

11-19-2007 103462058

U.S. DEPARTMENT OF COMMERCE United States Patent and Trademark Office

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.		
1. Name of conveying party(ies)	2. Name and address of receiving party(ies)	
Ernest Feiler (9/29/2007)	Name: Surgical Pioneering,Inc.	
Warren Roh (10/4/2007) Eckehart Zimmermann (10/4/2007)	Internal Address:	
Additional name(s) of conveying party(ies) attached? Yes No	Street Address: 2289 Eagles Nest Drive	
3. Nature of conveyance/Execution Date(s):	Street Address. 2209 Eagles Nest Drive	
Execution Date(s)		
	City: Lafayette	
Security Agreement		
Joint Research Agreement	State: Colorado	
Government Interest Assignment	Country: United States of Amercia Zip: 80026-9334	
Executive Order 9424, Confirmatory License		
Other	Additional name(s) & address(es) attached? Yes No	
<del></del>	document is being filed together with a new application.	
A. Patent Application No.(s) 11/900.366	B. Patent No.(s)	
Filed 09/11/2007		
Additional numbers at	ached? ☐ Yes ✔ No	
Additional numbers at 5. Name and address to whom correspondence	ached?	
5. Name and address to whom correspondence	6. Total number of applications and patents	
5. Name and address to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved:  7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00	
5. Name and address to whom correspondence concerning document should be mailed:  Name: Law Office of Dale B. Halling, LLC	6. Total number of applications and patents involved:	
5. Name and address to whom correspondence concerning document should be mailed:  Name: Law Office of Dale B. Halling, LLC  Internal Address: Dale B. Halling	6. Total number of applications and patents involved:  7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00  Authorized to be charged by credit card	
5. Name and address to whom correspondence concerning document should be mailed:  Name: Law Office of Dale B. Halling, LLC  Internal Address: Dale B. Halling  Street Address: 655 Southpointe Court	6. Total number of applications and patents involved:  7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00  ✓ Authorized to be charged by credit card  Authorized to be charged to deposit account	
5. Name and address to whom correspondence concerning document should be mailed:  Name: Law Office of Dale B. Halling, LLC  Internal Address: Dale B. Halling  Street Address: 655 Southpointe Court Suite 100	6. Total number of applications and patents involved:  7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00  Authorized to be charged by credit card  Authorized to be charged to deposit account  Enclosed	
5. Name and address to whom correspondence concerning document should be mailed:  Name: Law Office of Dale B. Halling, LLC  Internal Address: Dale B. Halling  Street Address: 655 Southpointe Court Suite 100  City: Colorado Springs	6. Total number of applications and patents involved:  7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00  ✓ Authorized to be charged by credit card  Authorized to be charged to deposit account  ✓ Enclosed  None required (government interest not affecting title)  8. Payment Information	
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5. Name and address to whom correspondence concerning document should be mailed:  Name: Law Office of Dale B. Halling, LLC  Internal Address: Dale B. Halling  Street Address: 655 Southpointe Court Suite 100  City: Colorado Springs  State: Colorado Zip:80906	6. Total number of applications and patents involved:  7. Total fee (37 CFR 1.21(h) & 3.41) \$_40.00  ✓ Authorized to be charged by credit card  — Authorized to be charged to deposit account  ✓ Enclosed  — None required (government interest not affecting title)  8. Payment Information  a. Credit Card Last 4 Numbers 1001  Expiration Date 5/10  b. Deposit Account Number	
5. Name and address to whom correspondence concerning document should be mailed:  Name: Law Office of Dale B. Halling, LLC  Internal Address: Dale B. Halling  Street Address: 655 Southpointe Court Suite 100  City: Colorado Springs  State: Colorado Zip:80906  Phone Number: 719-447-1990	6. Total number of applications and patents involved:  7. Total fee (37 CFR 1.21(h) & 3.41) \$_40.00  ✓ Authorized to be charged by credit card  — Authorized to be charged to deposit account  ✓ Enclosed  — None required (government interest not affecting title)  8. Payment Information  a. Credit Card Last 4 Numbers 1001  Expiration Date 5/10	
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Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

## ASSIGNMENT

WHEREAS, We, Ernest Feiler, residing at 2289 Eagles Nest Drive Lafayette, Colorado, Warren Roh, residing at 450 White Tail Way, Monument, Colorado and Eckehart Zimmermann residing at 18005 Forest View Rd., Monument, Colorado, have invented the new and useful:

## Method and Apparatus For Providing Immediate Supplemental Blood Blow to an Organ

for which We have this day executed application papers for US Letters Patent thereon; and

WHEREAS, Surgical Pioneering, Inc., an Illinois corporation, is desirous of acquiring the entire and exclusive right, title and interest in and to the said invention and in and to any Letters Patent which may be granted and issued therefor in the United States and throughout the world;

NOW, THEREFORE, in consideration of the sum of ONE DOLLAR (\$1.00) to us hand paid, and other good and valuable considerations, the receipt and sufficiency of which are hereby acknowledged we, the said Ernest Feiler, Warren Roh and Eckehart Zimmermann, by these presents do hereby sell, assign, transfer and set over unto the said Surgical Pioneering, Inc., its successors, and assigns, the full and exclusive right, title and interest in and to the said invention, and in and to any Letters Patent which may be granted and issued in the United States and throughout the world therefor;

AND WE HEREBY AUTHORIZE AND REQUEST the Director of Patents and Trademarks to issue said United States Letters Patent to the said Surgical Pioneering, Inc.

IN WITNESS WHERE	OF, we have ex	ecuted this instrument at
(city) Lalauette (state)	Colorado	, this <u>29</u> day of <u>Subjectiver</u>
2007.		ecuted this instrument at , this <u>29</u> day of <u>Subtemb</u> er
'		
Carest In Jeila	7417)	

**Ernest Feiler** 

Printed name and address

Erie. Co 80546

Page 1 of 3

PATENT REEL: 020138 FRAME: 0637

IN WITNESS WHEREOF, we have executed (city) Monanent, (state), 2007.	
Eckehart Zimmermann	
	Witness Signature  Warren 5, Rely
	Printed name and address 450 White Tail Way Monument, CO 80132

IN WITNESS WHEREOF, we have exect	
(city) Moramayt, (state) CO	, this <u>4</u> day of <u>Oct</u>
, 2007.	
Wanen E. Kall	
Warren Roh	
	10/4/01
	Witness Signature Eckohart Emmangan
	Printed name
	and address
	Marian + Co Grill's

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PATENT REEL: 020138 FRAME: 0639