U.S. PTO 11/979409 11/02/2007 REC



U.S. Department of Commerce **Patent and Trademark Office** CAT Ref.: 06-918 Attorney Docket No. 08350.6918-00000

103461912

To the Director of the U.S. Patent and Trademark Office: Mail Stop Assignment Recordation Service Please record the attached original documents or copy thereof. Name of conveying party(ies): 2. Name and address of receiving party(ies): (1) Anthony J. GRICHNIK, (2) Michael Lee TAYLOR, (3) Christos NIKOLOPOULOS, (4) James Robert MASON, Name: Caterpillar Inc. (5) Meredith Jaye CLER, and (6) Gabriel Carl HART Additional name(s) of conveying party(ies) attached? \(\pri\) Yes \(\pri\) No Internal Address: Intellectual Property Department 3. Nature of conveyance: Street Address: 100 N.E. Adams Street \boxtimes Assignment Merger City: Peoriă Change of Name Security Agreement State: Illinois 61629-6490 Zip Code: Joint Research Government Interest Agreement Assignment Additional name(s) & Address(es) attached? Executive Order 9494, Other: ☐ Yes ☑ No Confirmatory License **Execution Date:** (1) October 31, 2007; (2) October 30, 2007; (3) October 31, 2007; (4) October 31, 2007; (5) October 31, 2007; (6) October 31, 2007 Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date (4. the application: (1) October 31, 2007; (2) October 30, 2007; (3) October 31, 2007; (4) October 31, 2007; (5) October 31, 20 (6) October 31, 2007 A. Patent Application Number(s): B. Patent Number(s): Additional numbers attached? ☐ Yes ⊠ No 5. Name and address of party to whom correspondence 6. Total number of applications and patents involved concerning document should be mailed: one (1) Name: Nathan A. Sloan (202) 408-4000 7. Total fee (37 CFR 1.21(h) and 3.41): \$40 \boxtimes FINNEGAN, HENDERSON, FARABOW, GARRETT Enclosed (Please charge deficiency or credit Internal Address: overpayment to deposit account 06-0916) & DUNNER, L.L.P. Street Address: 901 New York Avenue, N.W. П Authorized to be charged to deposit account City: Washington, D.C. State: Zip: 20001-4413 8. Deposit Account No.: 06-0916 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the origin document. 11/05/2007 CNGUYEN2 00000081 119/9409 05 FC:8021 40.00 OP

> Nathan A. Sloan Registration No. 56,249

Signed:

Total number of pages including cover sheet, attachments and documents: five (21)

REEL: 020149 FRAME: 0001

November 2,

2007

Date

ASSIGNMENT

WHEREAS We, the below named inventors, [hereinafter referred to as Assignors], have made an invention entitled:

METHOD AND SYSTEM FOR REDUCING A DATA SET

for which We executed an application for United States Letters Patent concurrently herewith; and

WHEREAS, Caterpillar Inc., a corporation of Delaware, whose post office address is 100 N.E. Adams Street, Peoria, IL 61629-6490 (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention in all countries throughout the world, and in and to the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

NOW THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) in hand paid and other good and valuable consideration the receipt of which from Assignee is hereby acknowledged, We, as Assignors, have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, my/our entire right, title, and interest in and to this invention, provisional application Serial No. ______, filed ______ (if any), and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, and all rights to claim priority on the basis of the above provisional application (if any), as well as all rights to claim priority on the basis of this application, and all applications for Letters Patent which may hereafter be filed for this invention in any foreign country and all Letters Patent which may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof; and We hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent for this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, WE HEREBY covenant that We have the full right to convey the interest assigned by this Assignment, and We have not executed and will not execute any agreement in conflict with this Assignment;

AND, WE HEREBY further covenant and agree that We will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to me/us respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it-being understood-that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns.

IN TESTIMONY WHEREOF, We have hereunto set our hands.

1. FULL NAME OF SOLE OR FIRST ASSIGNOR Anthony J. GRICHNIK	ASSIGNOR'S SIGNATURE	31 OCT 97
3221 N. Sheridan Road, Peoria, IL 616	04	CITIZENSHIP U.S.A.

Page 1 of 4

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2. FULL NAME OF SECOND ASSIGNOR, IF ANY	ASSIGNOR'S SIGNATURE	DATE
2. FULL NAME OF SECOND ASSIGNOR, IF ANY Michael Lee TAYLOR		
ADDRESS 120 Maple Ridge Drive, Morton, IL 615	550	CITIZENSHIP U.S.A.
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3. FULL NAME OF THIRD ASSIGNOR, IF ANY Christos NIKOLOPOULOS	ASSIGNOR'S SIGNATURE	DATE
ADDRESS 126 S. Columbus Street, North Pekin, IL 61554		CITIZENSHIP U.S.A.
1. WITNESS NAME	WITNESS SIGNATURE	DATE
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2. WITNESS NAME	WITNESS SIGNATURE	DATE
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4. FULL NAME OF FOURTH ASSIGNOR, IF ANY James Robert MASON	ASSIGNOR'S SIGNATURE Musica	DATE /31/07
ADDRESS 3400 N Knoxville Ave, Apt A5 Peoria, I		U.S.A.
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5. FULL NAME OF FIFTH ASSIGNOR, IF ANY Meredith Jaye CLER	ASSIGNOR'S SIGNATURE	DATE
ADDRESS 414 W. Elizabeth Ave #3B, Peoria, IL 61606		CITIZENSHIP U.S.A.
1. WITNESS NAME	WITNESS SIGNATURE	DATE
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6. FULL NAME OF SIXTH ASSIGNOR, IF ANY Gabriel Carl HART	ASSIGNOR'S SIGNATURE	DATE
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ADDRESS 3221 N. Sheridan Road, Peoria, IL 61604		CITIZENSHIP U.S.A.

Page 1 of 4

1. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP
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ADORESS 120 Maple Ridge Drive, Morton, IL 61550		CITIZENSHIP U.S.A.
1. WITNESS NAME	WITNESS SIGNATURE	DATE
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Page 2 of 4

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3. FULL NAME OF THIRD ASSIGNOR, IF ANY Christos NIKOLOPOULOS	ASSIGNOR'S SIGNATURE	DATE 10/31/07
126 S. Columbus Street, North Pekin, IL 61554		CITIZENSHIP U.S.A.
6450 N. Live Oak Ct.		
Pecvia, IL 61615		
1. WITNESS NAME Monica McGill	WITNESS SIGNATURE Mércica McGill	DATE 10/31/07
ADDRESS 336 N. 3rd Ave, M	U	CITIZENSHIP
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2. WITNESS NAME Meredith A Houghton ADDRESS	Justiness signature Huralitan	DATE /0-31-07
ADDRESS 502 E. Thrus 4 Peovie	: 1L 61603	CITIZENSHIP U.S.FA
4. FULL NAME OF FOURTH ASSIGNOR, IF ANY James Robert MASON	ASSIGNOR'S SIGNATURE	DATE
ADDRESS 3400 N Knoxville Ave, Apt A5 Peoria, II	L 61603	CITIZENSHIP U.S.A.
1. WITNESS NAME	WITNESS SIGNATURE	DATE
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2. WITNESS NAME	WITNESS SIGNATURE	DATE
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Page 3 of 4

PATENT

5. FULL NAME OF FIFTH ASSIGNOR, IF ANY Meredith Jaye CLER	ASSIGNOR'S SIGNATURE	DATE
ADDRESS 414 W. Elizabeth Ave #3B, Peoria, IL 61606		CITIZENSHIP U.S.A.
		
1. WITNESS NAME	WITNESS SIGNATURE	DATE
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2, WITNESS NAME	WITNESS SIGNATURE	DATE
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6. FULL NAME OF SIXTH ASSIGNOR, IF ANY Gabriel Carl HART	ASSIGNOR'S SIGNATURE	DATE
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ASSIGNOR'S SIGNATURE	DATE
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ASSIGNOR'S SIGNATURE	DATE
4. FULL NAME OF FOURTH ASSIGNOR, IF ANY James Robert MASON ADDRESS 3400 N Knoxville Ave, Apt A5 Peoria, IL 61603	
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Page 3 of 4

6. FULL NAME OF FIFTH ASSIGNOR, IF ANY Meredith Jaye CLER	ASSIGNOR'S SIGNATURE	DATE
ADDRESS 414 W. Elizabeth Ave #3B, Peoria, IL 61606		CITIZENSHIP U.S.A.
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ADDRESS 3221 N. Sheridan Road, Peoria, IL 6160)4	CITIZENSHIP U.S.A.

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2. FULL NAME OF SECOND ASSIGNOR, IF ANY MICHAEL LEE TAYLOR	ASSIGNOR'S SIGNATURE	DATE
ADDRESS 120 Maple Ridge Drive, Morton, IL 615	ADDRESS 120 Maple Ridge Drive, Morton, IL 61550	
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ADDRESS 414 W. Elizabeth Ave #3B, Peoria, IL 61606		CITIZENSHIP U.S.A.
1. WITNESS NAME NAUSHEEN AHMAD	WITNESS SIGNATURE Alman	DATE 10 31 17 }-
ADDRESS 2325 WI CHASE DR	DUNLAP, 11-61525	CITIZENSHIP TNDIA
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2. WITNESS NAME Frankic Mohr ADDRESS 305 Cirruit Ct. East of	WITNESS SIGNATURE MAN	DATE 10/31/07
305 Wirely Ct. East	Forma, T.L. 61611	CITIZENSHIP
6, FULL NAME OF SIXTH ASSIGNOR, IF ANY Gabriel Carl HART	ASSIGNOR'S SIGNATURE	DATE
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ADDRESS		CITIZENSHIP
6. FULL NAME OF SIXTH ASSIGNOR, IF ANY Gabriel Carl HART	ASSIGNOR'S SIGNATURE	DATE : 10/31/07
126 S. Columbus Street, North Pekin, IL 61554		CITIZENSHIP U.S.A.
1. WITNESS NAME Marc D Shapiro	WITNESS SIGNATURE Marin	DATE 10/31/47
ADDRESS 505 Kaskaskia Rd, Marquette Heights IL 61594		CITIZENSHIP
		<u> </u>
2. WITNESS NAME MICHELE BARBIEUR	LWITNESS SIGNATURE K. Barbieur	DATE 10/31/07
MICHELE BARBIEUR ADDRESS 105 CLAYTON CT EAST	PEORIA IL COLLOLI	CITIZENSHIP USA

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RECORDED: 11/02/2007

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