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11-15-2007

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

Form PTO-1595 (Rev. 08/05)
OMB No. 0651-0027 (exp. 6/30/2008)



103461174

Docket No: 07-1021

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)
Phillip A. Dennis

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)
Progress Rail Services Corporation
1600 Progress Drive
P.O. Box 1037
Albertville, Alabama 35955

Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance/Execution Date(s):
Execution Date(s) 10/29/2007
 Assignment Merger
 Security Agreement Change of Name
 Joint Research Agreement
 Government Interest Assignment
 Executive Order 9424, Confirmatory License
 Other _____

4. Application or patent number(s): This document is being filed together with a new application.
A. Patent Application No.(s)
11/556,845

Additional numbers attached? Yes No

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address to whom correspondence concerning document should be mailed:
Name: Andrew J. Ririe
Internal Address: Caterpillar Inc.
Intellectual Property Dept, AB6490
Street Address: 100 N. E. Adams Street

City: Peoria
State: IL Zip: 61629-6490
Phone Number: (309) 636-1974
Fax Number: (309) 675-1236
Email Address: _____

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00
 Authorized to be charged by credit card
 Authorized to be charged to deposit account
 Enclosed
 None required (government interest not affecting title)

8. Payment Information
a. Credit Card Last 4 Numbers _____
Expiration Date _____
b. Deposit Account Number 03-1129
Authorized User Name Andrew J. Ririe

9. Signature: [Signature] November 6, 2007
Signature Date
Andrew J. Ririe
Name of Person Signing
Total number of pages including cover sheet, attachments, and documents: 2

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

PATENT
REEL: 020122 FRAME: 0983

11/14/2007 11:14 AM
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46

PROGRESS RAIL SERVICES CORPORATION

NOV 13 AM 9:17

OFFICE OF PUBLIC RECORDS

ASSIGNMENT

WHEREAS, Phillip A. Dennis, residing at Wetumpka, Alabama, hereinafter referred to as ASSIGNOR, has invented a new and useful

SWING STOP FOR ROTARY CRANE

for which ASSIGNOR has made application for Letters Patent in the United States of America, such invention being described and identified by said application identified as Application No. 11/556,845, filed November 6, 2006; and

WHEREAS, Progress Rail Services Corporation, a corporation of the State of Alabama, having a correspondence address of 1600 Progress Drive, P.O. Box 1037, Albertville, Alabama 35950, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR;

1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to Progress Rail Services Corporation.

4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR'S heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNOR has executed this instrument.

Phillip A. Dennis
PHILLIP A. DENNIS

10-29-07
Date

IN WITNESS OF the execution hereof by PHILLIP A. DENNIS we have hereunto set our hands.

Anthony R. Loftis 10/29/07
Witness (1) Date

[Signature] 10/29/07
Witness (2) Date

Address: 564 KERINGTON LANE
PIKE Road, ALABAMA
36064

Address: 16 HIBISCUS DRIVE
MONTGOMERY
ALABAMA 36109

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

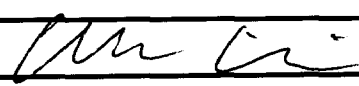
Application Number	11/556,845
Filing Date	11/6/2006
First Named Inventor	Phillip A. Dennis
Art Unit	3652
Examiner Name	TBD
Attorney Docket Number	07-1021

Total Number of Pages in This Submission

ENCLOSURES (Check all that apply)

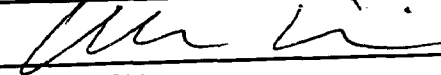
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
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<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
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<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts/ Incomplete Application	Remarks	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Caterpillar Inc.		
Signature			
Printed name	Andrew J. Ririe		
Date	November 6, 2007	Reg. No.	45,597

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature			
Typed or printed name	Andrew J. Ririe	Date	November 6, 2007

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PATENT**RECORDED: 11/13/2007****REEL: 020122 FRAME: 0985**