

# PATENT ASSIGNMENT

Electronic Version v1.1

Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT															
NATURE OF CONVEYANCE:	ASSIGNMENT															
CONVEYING PARTY DATA																
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 70%;">Name</th> <th style="width: 30%;">Execution Date</th> </tr> </thead> <tbody> <tr> <td>Abhilash Vijay Sonwane</td> <td>07/02/2007</td> </tr> <tr> <td>Jimit Hareshkumar Mahadevia</td> <td>07/02/2007</td> </tr> <tr> <td>Sarfraz Mohammedhanif Malek</td> <td>07/02/2007</td> </tr> <tr> <td>Sumit Pandya</td> <td>07/02/2007</td> </tr> <tr> <td>Nishit Shantibhai Shah</td> <td>07/02/2007</td> </tr> <tr> <td>Rajesh Hardasbhai Modhwadiya</td> <td>07/02/2007</td> </tr> </tbody> </table>			Name	Execution Date	Abhilash Vijay Sonwane	07/02/2007	Jimit Hareshkumar Mahadevia	07/02/2007	Sarfraz Mohammedhanif Malek	07/02/2007	Sumit Pandya	07/02/2007	Nishit Shantibhai Shah	07/02/2007	Rajesh Hardasbhai Modhwadiya	07/02/2007
Name	Execution Date															
Abhilash Vijay Sonwane	07/02/2007															
Jimit Hareshkumar Mahadevia	07/02/2007															
Sarfraz Mohammedhanif Malek	07/02/2007															
Sumit Pandya	07/02/2007															
Nishit Shantibhai Shah	07/02/2007															
Rajesh Hardasbhai Modhwadiya	07/02/2007															
RECEIVING PARTY DATA																
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 20%;">Name:</td> <td>Elitecore Technologies Limited</td> </tr> <tr> <td>Street Address:</td> <td>904, Silicon Tower</td> </tr> <tr> <td>Internal Address:</td> <td>Ahmedabad-380 006</td> </tr> <tr> <td>City:</td> <td>Gujarat</td> </tr> <tr> <td>State/Country:</td> <td>INDIA</td> </tr> </table>			Name:	Elitecore Technologies Limited	Street Address:	904, Silicon Tower	Internal Address:	Ahmedabad-380 006	City:	Gujarat	State/Country:	INDIA				
Name:	Elitecore Technologies Limited															
Street Address:	904, Silicon Tower															
Internal Address:	Ahmedabad-380 006															
City:	Gujarat															
State/Country:	INDIA															
PROPERTY NUMBERS Total: 2																
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 30%;">Property Type</th> <th style="width: 70%;">Number</th> </tr> </thead> <tbody> <tr> <td>Application Number:</td> <td>11915607</td> </tr> <tr> <td>PCT Number:</td> <td>IN0700035</td> </tr> </tbody> </table>			Property Type	Number	Application Number:	11915607	PCT Number:	IN0700035								
Property Type	Number															
Application Number:	11915607															
PCT Number:	IN0700035															
CORRESPONDENCE DATA																
<p>Fax Number: (973)422-6423</p> <p><i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i></p> <p>Phone: 973-597-2500</p> <p>Email: sraison@lowenstein.com</p> <p>Correspondent Name: Docket Administrator</p> <p>Address Line 1: 65 Livingston Avenue</p> <p>Address Line 2: Lowenstein Sandler PC</p> <p>Address Line 4: Roseland, NEW JERSEY 07068</p>																
ATTORNEY DOCKET NUMBER:	17510-3															

**PATENT**

**500405775**

**REEL: 020158 FRAME: 0947**

CH \$80.00 11915607

NAME OF SUBMITTER:

Daniel D. Sierchio

Total Attachments: 4

source=Assignment#page1.tif

source=Assignment#page2.tif

source=Assignment#page3.tif

source=Assignment#page4.tif

**PATENT**

**REEL: 020158 FRAME: 0948**

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Abhilash Vijay Sonwane et al.Application No./Patent No.: N/A Filed/Issue Date: N/AEntitled: Identity and Policy-Based Network Security System and MethodElitecore Technologies Limited, a Company

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest  
(The extent (by percentage) of its ownership interest is %)

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

**OR**

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Abhilash Sonwane et al. To: Elitecore Technologies Limited  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.



Signature

Nov 5<sup>th</sup>, 2007

Date

Hemal Patel

Printed or Typed Name

+12013413879

Telephone Number

Managing Director and CEO

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

1007

MUTAM NAGRIK SANKARI BANK LTD.  
(ANY SAVED BRANCH)  
AHMEDABAD



भारत  
STAMP DUTY  
00000

GUJARAT  
SPECIAL ADHESIVE

6839 7327201

DEED OF ASSIGNMENT No. 0000100 - 2.7.2007

THIS DEED OF ASSIGNMENT made at Ahmedabad this 02nd day of



July 2007 BETWEEN (1) Mr. Abhilash Vijay Sonwane, residing at Plot No. 155/1, Sector 1B, Gandhinagar - 382007, Gujarat, India, (2) Mr. Jimit Hareshkumar Mahadevia, residing at G-1, Radhesh Apartments, Near Udgam School, Thaltej, Ahmedabad - 380054, Gujarat, India, (3) Mr. Sarfaraz Mohammedhanif Malek, residing at 21, Union Park, Nr. Vishala Circle, Sarkhej Road, Ahmedabad - 380056, Gujarat, India, (4) Mr. Sumit Pandya S/o Narendra Kumar Pandya, residing at F-101, Saubhagya Apartments, Sola Over Bridge, Thaltej, Ahmedabad - 380054, Gujarat, India, (5) Mr. Nishit Shantibhai Shah, 21/246, Raghukul Apartment, Sola Road, Naranpura, Ahmedabad - 380063, Gujarat, India, and (6) Mr. Rajesh Hardasbhai Modhwadiya, residing at B-5, Afrit Apartment, Near Renuka Hall, Nehru Park, Vastrapur, Ahmedabad, Gujarat, India (hereinafter referred to individually as inventor or co-inventor and collectively as Inventors)- all Indian nationals and employees of Elitecore Technologies Limited of 904, Silicon Tower, Behind Pariseema Building, Off C.G. Road, Ahmedabad-380 006, Gujarat, India, hereinafter referred to as the ASSIGNORS (which expression shall include their successors and assigns) of the ONE PART and Elitecore Technologies Limited, an Indian company having its registered office at 904, Silicon Tower, Behind Pariseema Building, Off C.G. Road, Ahmedabad-380 006, Gujarat, India, hereinafter referred to as the ASSIGNEES (which expression shall include its successors and assigns) of the OTHER PART

WHEREAS

- a. The Assignors have conceptualised, invented and developed and are thus Inventors in respect of an invention titled "IDENTITY AND POLICY-BASED NETWORK SECURITY AND MANAGEMENT SYSTEM AND METHOD" for a system and method for providing security for a network connecting a source and a destination. The system and method provide a security and management system between the source and the destination which is configured to apply rules and policies which are specific to the user to the connection between the source and the destination. The user-specific policies are used to govern the security and management of each packet transmitted and received via the connection.

Sit  
Sharma  
JMD  
A/S  
D/S  
D/S  
M



The Assignors are Information Technology/Software professionals in the full time employment of the Assignees;

- c. The further work on the invention has been carried out by the Assignors in their capacity as employees of the Assignees and with the consent and under the guidance & directions of the Assignees. The Assignees are thus co-inventors of the invention in so far as the further work is concerned;
- d. The Assignors are bound by their terms and conditions of employment with the Assignees to assign and transfer to the Assignees their entire right, title and interest in and to the said invention;
- e. The Assignees are desirous of acquiring unequivocally the entire right, title and interest of the Assignors in and to the said invention;
- f. The Assignors are agreeable to assign their entire right, title and interest in and to the said invention to the Assignees;

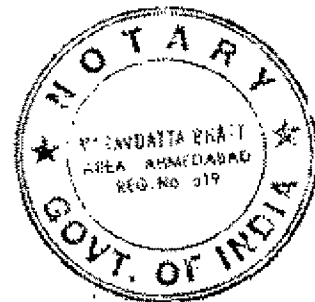
NOW THIS DEED WITNESSETH AS FOLLOWS :

That in consideration of the premises and in consideration of Rs 100/- paid by the Assignees to the Assignors the receipt and sufficiency of which is hereby duly acknowledged by the Assignors, the Assignors hereby assign and convey their entire right, title and interest in and to the said invention including the right to apply for and obtain and own patent in India and all the other countries outside India unto the Assignees to be held by the Assignees wholly and absolutely.

IN WITNESS WHEREOF the Assignors and the Assignees have executed these presents the day and year first above written.

PATENT

REEL: 020158 FRAME: 0951



SIGNED AND DELIVERED BY )

THE ASSIGNORS )

1) Abhilash Vijay Sonwane )

*A.V. Sonwane*

2) Jimit Hareshkumar Mahadevia )

*J.H. Mahadevia*

3) Sarfaraz Mohammedhanif Malek )

*S.H. Malek*

4) Sumit Pandya )

*Sumit Pandya*

5) Nishit Shantibhai Shah )

*Nishit Shah*

6) Rajesh Hardasbhai Modhwadiya )

*Rajesh Modhwadiya*

in the presence of )

Witnesses

1) *[Signature]*

(Name and signature)

SAURABH DASH

2) *[Signature]*

(Name and signature)

Rajesh R. Salunka

SIGNED AND DELIVERED BY for )

and on behalf of ASSIGNEES )

ELITECORE TECHNOLOGIES LTD.)

in the presence of )

Witnesses

1) *[Signature]*  
Venkateswarlu Gadipudi

2) *[Signature]*

Ashish Karanji

*[Signature]*  
(KAKESH MISTRY)

CHIEF FINANCIAL OFFICER

Sr.No. 2669 / 2003

ATTESTED  
BEFORE ME  
On 11th July 2007.

*[Signature]*  
NOTARY  
CENTRAL GOVERNMENT

