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ments or the new address(es) below.

To the Director of the U.S. Patent and Trade

**1. Name of conveying party(ies)**

Bhaumik H. Shah

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) November 9, 2007

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other

**2. Name and address of receiving party(ies)**

Name: Sherex Industries, Ltd.

Internal Address: \_\_\_\_\_

Street Address: 400 Riverwalk Parkway, Suite 600

City: Tonawanda

State: New York

Country: USA Zip: 14150

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**4. Application or patent number(s):**

☒ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Peter K. Sommer, Esq.

Internal Address: Phillips Lytle LLP

Intellectual Property Group

Street Address: 3400 HSBC Center

City: Buffalo

State: New York Zip: 14203

Phone Number: (716) 847-8400

Fax Number: (716) 852-6100

Email Address: psommer@phillipslytle.com

**6. Total number of applications and patents involved: 1**

**7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00**

- ☐ Authorized to be charged by credit card  
☐ Authorized to be charged to deposit account  
☒ Enclosed  
☐ None required (government interest not affecting title)

**8. Payment Information**

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number 00000000000000000000

Authorized User Name \_\_\_\_\_ 40.00 00

**9. Signature:**

Signature

November 13, 2007

Date

Peter K. Sommer, Esq.

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

2

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

PATENT  
REEL: 020155 FRAME: 0189

## **ASSIGNMENT**

WHEREAS, I, BHAUMIK H. SHAH, of 4725 Chestnut Ridge Road, Apt. A3, Amherst, New York 14228, have invented certain new and useful improvements in a TWO-PIECE EXPANDABLE SEALING PLUG, for which I am about to make application for Letters Patent of the United States; and

WHEREAS, SHEREX INDUSTRIES, LTD., a New York corporation, of 400 Riverwalk Parkway, Suite 600, Tonawanda, New York 14150, is desirous of acquiring an interest in the said invention and application, and in any Letters Patent that may be granted therefor:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other good and valuable consideration to me in hand paid, the receipt whereof is hereby acknowledged, I have assigned, sold and set over, and do hereby assign, sell and set over, unto the said SHEREX INDUSTRIES, LTD., the full and exclusive right, title and interest in and to the said invention and application for the United States and all foreign countries, as fully set forth and described in the specification prepared and executed by me on the 9<sup>TH</sup> day of NOVEMBER, 2007, preparatory to obtaining Letters Patent therefor; said invention, application, and Letters Patent to be held and enjoyed by the said SHEREX INDUSTRIES, LTD., for its own use and behoof and for its successors and assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by me had this assignment not been made.

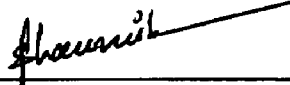
AND I do hereby authorize and request the Commissioner of Patents and Trademarks to issue the said Letters Patent to the said SHEREX INDUSTRIES, LTD., as the assignee of the entire right, title and interest thereto.

AND I agree to sign and properly execute such necessary and lawful papers for filing continuations and divisions of said application for patent, for interference or re-examination proceedings, for obtaining any reissue or reissues of any Letters Patent that may be granted for my aforesaid invention, as the assignee thereof shall hereafter require and prepare at its own expense.

And I do further understand that in executing this document, I am transferring my entire interest in and to said invention and application(s) to the assignee hereof, and that the attorney(s) that I have appointed in said application(s) also represent the assignee

and any co-inventor(s) identified in said application(s). I consent to such multiple representation for the purpose of prosecuting said application(s), and do hereby authorize said attorney(s) to take all future instructions from the assignee. I am unaware of any potential interest that I may have that differs from, or is adverse to, that of the assignee with respect to the prosecution of this application(s), and I further acknowledge that, prior to executing this document, I did not seek or obtain any legal advice from said attorney(s) with respect to this assignment and that I have been informed of my right to seek independent counsel of my own choosing and at my own personal expense.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed my seal this 9<sup>th</sup> day of NOVEMBER, 2007.

  
\_\_\_\_\_  
Bhaumik H. Shah L.S.

STATE OF NEW YORK     )  
                                      ) SS:  
COUNTY OF ERIE         )

On this 9<sup>th</sup> day of November, 2007, before me, the subscriber, personally appeared, BHAUMIK H. SHAH, to me known and known to me to be the person described in and who executed the within instrument, and he acknowledged to me that he executed the same for the purposes therein mentioned.

  
\_\_\_\_\_  
Notary Public

Notarial Seal  
Doc # 01-1701126.1

JAMES G. GYALOG  
No. 01GY5026318  
Notary Public, State of New York  
Qualified in Erie County  
My Commission Expires April 18, 2010

