## **CENTRAL FAX CENTER**

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Form <b>PTO-1595</b> (Rev. 07/05)	23 - 2007 U.S. DEPARTMENT OF COMMERCE
DMR No 0661-0027 (ava 6/30/2008)	Inited States Patent and Trademark Office
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To the Director of the U.S. Patent and Trademark Office: Plea	ase record the attached documents or the new address(es) below.
1. Name of conveying party(ies)	2. Name and address of receiving party(ies)
GRAFF, Richard A.; MCKEVITT, James M.	Name: Ross/Graff Holdings
	Internal Address: Suite 1616
Additional name(s) of conveying party(ies) attached? Yes 🗸 No	
3. Nature of conveyance/Execution Date(s):	Street Address: 400 North Michigan Ave.
Execution Date(s) July 3, 2007	
✓ Assignment Merger	
Security Agreement Change of Name	City: Chicago
Joint Research Agreement	State: IL
Government Interest Assignment	
Executive Order 9424, Confirmatory License	Country: USA Zip: 60611
Other	Additional name(s) & address(es) attached? Yes V No
	s document is being filed together with a new application.
A. Patent Application No.(s)	B. Patent No.(s)
11/825,503	
	attached? Yes No
5. Name and address to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved: 1
Name:Peter K. Trzyna, Esq.	
1401110:1-010110	7 T-4-15 - (07 DED 4 04/h) 9 2 44) 5 10 40
to be all a side and the control of	7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00
Internal Address: P. O. Box 7131	Authorized to be charged by credit card
	Authorized to be charged by credit card  Authorized to be charged to deposit account
	Authorized to be charged by credit card  Authorized to be charged to deposit account  Enclosed
Internal Address: P. O. Box 7131 Street Address:	Authorized to be charged by credit card  Authorized to be charged to deposit account  Enclosed  None required (government interest not affecting title)
	Authorized to be charged by credit card  Authorized to be charged to deposit account  Enclosed  None required (government interest not affecting title)  8. Payment Information
Street Address:	Authorized to be charged by credit card  Authorized to be charged to deposit account  Enclosed  None required (government interest not affecting title)  8. Payment Information  a: Credit Card Last 4 Numbers
Street Address:City: Chicago	Authorized to be charged by credit card  Authorized to be charged to deposit account  Enclosed  None required (government interest not affecting title)  8. Payment Information  a: Credit Card Last 4 Numbers  Expiration Date
Street Address:  City: Chicago  State: IL Zip: 60601  Phone Number: 312-240-0824	Authorized to be charged by credit card  Authorized to be charged to deposit account  Enclosed  None required (government interest not affecting title)  8. Payment Information  a. Credit Card Last 4 Numbers  Expiration Date  b. Deposit Account Number 50-0235
Street Address:  City: Chicago  State: IL Zip: 60601	Authorized to be charged by credit card  Authorized to be charged to deposit account  Enclosed  None required (government interest not affecting title)  8. Payment Information  a. Credit Card Last 4 Numbers Expiration Date  b. Deposit Account Number 50-0235  Authorized Wserl Natrice 100-0235  Authorized Wserl Natrice 100-0235
Street Address:  City: Chicago  State: IL Zip: 60601  Phone Number: 312-240-0824  Fax Number: 312-240-0825  Email Address: pkt-law@sbcglobal.act	Authorized to be charged by credit card  Authorized to be charged to deposit account  Enclosed  None required (government interest not affecting title)  8. Payment Information  a. Credit Card Last 4 Numbers  Expiration Date  b. Deposit Account Number 50-0235  Authorized Userl Natrocometric page 20072-506735  11825
Street Address:  City: Chicago  State: IL Zip: 60601  Phone Number: 312-240-0824  Fax Number: 312-240-0825  Email Address: pkt-lew@sbcglobal.es  9. Signature:	Authorized to be charged by credit card  Authorized to be charged to deposit account  Enclosed  None required (government interest not affecting title)  8. Payment Information  a. Credit Card Last 4 Numbers  Expiration Date  b. Deposit Account Number 50-0235  Authorized Userl National Information 11825
Street Address:  City: Chicago  State: IL Zip: 60601  Phone Number: 312-240-0824  Fax Number: 312-240-0825  Email Address: pkt-law@sbcglobal.act	Authorized to be charged by credit card  Authorized to be charged to deposit account  Enclosed  None required (government interest not affecting title)  8. Payment Information  a Credit Card Last 4 Numbers  Expiration Date  b. Deposit Account Number 50-0235  Authorized Userl Natrice Secret Natrice 2003 25 11825

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## ASSIGNMENT of Invention

WHEREAS, Richard A. Graff, having an address at 221 East Walton Place, Chicago, Illinois 60611, is named as the inventor of a provisional patent application titled Securitization System, filed December 5, 2006, and having serial number 60/872,993, and the undersigned is the inventor of the subject matter described in the above-referenced patent application.

WHEREAS, Richard A. Graff is adding to the provisional patent application to prepare and file a utility patent application, and has a draft of the utility patent application.

WHEREAS, Richard A. Graff, and the Assignes identified below, would appreciate confidential consideration and second-pair-of-eyes review of the draft of the utility petent application.

WHEREAS, James M. McKevitt, having an address at 6695 Bass Lake Boulevard, Pentwater, Michigan 49449, is agreeable to provide the confidential consideration and second-pair-of-eyes review, and to maintain confidentiality for each piece of information disclosed until that piece becomes publicly or lawfully accessible.

WHEREAS, with regard to the patent applications, Ross/Graff Holdings LLC (hereinafter, the "Assignee"), a corporation organized under the laws of the State of illinois, having an address at 400 N. Michigan Avenue, Suite 1816, Chicago, IL 60611, has an acknowledged right to the entire right, title, and interest therein, thereto, and thereunder. including, all petent rights and any and all patent applications and/or patents related thereto or claiming priority therefrom, including continuation-in-part applications and reissus applications.

NOW, THEREFORE, th consideration of ten dollars (\$10.00) in hand paid to me from Assignee, the receipt of which by me is hereby acknowledged. James M. McKevitt shall provide the confidential consideration and second-pair-of-eyes review, and shall maintain confidentiality for each piece of information disclosed until that piece becomes publicly or lawfully accessible:

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FURTHER, James M. MicKevill and Assignee agree that, if the consideration and second-pair-of-eyes review, or communications pursuant thereto, result in James M. McKevitt contributing anything inventive that is daimed in a resulting patent, then in consideration of good and valuable consideration, the sufficiency of which is accepted, it is hereby acknowledged that James M. McKevitt has sold, assigned, transferred, and set over, and by these presents do hereby sell, assign, transfer, and set over, unto the Assignee, its successors, and assigns, the entire right, title and interest in, to, and under any and all inventions, which may be disclosed or described in the above-identified utility patent application and patent, and any and all rights thereto, including any and all United States and foreign patents which may be granted pursuant thereto, and any and all United States and foreign applications, continuations, divisions, renewals, and substitutes thereof; and James M. McKevitt hereby authorizes and requests the Commissioner of Patenta and Trademarks of the United States to issue all Letters Patent for said invention to the Assignee its successors, and assigns, in accordance with the terms of this instrument,

AND James M. McKevitt hereby covenants:

full right to convey the entire interest assigned;

that James M. McKevitt has not executed, and will not execute, any agreement in conflict herewith; and

that James M. McKevitt will communicate to the Assignes, its successors, legal representatives, and assigns, any facts known respecting said invention, and testify in any legal proceeding, sign all lewful pepers, execute all related documents, make all rightful paths, and generally do everything possible to aid the assignee, its successors, legal representatives. and assigns, to obtain and enforce proper patent protection for said invention.

THIS ASSIGNMENT of Invention is the entire agreement as to its subject matter. If any portion of this Assignment of Invention is determined to be invalid, illegal, or unerflorceable under any present or future law by the final judgment of any court of competent

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jurisdiction, the remainder of this Assignment of Invention shall not be affected thereby. It is the intention of the undersigned that if any portion of this Assignment of Invention is determined to be invalid, a portion as similar in terms to such portion as is possible to be legal, valid, and enforceable shall be added in lieu thereof.

IN TESTIMONY WHEREOF, the undersigned hereunto have set hands and

seals on the day and year set opposite the signatures below.

Date:

James M. McKevitt

Residence and Post Office Address: 6695 Bass Lake Boulevard,

Pentwater, Michigan 49449

Citizenship:

Ross/Graff Holdings LLC

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**PATENT** 

## ADDENDUM TO ASSIGNMENT OF INVENTION

WHEREAS, James M. McKevitt, having an address at 6695 Bass Lake
Boulevard, Pentwater, Michigan 49448, has entered into the above-provided ASSIGNMENT of
Invention with Ross/Graff Holdings LLC (hereinafter, the "Assignee"), a corporation organized
under the laws of the State of Illinois, having an address at 400 N. Michigan Avenue, Suite 1616,
Chicago, IL 60611;

WHEREAS, the assignment references "other good and valuable consideration";

WHEREAS, James M. McKevitt and Assignee wish to document the "other good and valuable consideration";

NOW THEREFORE, be it known that James M. McKevitt and Assignee agree that the "other good and valuable consideration" shall consist of seven and one-half percent (7 %%) up to, but not to exceed, one million dollars (\$1,000,000.00) of net income from licensing or sale of patent rights covered by the Assignment of Invention.

This ADDENDUM TO THE ASSIGNMENT of Invention is the entire agreement as to its subject matter. If any portion of this ADDENDUM TO THE ASSIGNMENT of Invention is determined to be invalid, illegal, or unenforceable under any present or future law by the final judgment of any court of competent jurisdiction, the remainder of this Assignment of Invention shall not be affected thereby. It is the intention of the undersigned that if any portion of this Assignment of Invention is determined to be invalid, a portion as similar in terms to such portion as is possible to be legal, valid, and enforceable shall be added in field thereof.

IN TESTIMONY WHEREOF, the undersigned hereunto have set hands and seals on the day and year set opposite the signatures below.

Date: Xulu J

James M. McKer

Residence and Post Office Address: 8895 Bass Lake Boulevard, Pentwater, Michigan 49449

2007

Citizenship:

USA

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Date: Su

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Richard A. Graff

Ross/Graff Holdings LLC

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**PATENT**