

Client/Matter No. 13313/7 (FF 40831/07)

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below:

1. Name of conveying Party(ies)/Execution Date:

Houyuan Liu
Damien Gary Ignatius Krebs

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and Address of receiving Party(ies):

BHP Billiton SSM Technology Pty Ltd.
Level 33, Central Park,
152-158 St. Georges Terrace,
Perth, Western Australia 6000
Australia

Additional name(s) and addresses attached? ☐ Yes ☐ No

3. Nature of Conveyance:

Execution Date(s): **Inventor Liu:** September 7, 2007; **Inventor Krebs:** November 14, 2007

- | | | |
|---------------------------------------------------------|-----------------------------------------|---------------------------------------------------------------------|
| <input checked="" type="checkbox"/> Assignment | <input type="checkbox"/> Change of Name | <input type="checkbox"/> Executive Order 9424, Confirmatory License |
| <input type="checkbox"/> Security Agreement | <input type="checkbox"/> Merger | <input type="checkbox"/> Joint Research Agreement |
| <input type="checkbox"/> Government Interest Assignment | <input type="checkbox"/> Other | |

4. Application or patent number(s).

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

U.S. Serial No. 11/838,307 filed August 14, 2007

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, IL 60610
(312) 321-4200
(312) 321-4299 Fax

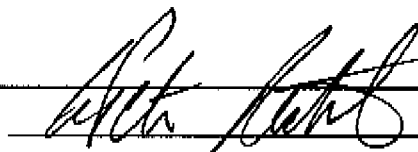
6. Total number of applications and patents involved: 17. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card.
☒ Authorized to be charged to Deposit Account No. 23-1925.
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information:

- a. ☐ Credit Card: Last 4 Numbers
Expiration Date
- b. ☒ Charge fee and/or any Deficiencies to Deposit
 Account Number: 23-1925
 Authorized User Name: Brinks Hofer Gilson & Lione

9. Signature:


Date: November 26, 2007

G. Peter Nichols
Name of Person Signing

Total number of pages including cover
sheet, attachments, and documents: 5

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

CH \$40.00 231925 11838307

Case No. 13313/7 (FF40831/07)

ASSIGNMENT

WHEREAS, Houyuan Liu and Damien Gary Ignatius Krebs, hereinafter called the "Assignors", have made the invention described in the United States patent application entitled Process for Enhanced Acid Leaching of Laterite Ores, for a full description of which reference is here made to an application for Letters Patent of the United States filed on August 14, 2007 and assigned Application Serial No. 11/838,307;

WHEREAS, BHP Billiton SSM Technology Pty Ltd., a company organized and existing under the laws of Australia, having a place of business at Level 33, Central Park, 152-158 St. Georges Terrace, Perth, Western Australia 6000, Australia, hereinafter called the "Assignee", desires to acquire the entire right, title and interest in and to the invention and the patent application identified above, and all patents which may be obtained for said invention, as set forth below;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other valuable and legally sufficient consideration, the receipt of which by the Assignors from the Assignee is hereby acknowledged, the Assignors have sold, assigned and transferred, and by these presents do sell, assign and transfer to the Assignee, the entire right, title and interest for the United States in and to the invention and the patent application identified above, and any patents that may issue for said invention in the United States; together with the entire right, title and interest in and to said invention and all patent applications and patents therefor in all countries foreign to the United States, including the full right to claim for any such application all benefits and priority rights under any applicable convention; together with the entire right, title and interest in and to all continuations, divisions, renewals and extensions of any of the patent applications and patents defined above; together with the right to recover all damages, including, but not limited to, a reasonable royalty, by reason of past, present, or future infringement or any other violation of patent or patent application rights; to have and to hold for the sole and exclusive use and benefit of the Assignee, its successors and assigns, to the full end of the term or terms for all such patents.

The Assignors hereby covenant and agree, for both the Assignors and the Assignors' legal representatives, that the Assignors will assist the Assignee in the

13313/7 (FF40831/07)

prosecution of the patent application identified above; in the making and prosecution of any other patent applications that the Assignee may elect to make covering the invention identified above; in vesting in the Assignee like exclusive title in and to all such other patent applications and patents; and in the prosecution of any interference which may arise involving said invention, or any such patent application or patent; and that the Assignors will execute and deliver to the Assignee any and all additional papers which may be requested by the Assignee to carry out the terms of this Assignment.

The Commissioner of Patents and Trademarks is hereby authorized and requested to issue patents to the Assignee in accordance with the terms of this Assignment.

IN TESTIMONY WHEREOF, the Assignors have executed this agreement.

DATE:

2 September 2007Houyuan Liu
Houyuan Liu

WITNESSED:

DATE:

07/09/2007Haipeng Wang
Haipeng Wang

DATE:

7th September 2007CHAD C. ZERNY
CHAD C. ZERNY

DATE:

Damien Gary Ignatius Krebs
Damien Gary Ignatius Krebs

WITNESSED:

DATE:

DATE:

Case No. 13313/7 (FF40831/07)

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PATENT

REEL: 020155 FRAME: 0522

13313/7 (FF40831/07)

prosecution of the patent application identified above; in the making and prosecution of any other patent applications that the Assignee may elect to make covering the invention identified above; in vesting in the Assignee like exclusive title in and to all such other patent applications and patents; and in the prosecution of any interference which may arise involving said invention, or any such patent application or patent; and that the Assignors will execute and deliver to the Assignee any and all additional papers which may be requested by the Assignee to carry out the terms of this Assignment.

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IN TESTIMONY WHEREOF, the Assignors have executed this agreement.

DATE:

Houyuan Liu

WITNESSED:

DATE:

DATE:

DATE:

14/11/2007

Damien Gary Ignatius Krebs

WITNESSED:

DATE:

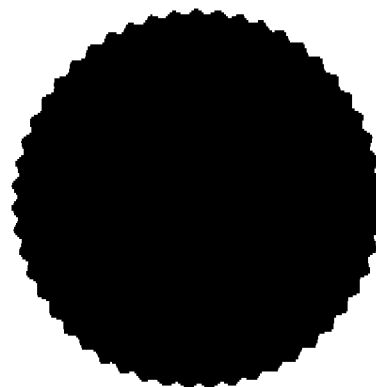
DATE:

14/11/2007

Bruce Stevenson Esq.

Public Notary

A legal practitioner who has held a practice certificate for at least 2 years and who holds a current practice certificate



FACSIMILE COVER SHEET

Date: November 26, 2007
To: Mail Stop Assignment Recordation Services
United States Patent Office

Fax No: 571.273.0140

From: G. Peter Nichols, Registration No. 34,401
Tel. No: 312.321.4276

Client No: 13313/7

No. of Pages
(inc. this page):

7

Confirmation Copy To Follow: NO

IF YOU HAVE ANY PROBLEMS RECEIVING THIS MESSAGE,
PLEASE CALL 312-321-4200 AND ASK FOR: Alexandra Manolas - Ext. 4346

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COVER MESSAGE:

In re Application of:

Liu et al.

Serial No: 11/838,307

Filed: August 14, 2007

For: Process for Enhanced Acid Leaching of
Laterite Ores

Examiner: unknown

Group Art Unit: 1754

Confirmation No. 6511

BRINKS
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GILSON
& LIONE
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Law Worldwide

CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence, totaling 6 pages including recited attachments, is being facsimile transmitted to the United States Patent and Trademark Office at facsimile no.: 571-273-0140 (Assignment Documents) on the below date:

Date: November 28, 2007 Name: G. Peter Nichols

Signature: 

**BRINKS
HOFER
GILSON
& LIONE**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Liu et al.

Examiner: unknown

Serial No: 11/838,307

Group Art Unit: 1754

Filed: August 14, 2007

Confirmation No. 6511

For: Process for Enhanced Acid Leaching of
Laterite Ores

Mail Stop Assignment Recordation Services
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL
Attached Is/are:

- ☒ Assignment with Recordation Cover Sheet
☐ Return Receipt Postcard

Fee calculation:

- ☐ No additional fee is required.
☐ Small Entity.
☐ An extension fee in an amount of \$_____ for a _____-month extension of time under 37 C.F.R. § 1.136(a).
☐ A petition or processing fee in an amount of \$_____ under 37 C.F.R. § 1.17(____).
☐ An additional filing fee has been calculated as shown below:

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Small Entity		or	Not a Small Entity	
					Rate	Add'l Fee		Rate	Add'l Fee
Total		Minus			x \$25=			x \$50=	
Indep.		Minus			x 100=			x \$200=	
First Presentation of Multiple Dep. Claim					+\$180=			+\$360=	
					Total	\$		Total	\$

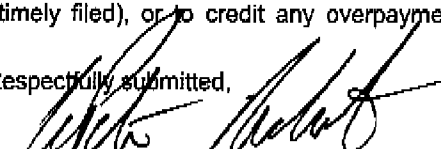
Fee payment:

- ☐ A check in the amount of \$_____ is enclosed.
☐ Please charge Deposit Account No. 23-1925 in the amount of \$_____. A copy of this Transmittal is enclosed for this purpose.
☐ Payment by credit card in the amount of \$_____ (Form PTO-2038 is attached).
☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

November 26, 2007

Date


G. Peter Nichols (Reg. No. 34,401)