 <i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>													
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ATTORNEY DOCKET NUMBER:	89188.0167												
NAME OF SUBMITTER:	Barry M. Shuman												
Total Attachments: 2 source=891880167Assignment#page1.tif													

CH \$40.00 11561275

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PATENT
REEL: 020104 FRAME: 0549

RECORDATION FORM COVER LETTER
PATENTS ONLY

Attorney Docket No.: 89188.0167

To the Assistant Commissioner for Patents: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Steven D. Goodman
Olga Kay

2. Name and address of receiving party(ies):

University of Southern California
USC Stevens
3740 S. McClintock Avenue
Hughes Center Suite EEB 131
Los Angeles, California 90089-2561

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Dates: October 1, 2007 and October 2, 2007

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 11/561,275

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Lawrence J. McClure, Esq.
HOGAN & HARTSON L.L.P.
1999 Avenue of the Stars, Suite 1400
Los Angeles, CA 90067

6. Total number of applications and patents involved: [1]

7. Total fee (37 CFR 3.41) \$40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number:

50-1314

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief the foregoing is true and correct and any attached copy is a true copy of the original document.


Barry M. Shuman

Date: November 13, 2007

OMB No. 0651-0011 (exp. 4/94)

Mail documents to be recorded with required cover sheet information to:

Mail Stop Assignment Recordation Services
Director of the U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Assignment - After - Joint

Attorney Docket No. 89188.0167
Application No. 11/561,275

ASSIGNMENT OF PATENT RIGHTS FOR THE UNITED STATES

OLGA
FOR VALUE RECEIVED, WE, Steven D. Goodman and Olga Kay, hereby sell, assign, transfer and convey unto
University of Southern California, a corporation of California
having a place of business at

University of Southern California
USC Stevens
Hughes Center Suite EEB 131
3740 McClintock Ave.
Los Angeles, CA 90089-2561

its successors, assigns and legal representatives (hereinafter called the "Assignee"), the entire right, title and interest, for the United States, in and to certain inventions relating to

METHOD OF INHIBITING BACTERIAL GROWTH AND BIOFILM FORMATION
WITH NATURAL QUORUM SENSING PEPTIDES

and described in an application for Letters Patent of the United States filed by us on November 17, 2006 and which has been accorded Application No. 11/561,275 and in and to said application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues and extensions thereof; and we hereby authorize and request the Commissioner for Patents and Trademarks of the United States to issue all Letters Patent upon said inventions to the Assignee or to such nominees as it may designate.

AND we authorize and empower the said Assignee or nominees to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from us.

AND we hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in the United States for any purpose and more particularly in proof of the right of said Assignee or nominees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it.

AND we hereby covenant that we have the full right to convey the entire right, title and interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND we hereby covenant and agree that we will communicate to said Assignee or nominees all facts known to us pertaining to said inventions, and testify in all legal proceedings, sign all lawful papers, execute all continuing and reissue applications, make all rightful oaths and declarations and in general perform all lawful acts necessary or proper to aid said Assignee or nominees in obtaining, maintaining and enforcing all lawful patent protection for said inventions in the United States.

By: Steven Goodman
ASSIGNOR1

Date: 10/2/07

By: O. Kay
ASSIGNOR2

Date: 10/01/2007

By: _____
ASSIGNOR3

Date: _____

By: _____
ASSIGNOR4

Date: _____

By: _____
ASSIGNOR5

Date: _____

By: _____
ASSIGNOR6

Date: _____