11-19-2007 11/02/2007 U.S. DEPARTMENT OF COMMERCE Form PTO-1595 (Rev. 07/05) OMB No. 0651-0027 (exp. 6/30/2008) United States Patent and Trademark Office 103461936 I AILII J UNLI To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below. 2. Name and address of receiving party(ies) 1. Name of conveying party(ies) Name: Solyndra, Inc. Benyamin Buller & Tim Leong Internal Address: Additional name(s) of conveying party(ies) attached? Yes 🗷 No 3. Nature of conveyance/Execution Date(s): Street Address: 47700 Kato Road Execution Date(s) June 15, 2007 **x** Assignment Merger City: Fremont Security Agreement Change of Name Joint Research Agreement State: California Government Interest Assignment Country: USA Zip:94538 Executive Order 9424, Confirmatory License Additional name(s) & address(es) attached? Yes X No 4. Application or patent number(s): This document is being filed together with a new application. A. Patent Application No.(s) B. Patent No.(s) 11/06/2007 HDEMESS1 00000037 11982612 06 FC:80al 40.00 OP Additional numbers attached? Yes X No 5. Name and address to whom correspondence 6. Total number of applications and patents concerning document should be mailed: involved: 1 Name: E. Randall Smith 7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00 Internal Address: Authorized to be charged by credit card Authorized to be charged to deposit account ✓ Enclosed Street Address: 2777 Allen Parkway; Suite 800 None required (government interest not affecting title) 8. Payment Information City: Houston a. Credit Card Last 4 Numbers \_ \_\_\_\_\_ Zip:77019 State: Texas Expiration Date \_ \_ Phone Number: (713) 528-3100 b. Deposit Account Number \_\_\_\_ Fax Number: (832) 217-2993 Authorized User Name \_\_\_

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

E. Randall Smith

Name of Person Signing

Email Address:

9. Signature:

PATENT

Total number of pages including cover

sheet, attachments, and documents:

November 2, 2007 Date

REEL: 020140 FRAME: 0610

## **ASSIGNMENT**

WHEREAS, WE, Benyamin Buller and Tim Leong, have invented certain new and useful apparatus and methods (collectively, the "Inventions") for which a provisional United States Patent application entitled APPARATUS & METHODS FOR CONNECTING MULTIPLE PHOTOVOLTAIC MODULES was filed under Serial No. 60/861,162 on November 27, 2006, (the "Application"); and

WHEREAS, SOLYNDRA, INC., a corporation of Delaware, having its principal place of business located at 47700 Kato Road, Fremont, California 94538, is desirous of acquiring the entire right, title and interest in and to the aforesaid Inventions and Application, including the right to file additional patent applications in the United States and patent applications outside the United States (which shall include filings under the provisions of any convention or treaty);

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) each and for other good and valuable consideration, the receipt of which is hereby acknowledged, we hereby sell, assign, transfer and set over to SOLYNDRA, INC., all right, title and interest in and to said Inventions throughout the world, and said Application, and any and all regular and other patent applications, divisions, continuations, continuations-in-part and reissues thereof or claiming priority thereto, and any and all patents of the United States and foreign countries which may be granted therefor, the same to be held and enjoyed by SOLYNDRA, INC. for its own use and benefit, and for the use and benefit of its successors, assigns, or other legal representatives, to the end of the term or terms for which said patents of the United States or foreign countries are or may be granted or reissued, as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made.

And we hereby authorize and request the Commissioner of Patents and Trademarks and other authorities in foreign countries to issue any and all patents on said Inventions or resulting from said Application and from any and all regular and other patent applications, divisions, continuations, continuations-in-part, and reissues thereof or claiming priority thereto, to SOLYNDRA, INC., as assignee of our entire interest, and hereby covenant that we have the full right to convey the entire interest herein assigned, and that we have not executed and will not execute any agreement in conflict herewith.

And we further hereby covenant and agree that we will, at any time, upon request, execute and deliver any and all papers that may be necessary or desirable to perfect the title of said Inventions and patents as may be granted therefor, to SOLYNDRA, INC., its successors, assigns, or other legal representatives and that if SOLYNDRA, INC., its successors, assigns or other legal representatives shall desire to file any regular, divisional, continuation, continuation-in-part applications or other patent applications relating or claiming priority thereto or to secure a reissue of such patents, or to file a disclaimer relating thereto, we will upon request, sign all papers, make all rightful oaths and do all lawful acts requisite for the filing of such applications,

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and the procuring thereof, and for the filing of such disclaimer, without further compensation but at the expense of said assignee, its successors or other legal representatives.

And we do further covenant and agree that we will, at any time upon request, communicate to SOLYNDRA, INC., its successors, assigns or other legal representatives, such facts relating to said Inventions and patents or the file history thereof as may be known to me, and testify as to the same in any interference or other litigation when requested so to do, without further compensation but at the expense of said assignee, its successors, or other legal representatives.

IN TESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our respective signatures.

Date 06/915 2007

BENYAMIN BULLER

L.S.

Date 6/15 , 2007

TIM LEGANG

COUNTY OF ALAMEDA

BEFORE ME, Susan L. Ross, personally appeared BENYAMIN BULLER, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal this 15th day of \_\_\_\_\_\_\_, 2007.

SUSAN L. ROSS
COMM #1642772
Motary Public California
SAN FRANCISCO COUNTY
Ny Comm. Exp. Feb 2; 2010

NOTARY PUBLIC IN AND FOR THE STATE OF CALIFORNIA

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## COUNTY OF Courts Clause

BEFORE ME, <u>Susan L. Ross</u>, personally appeared <u>TIM LEONG</u>, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

SUSAN L. ROSS
COMM #1642772
Motary Public-California
SAN FRANCISCO COUNTY
My Comm. Exp. Feb 2, 2010

NOTARY PUBLIC IN AND FOR THE STATE OF CALIFORNIA

**RECORDED: 11/02/2007**